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Optimization of fleets and their Propulsive alternatives by operating under Motorways of the Sea conditions

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The introduction

- The progressive **alleviation** of the **protectionist** attitude of the European policy towards the **maritime transport**.
- The European Institutions have **transfered the responsability** of the multimodal transport is competitive by its own means **to the private initiative**.
- In many ocassions studies try to find **the most suitable route for the features of the transport mode** when the approach should be the opposite: the features of the transport means should be adapted to the transport service requirements.
- The most of the studies about vessel prototypes adapted to SSS do not evaluate their operation acording the results achieved by the multimodal chains ('door to door'services)

There is not much quantitative knowledge about the combined influence of fleet features, cargo units and the selection of the sea motorway on the competitiveness of the multimodal 'door to door' transport

Motivation and the main target

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 Despite of the efforts made by the administrations the expected success for the establishment of the sea motorways has not been reached. An example of this is the case of Vigo-St.Nazaire



The establishment of an analysis and decision method which allows the selection of the optimal maritime route, the technical and operational characterization of the fleet and the identification of the most suitable cargo unit for the maximization of the competitive advantage of multimodal routes generated through the sea motorway selected, against the alternative of road transport.

The Method and sub-objectives

Step I:The opportunity assessment

• To establish the qualitative assessment of the rationality of the operation of a sea motorway according to the framework.

Step II:The acceptability analysis

- To identify rigorously the optimal maritime route for the establishment of a sea motorway defining the constraints for the utility of the objective functions.
- To identify the most influential variables on the competitiveness of multimodal routes.

Step III: The feasibility analysis

• To develop a mathematical model able to characterize the required resources : the fleet and cargo units

Step I:The opportunity assessment

Quantification of results:The assessment model for the case of France-Spain

Bilateral Agreement between Spain and France in 2006 to promote the development of Motorways of the Sea by linking up their respective Atlantic coastlines ('The declaration of intentions about the Motorways of the Sea')

The requirements are:

- i) a service frequency of at least 4 departures per week each way during the first two years of operation;
- ii) a frequency of at least 7 departures per week each way once these 2 years have elapsed;
- iii) annual traffic of at least 350,000 semi-trailers should have been reached at the end of 5 years;
- v) it should have risen to 850,000 after 10 years.

Stage I: the opportunity assessment

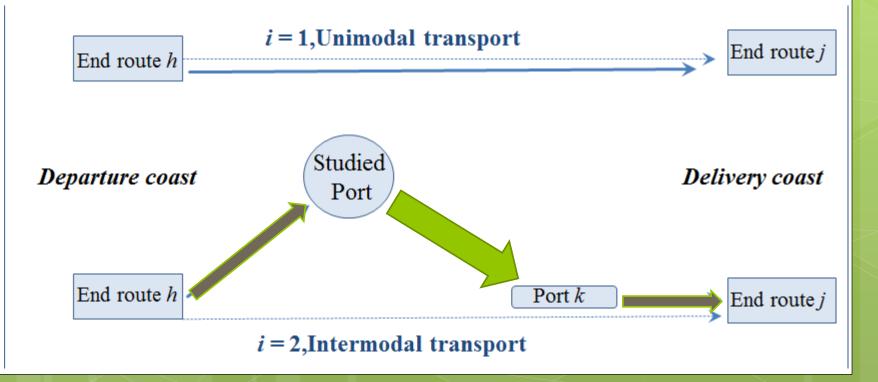
From the evaluation of the framework in the stage I

- The most important uncontrollable variables which determine the competitiveness of multimodal routes have been identified(SR, DR).
- Controllable variables which should be defined by the transport company have been also identified:

- Kind of vessel TB_q kind and amount of cargo capacity of vessels G, manoeuvre means MM and cargo handling system MG, Speed of the vessels VB and number of the vessels NB, number of trips per vessels, NT, and the age of vessels Eg
- The objective functions have been qualitatively defined.
- Constraints to main and auxiliary variables.
- Expressions which relate main variables and auxiliary variables.
- Alternatives of fleets and routes have been suggested in a first approach in this stage.

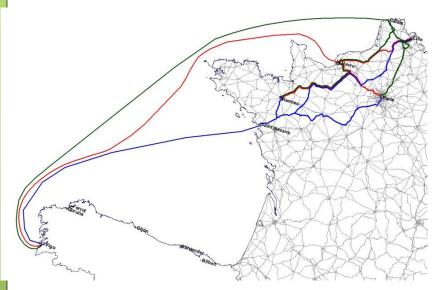
Articulation of the routes for the study

- Approach to a distribution net as a 'Comodity problem' with 'hubs' and deterministic and finite nodes.
- 'Many to many' model which can be simplified to a model 'one to many' (Hall, 1989,Daganzo 1994).



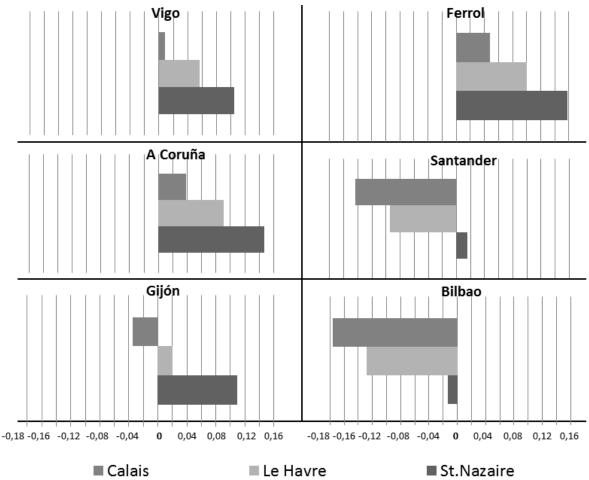
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Methodology proposed to quantify the results. Articulation of the routes of the study

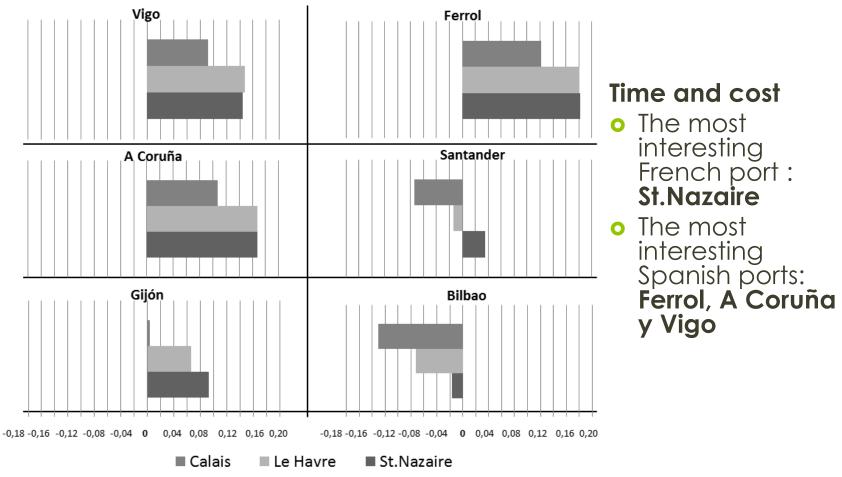


Spanish Ports	French Ports	D _k (Km)	French city	d _j (Km)
Vigo	Calais	1390	Rennes	1453
	St. Nazaire	915	Paris	1577
	Le Havre	1232	Lille	1793
Ferrol	Calais	1206	Rennes	1412
	St. Nazaire	717	Paris	1553
	Le Havre	1049	Lille	1751
Coruña	Calais	1225	Rennes	1392
	St. Nazaire	735	Paris	1514
	Le Havre	1067	Lille	1731
	Calais	1138	Rennes	1061
Gijón	St. Nazaire	563	Paris	1184
	Le Havre	980	Lille	1400
Santander	Calais	1164	Rennes	892
	St. Nazaire	508	Paris	1015
	Le Havre	1006	Lille	1231
Bilbao	Calais	1206	Rennes	795
	St. Nazaire	522	Paris	917
	Le Havre	1049	Lille	1134

Methodology proposed to quantify the results. Evaluation of the possible maritime routes for each port considered. Spain.



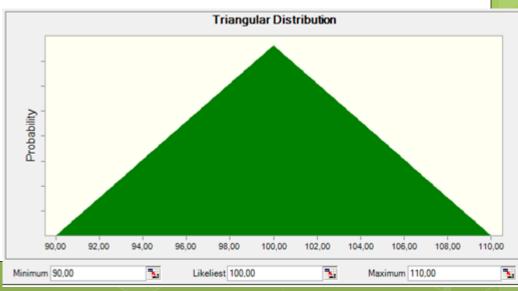
Methodology proposed to quantify the results. Evaluation of the possible maritime routes for each port considered. Spain.



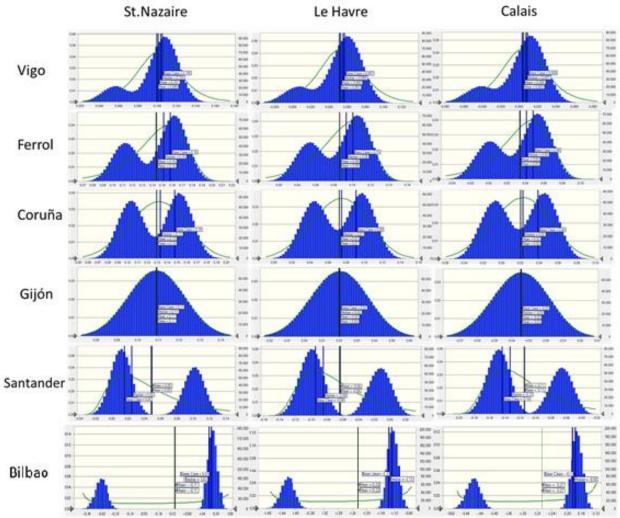
The risk assessment and the sensitivity analysis for the routes

• Multi Criteria decision matrix built with information taken from different authors (implies mistakes).

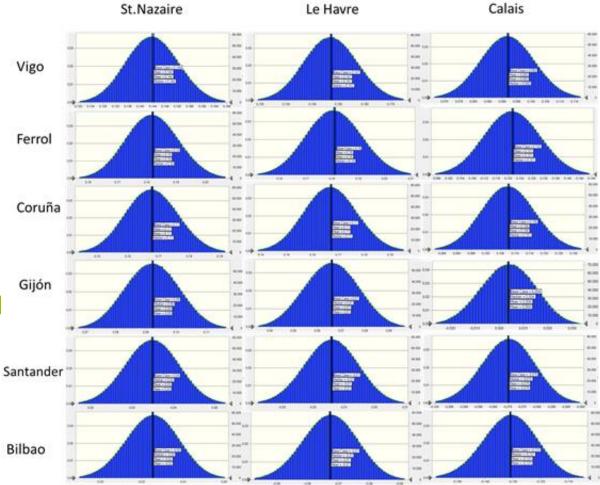
- Assessment of past scenarios based on values known (risk) but their variations and the implications of them are not met yet (uncertainty level).
- Monte Carlo simulations. Assuming triangular distributions (20% of base), 1.600.000 trials.
- The risk assessment: the goodness level of the port indexes as estimators of the distributions obtained.



The risk assessment and the sensitivity analysis for the routes in terms of the time: IDP^Tk



The risk assessment and the sensitivity analysis for the routes in terms of the cost: IPT^C_k



The risk assessment and the sensitivity analysis for the routes in terms of the cost : IPTC_k

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Quantitative analysis of the cost index : Ferrol-St.Nazaire Ferrol-Le Havre A Coruña-St.Nazaire A Coruña-Le Havre Vigo-St.Nazaire Vigo-Le Havre Gijón-St.Nazaire

IPT^C_k **_0,09**

The risk assessment for the cost index: Every routes with positive cost indexes

The sensitivity of cost indexes to no controllable variables:

Every routes with positive cost indexes

According the analysis of cost index: Ferrol-St.Nazaire Ferrol-Le Havre A Coruña-St.Nazaire

A Coruña-Le Havre

Vigo-St.Nazaire

Vigo-Le Havre Gijón-St.Nazaire



IPT^C_{k =}0,14

The Problem assessment

• Vigo-St.Nazaire

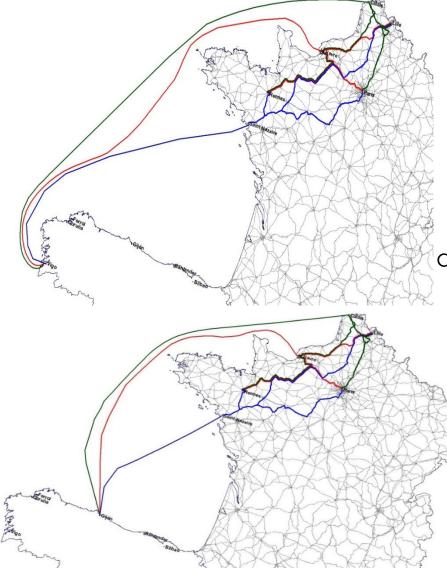
(2009):Acciona-Transmediterranea Shipp<mark>ing</mark> Company (it was not operative)

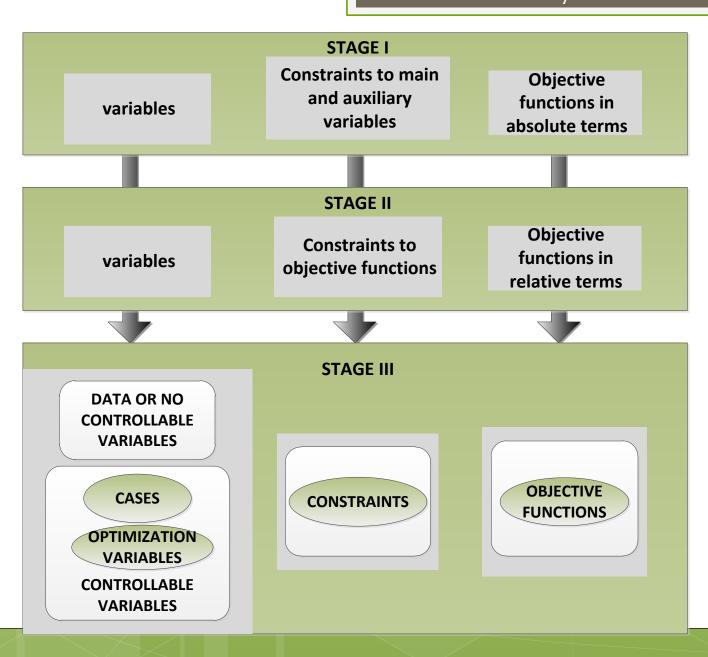
Step I:The opportunity

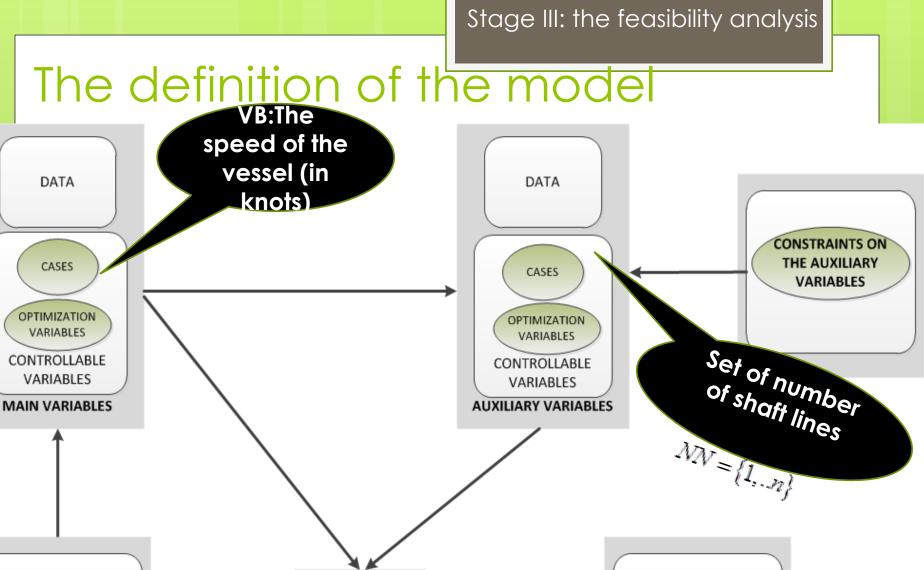
Gijón-St.Nazaire

(2010): GLD Atlantic Shipping Company (Successfull operation):Gijón, in comparison to Vigo, moves less general cargo annually (587,401t vs 2,607,037t) and fewer containers (175,016 vs1,582,047 units in 2009),

THE KEY (according to the Port Authorities):<u>the necessary number of</u> <u>vessels</u> for the fleet to cope with the requirements of cargo units and minimum frequency demanded by the Agreement (the same for both cases): 2 vessels for Gijón-St.Nazaire and 3 for Vigo-St.Nazaire





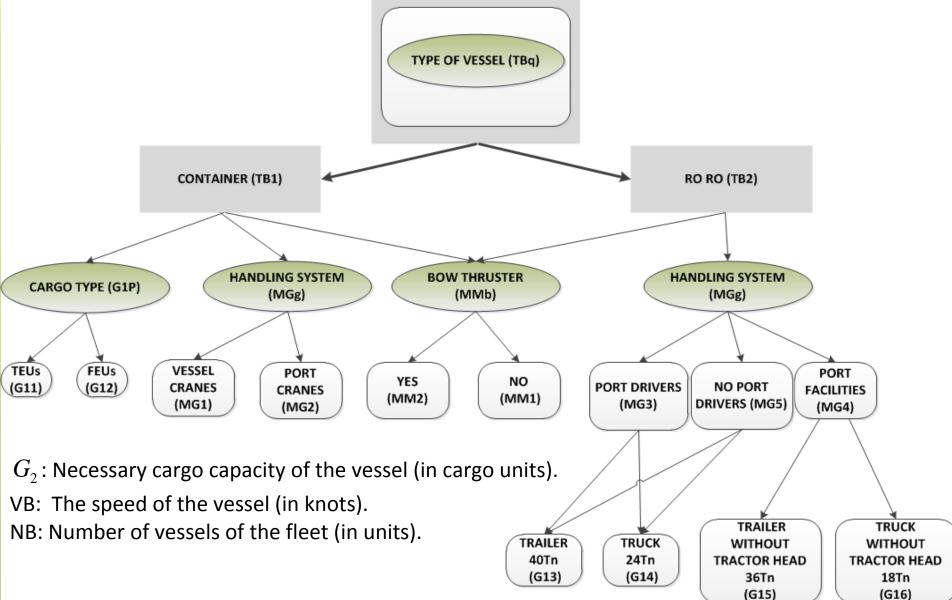


OBJECTIVE

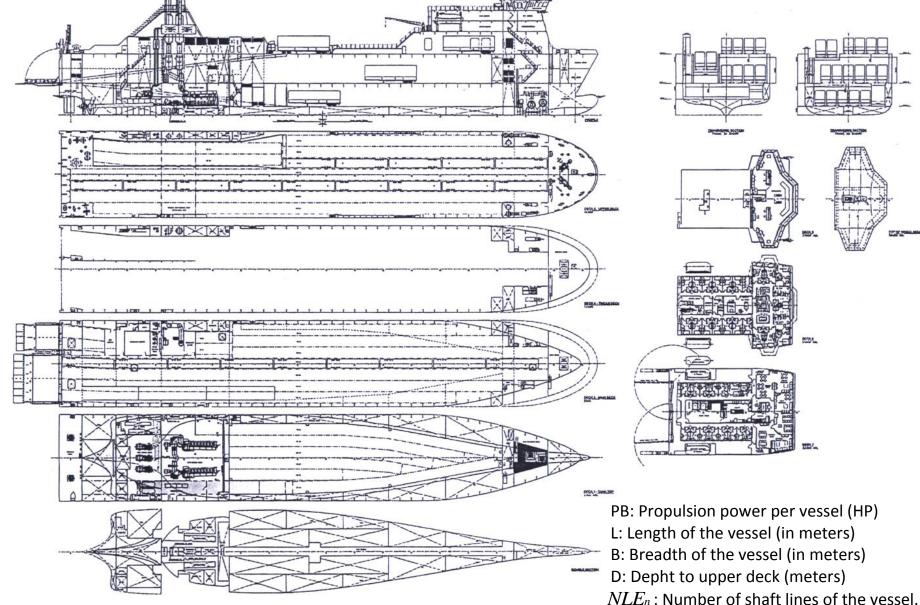
FUNCTION

CONTRAINTS ON THE MAIN VARIABLES CONSTRAINTS ON THE OBJECTIVE FUNCTION

Variables of the problem: Main variables



Variables of the problem: Auxiliary variables



Elements of the model. **Constraints** to auxiliary variables.

- Constraints due to dimensional ratios and to the port requirements (identified in the stage I)
- Requirements of the available space in engine rooms.
- Compliance with the minimum freebord (Protocol of 1988 relating to the international convention on load lines, 1966 with all their amendments (MSC 270 (85)): FB > FBm
- Meeting (dimensionally) with the cargo needs required

Elements of the model. **Constraints to main variables.**

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• ∨B<(3.7×∇^{0.1667}/0.154)

• (BOE n°165, 11st July 2007 and BOE N°175, 23rd July 2007): 85.000 trucks without tractor head per year.

 Convention demands 4 departures per week and direction during the first two years and then 7 departures per week and direction.

740≈672≥N ≥384;

• One daily departure of a vessel in each direction: $TVB \le NB \times 12$

Elements of the model.**Objective** functions and their constraints.

• Objective functions: the maximization of the difference in terms of the time and cost between unimodal and multimodal transport (Siu J. et al.,2010). They were already identified in the stage I.

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• The interest of the load owner in the multimodal chain is based on the relative advantage in terms of the cost and time provided by this transport system versus the road (port indexes in terms of the time and cost calculated in the stage II).

 $F_1 = max (CU - CMU)$

 $F_2 = \max (TVU - TVM);$

 $(TVU-TVM)/(TVM+TVU) \ge 0.10$

(CU-CMU)/(CMU₊CU)≥0.14

Calculation of objective functions. Functions of costs.

• Cost of **road** transport ('Observatory of road freight transport costs')

(

Calculation of objective functions. **Functions of costs.**

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• Cost of maritime transport (Pardo M., 2009):

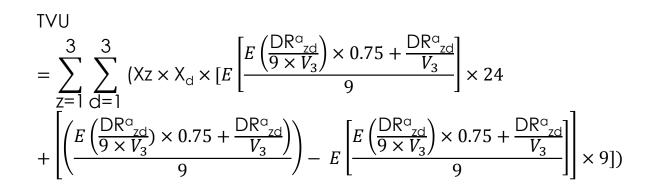
$$CMU_{2_{=}}\left(\frac{1}{G_{3} \times Pp \times N}\right) \times \left(\sum_{C=1}^{12} (CTC)\right)$$

- Capital costs:
 - Amortization CT₁
 - Interests CT_2
- Direct fixed costs:
 Insurance CT₃
 - Maintenance CT₄
 - Crew CT_5
- Variable costs:
 Combustible CT₆
 - Composible CI_6
 - Dockage due CT₇
 - Cargo dues CT_8
 - Pilotage dues CT₉
 - Towing dues CT_{10}
 - Mooring dues CT₁₁
 - Loading/unloading dues CT₁₂

Calculation of the objective functions. Function in terms of the time.

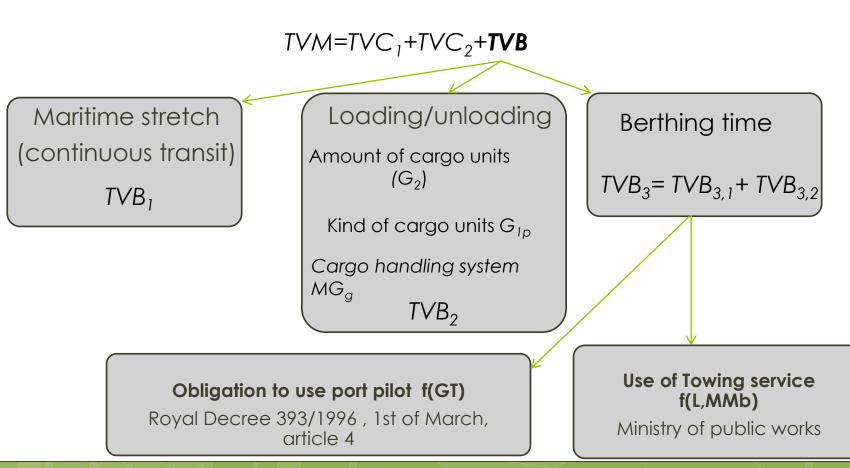
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• Time invested in the road transport integrates **the limitation of the speed** :Council Directive 92/24/EEC y 92/6/EEC and the **minimum breaks during the driving** and the maximum driving hours per day (Regulation (EC) No 561/2006). Continuous traffic flow has been assumed (Aparicio F. et al, 2008).



Calculation of the objective functions. **Functions of times.**

• Time invested in the **multimodal** transport



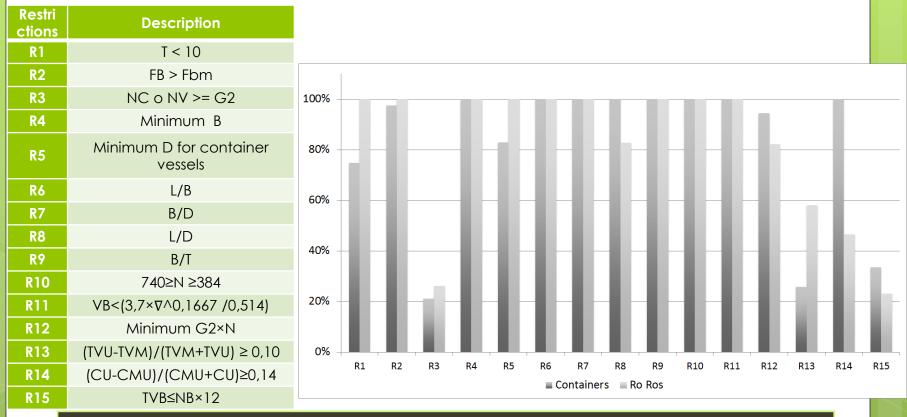
In summary: Stage III

- The variables, objective functions and restriccions identified in the previous stages have been integrated in a mathematical model able to be optimized.
- All the necesary relationships to link the auxiliary variables and the main ones to the objective functions (**more of 150**) have been established.
- This model finally allows to identifing the non-dependent variables of the model:
- VB=speed of the vessel
- G₂ = amount of cargo units per vessel;
- NB= number of vessels of the fleet;
- G_{1p}= kind of cargo units;
- MM = maneuver means;
- MG = cargo handling system;
- TB_a=kind of vessel;
- E_e =age of the vessels;

Application and results

Optimization method used. Difficulty level to find valid solutions

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Less than 30% of all solutions meet the restrictions imposed. Ro-ros generate chains more competitive in time and the container vessels in cost.

Application and results

Optimization methods used

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The problem:

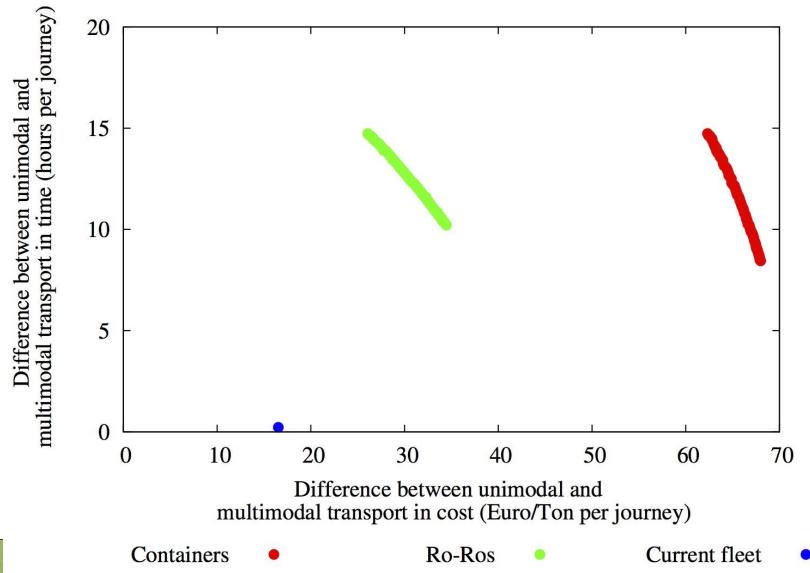
Discrete and continuous parameters, it is non lineal and multi objective problem which handles lineal and non lineal restrictions

Difficulty to find feasible solutions

Evolutionary strategy (mono-objetive algorithm):

Differential Evolution

Genetic algorithm (multi-objetive): NSGA-II Evolutionary algorithms: Exploration in various directions of the search space works well with discontinuous functions and it has alternatives for handling non linear constraints Application and results Results obtained from the optimization process for intermodal chains through the Mos: Vigo-St.Nazaire



	Features of the vessels obtained as best solutions for multimodal chains					
Doculto	through Vigo-St.Nazaire and Gijon-St.Nazaire					
Results	Route	Vigo-St.Nazaire		Gijon-St.Nazaire		
	Kind of vessel	Container (TB1)	Ro-ro (TB2)	Ro-ro (TB2)		
	Kind of cargo unit	TEUs (G ₁₁)	Truck w/o tractor head (G ₁₆)	Truck w/o tractor head (G ₁₆)		
	Amount of cargo	210	162	153		
The port Authoritie	(G ₂)					
were right about	Vessel speed (Kn)	19.19	23.71	24.25		
the necessary	Age of the vessels	14	14	14		
number of vessels	Bow thruster	No (MM ₁)	Yes (MM_2)	Yes (MM ₂)		
but the	Cargo handling system	Port cranes (MG ₂)	Port facilities (MG ₄)	Port facilities (MG ₄)		
competitiveness	Number of vessels (NB)	3	3	2		
results are more	Yearly trips (N)	740	740	740		
favourable to the	L (m)	82.04	123.91	120.92		
	B (m)	15.00	21.74	22.20		
Maritime route selected as	D to upper deck (m)	7.59	13.63	13.56		
	GT (Ton)	2743	9977	9967		
optimum: Vigo-	Kind of propeller	Conventional screw (TP ₁)	Conventional screw (TP ₁)	Conventional screw (TP ₁)		
St.Nazaire	Shaft lines	1 (NLE ₁)	2 (NLE ₂)	2 (NLE ₂)		
	Kind of main engine	Diesel Engine (TMP ₁)	Diesel Engine (TMP ₁)	Diesel Engine (TMP ₁)		
	Main engines	1 (NMP ₁)	4 (NMP ₄)	4 (NMP ₄)		
	Competitiveness results					
	F ₁ (€/Ton per travel)	68.10	34.51	19.62		
	F ₂ (h per travel)	8.39	10.18	2.04		

Application and results

Interesting finding

• There are numerous studies about the relative competitiveness of the multimodal transport through short sea shipping against the road.

• Most of them take the vessels as a rigid input,

usally ro-ro fleets mainly due to:

The approach to the problem: a possible change in the service of the current linear fleet A forecast based on the shipping companies will prefer that the trucks become clients instead of competitors

Many occasions the analysis tries to adapt the feasibility of the routes to the available fleet

Additions to the model: new demands from the society involves new challenges

- The 'green label' of the MoS is currently under discussion; even though it is broadly accepted that Short Sea Shipping (SSS) is more environmental friendly than other transport modes in terms of CO₂ emissions the analysis is not so favourable when NO_x, SO₂ and PM emissions are taken into account.
- This is especially evident when smaller and fast container vessels, the most suitable ones for MoS because they will even generate higher emissions per tonne and kilometre
- The model was widened to evaluate the best option of fleet, vessels, routes and cargo units by observing this concern as well

First Step:

Introduction to a new objective function <u>F3</u> to evaluate the environmental competitiveness between transport modes Second Step: Inclusion of additional optimization variables (cases) regarding <u>the kind of</u> <u>propulsion plant, its</u> <u>abatement systems and its</u> <u>combustibles</u> First Step:Introduction to a new objective function F3 = max(RE - MUE)

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RE: external costs of the unimodal transport MUE: external costs of the intermodal transport

- The environmental pollutants evaluated are: SO₂ (acidifying substances), NO_x (ozone precursors) and PM_{2.5} (particular matter mass), moreover, CO₂ (greenhouse gases).
- The estimation of the external costs are calculated by multiplying

• The emission coefficients:

- For the vessels, Technological University of Denmark and the University of Southern Denmark
- For the road transport (Ntziabchristos and Samaras (2012)): tier 1 and tier 2, for the calculation of SO₂ and Tier1 for NOx, PM_{2.5} and CO₂ (The European Environment Agency)
- The unitary costs (Maibach et al., 2008) of the emitted gases are dependent on the countries and on the kind of zone: metropolitan or urban

First Step:Introduction to a new objective function **F3**

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- speed of the vessel in knots
- type of cargo unit: TEUs or FEUs
- cargo capacity (Gp; $\forall p \in PP$) measured in units
- age of vessel in years (Eq; $\forall q \in Q$), with {1, 6, 14} as possible values
- • cargo handling systems which are vessel or port cranes
- • bow thruster feasibility
- • yearly trips (*Ntrips*).

These parameters made up the chromosomes of the NSGA-II population.

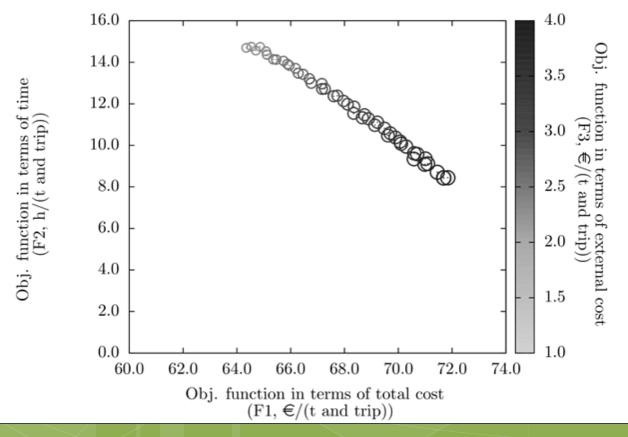
- During the evolutionary process these genes take values between –1.0 and 1.0, as it is required when using JEAF (Caamano et al., 2010) the EA framework used in this work.
- For the evaluation of the possible solutions, each chromosome is decoded to its possible values.
- The NSGA-II algorithm has been applied with the configuration parameters:

Operator	Parameter	Value
Tournament selection	Pool size	2
SBX-crossover	Probability	5%
Polynomial mutation	Probability	60%
	N	1

First Step:Introduction to a new objective function **F3**

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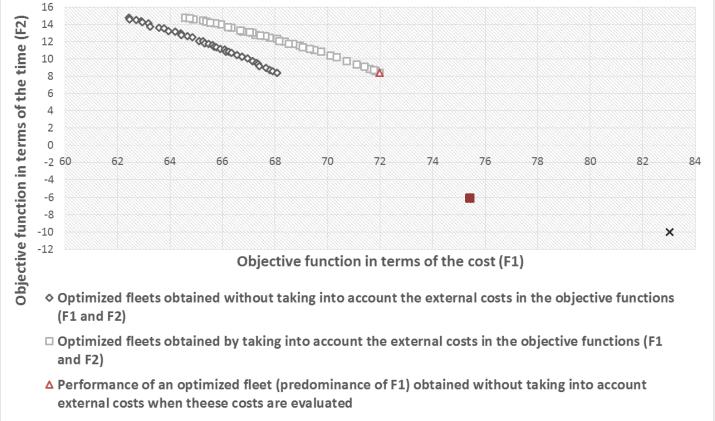
• The intermodal transport has resulted in being more environmental friendly than the road transport in the framework assumed in this study-case.



First Step:Introduction to a new objective function <u>F3</u>

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• The **Pareto fronts are not parallel**; the favourable impact of external costs on the competitiveness of the intermodal transport is highly dependent on the solution fleet considered.



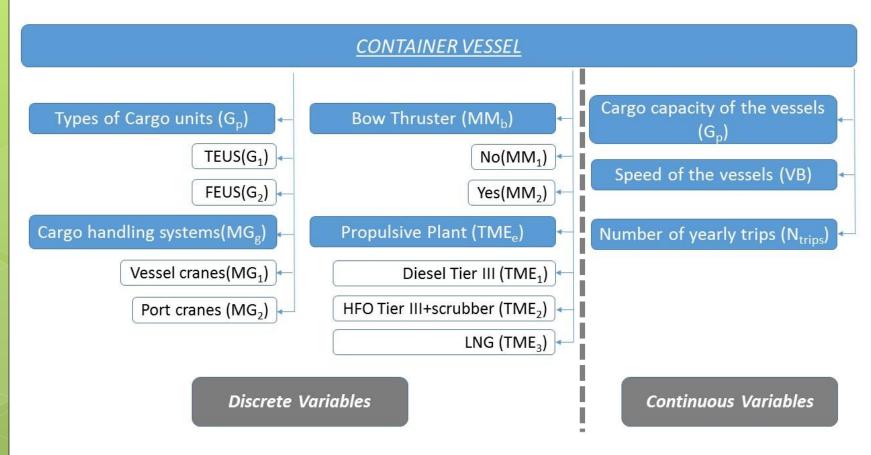
- × First alternative of commercial fleet by considering external costs
- Second alternative of commercial fleet by considering external costs

Second Step: inclusion of the kind of <u>propulsion plant</u>, its abatement systems and its combustibles

- The environmental regulations have not been as quick or restrictive for maritime transport as for land transport in the EU.
 - For heavy duty vehicles from January 2014, Euro VI technology is required in the EU. The Euro VI emission standards involve a 50% reduction of particulate pollutants and 77% reduction of NOx emissions compared to Euro V.
 - In the maritime context, Nowadays in Europe only the Baltic Sea, the North Sea, and the English Channel are classified as Sulphur Emission Control Area (SECA)
- The technological development focused on the reduction of pollutant emissions has clearly been slower in the maritime sector
- The technical and operative features of SSS vessels (small and fast ships) along with the modal shift necessary for the door-to-door transport do not favour the sustainability of the intermodal chains

Second Step: the inclusion of the kind of <u>propulsion</u> plant, its abatement systems and its combustibles

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Seven independent variables: the age of vessels and type of vessels were ruled out as optimization variables

Second Step: inclusion of the kind of propulsion plant its abatement systems and its combustibles

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- Alternatives of propulsion plants to accomplish with Emission
 Control Area (ECA) zones where SO₂ and NO_x emissions are restricted
 - TMM1:a medium speed four-stroke diesel engine with **MGO** (Tier III).
 - TMM2:a medium-speed four-stroke diesel engine (Tier-III) with scrubber by operating with HFO
 - TMM3: a LNG propulsion plant
- All engines are compliant with IMO Tier III. This involves

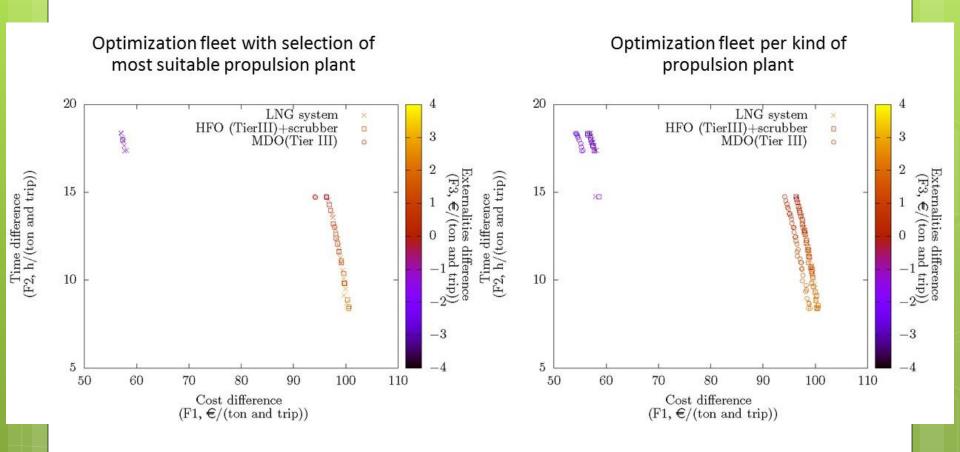
the setting up of the SCR (Selective Catalytic Reduction) systems except for gas-based engines.

	%Sulphur LNG (Max. 3.5%Sulphur bunkers) bunkers) (<i>TMM3</i> alternative)
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Second Step: inclusion of the kind of <u>propulsion plant</u> its abatement systems and its combustibles

- Methane slip is namely the unburnt methane from the combustion of LNG and methane leakage
- Tt is widely accepted that CH₄ is 25 times more harmful than CO₂
- The present model introduces an environmental assessment of CH₄ owing to the high expected repercussions of this pollutant in the operation of vessels with LNG systems

Second Step: inclusion of the kind of propulsion plant its abatement systems and its combustibles



42 Ampliation

Ampliation

	~ ~			
Maritime Route	Vigo-St.Nazaire			
Year	2015	2015	2015	
Type of cargo unit	TEUs	TEUs	TEUs	
Amount of cargo (G2)	184 (G/)	185 (Gi)	184 (Gi)	
Vessel speed (Kn)	19.49	19.47	19.49	
Age of the vessels	New building	New building	New building	
Bow thruster	No (MM _l)	No (MM)	No (MM)	
Cargo handling	Port cranes (MG2)	Port cranes (MG2)	Port cranes (MG2)	
system				
Number of vessels	3	3	3	
(NB)				
Yearly trips (N)	740	740	740	
L (m)	77.60	77.37	78.15	
B (m)	14.38	14.35	14.46	
D to upper deck (m)	7.39	7.38	7.41	
GT (Ton)	2417	2402	2456	
Type of propeller	Conventional screw	Conventional screw	Conventional screw	
	(TPi)	(TP)	(TPi)	
Shaft lines	1 (NSL)	$1 (NSL_1)$	1 (NSL ₁)	
Type of main engine	Dual engine	Tier-III	Tier-III	
	(LNG) (TMM ₃)	engine+scrubber	engine	
		(HFO) (TMM ₂)	(MGO) (TMM)	
Main engines	1	1	1	
PB (kW))	5316	5280	5421	
Objective Functions				
F1 (€/t per trip)	101.03	100.52	98.97	
F_2 (h per trip)	8.48	8.42	8.39	
F3 (€/t per trip)	2.85	1.90	2.15	
Costs (€)				
Propulsion plant cost $(PMP)(\in)$	3,667,7465	2,435,671	1,318,239	
Yearly maintenance	79,399	294,918	265,521	
(CT2) costs (€)		-	-	
Yearly combustible	4,641,706	3,960,871	7,578,338	
(CT ₃) costs(€)				
Yearly pollutant costs due to the shipping stage for the fleet (€)				
NOX	321.252	589.682	602.530	
SO ₂	0	70.651	129.033	
PM2.5	18.251	322.236	166.339	
CO2	4.016.963	6.068.819	5.831.969	
CH4	1.159.433	8.760	8.951	

Second Step: inclusion of the kind of propulsion plant its abatement systems and its combustibles

- Evolution of the environmental performance of the intermodality through MOs 2010-2015:
 - 2010:vessels with Tier-II propulsion plant (MGO propulsion) and Euro I trucks
 - 2015: different propulsive plants able to meet with ECA regulations for the vessels and Euro VI technology for trucks

Route	Vigo-St.Nazaire			
Year	2010	2015		
Type of cargo unit	TEUs	TEUs		
Amount of cargo (G2)	210 (G ₁)	189 (G ₁)		
Vessel speed (Kn)	19.19	19.81		
Age of the vessels	New building	New building		
Bow thruster	No (MM ₁)	No (MM1)		
Cargo handling system	Port cranes (MG2)	Port cranes (MG2)		
Number of vessels (NB)	3	3		
Yearly trips (N)	740	740		
L (m)	82.04	79.77		
B (m)	15.00	14.68		
D to upper deck (m)	7.59	7.48		
GT (t)	2743	2489		
Type of propeller	Conventional screw	Conventional screw (TP ₁)		
Shaft lines	1	1 (NSL ₁)		
Type of main engine	Tier-II. Diesel engine (MGO) (TMM ₁)	Dual engine (LNG) (TMM ₃)		
Main engines	1	1		
PB (kW))	5942	5573		
Objective Functions				
F1 (€/t per trip)	71.77	101.31		
F_2 (h per trip)	8.40	8.44		

3.98

F3 (€/t per trip)

The evolution of the sustainability of the transport systems has been more favourable for trucking than for the maritime transport. The value of F3 remains positive, the results confirm the negative consequences of the inequality in the environmental normative of land transportation compared to maritime transport in the EU.

2.85

Ampliation

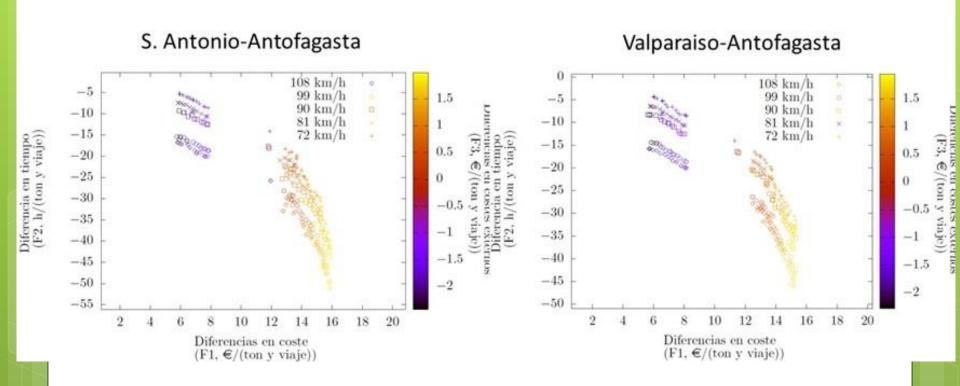
Additional Applications

- The model was adapted to other frameworks and application cases:
 - Chile: the analysis of the feasibility to articulate MOs between the V Region and the regions of the North and South of the country to articulate intermodal chains. <u>Sensitivity analysis</u> to identify the most influent variables on the success of the intermodality
 - North Sea Region: the analysis and selection of the optimal fleet for Rosyth-Zeebrugge route

Additional Applications

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MOs Norte



Conclussions

- The performance of the mathematical model has been good in the applications cases tested by offering realistic results.
- Through the resolution of the model with evolutionary algorithms we have been able to offer **useful information** for decision makers about real complex problems about ''door to door'' transport.
- The resolution of this model in its different ways through the time has enabled to obtain global findings regarding the intermodality:
 - The **container vessel** is the most interesting kind of vessel for Mos
 - With optimized vessels the intermodality can be most competitive than trucking in terms of time
 - Despite the intermodality through SSS is more environmental friendly than the road transport, if the imbalance in the normative development continues the Short Sea Shipping will loos its green label.
 - The most suitable propulsion plant for the vessels operating under MOs is dual engines of LNG, despite of the high initial investment required

End

Thank you for your attention

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