

STABILITY AND ROBUSTNESS ISSUES IN NUMERICAL MODELING OF MATERIAL FAILURE IN THE STRONG DISCONTINUITY APPROACH

J. Oliver, A.E. Huespe, S. Blanco and D.L. Linero

E.T.S. d'Enginyers de Camins, Canals i Ports
Technical University of Catalonia (UPC)
Campus Nord UPC, Mòdul C-1, c/Jordi Girona 1-3, 08034 Barcelona, Spain
e-mail: xavier.oliver@upc.es

Key words: computational material failure, strong discontinuities, localization, integration

Abstract. *Robustness and stability of the Continuum Strong Discontinuity Approach (CSDA) to material failure are addressed. After identification of lack of symmetry of the finite element formulation and material softening in the constitutive model as possible causes of loss of robustness, two remedies are proposed: 1) a symmetric version of the elementary enriched finite element with embedded discontinuities, and 2) an implicit-explicit integration of the internal variable, in the constitutive model, that renders the tangent constitutive algorithmic operator positive definite and constant. The combination of both developments leads to finite element formulations with constant and non-singular tangent structural stiffness, these allowing dramatic improvements in terms of robustness and computational costs. After assessing the convergence properties of the new strategies, three-dimensional numerical simulations of failure problems illustrate the performance of the proposed procedures.*

1 INTRODUCTION

During the last years, the Strong Discontinuity Approach (SDA) has appeared as a promising tool to model material failure in quasi brittle materials [1-8]. As an specific branch, the Continuum Strong Discontinuity Approach (CSDA) offers some additional features already presented in a number of works [9-14]. In essence, they allow capturing both the volume and surface dissipative effects, taking place during the fracturing process, using a standard *continuum* (i.e. stress vs. strain) format for the constitutive model. Some applications of the CSDA to modelling fracture of concrete have been recently reported [15]. Although those previous works state the ability of the CSDA to deal with local material failure and fracture propagation, in two-dimensional and simple three-dimensional cases, its applicability to model the structural collapse and the ultimate loading capacity of actual three-dimensional structures at acceptable computational costs was still limited. The main reason for this is the appearance of instabilities, inherent to the numerical procedure, which translate into some lack of robustness of the computational tool. This demands the use of skillful solution procedures to trace the structural response (for instance, specific arc-length methods and automatic time stepping [13]), which translate into large computational costs.

Therefore, some new developments devoted to increase the robustness of computational procedures in the context of computational material failure and, in particular, of the CSDA seem to be lacking. This is the topic of this paper; recent developments of the authors in the context of the CSDA, addressed to increase the stability and robustness of the numerical simulations and to decrease the computational cost of material failure analyzes, are presented. First, in section 1.1 an overview of the foundations of the CSDA is presented. Then, in subsequent sections, two new developments concerning numerical aspects of that methodology are presented: *i) a specific implementation of a symmetric finite element with embedded discontinuities*, and, *ii) a new implicit/explicit integration algorithm for the constitutive model*. The inclusion of both of them, results into very large improvements in terms of the robustness as well as of the computational costs, which, actually, open the way to the use of the CSDA in the material failure analysis and structural collapse modelling of three-dimensional structures. Finally, in the last sections of the paper, applications to representative examples are presented.

1.1. Basic aspects of the continuum-strong discontinuity approach

The CSDA is grounded on the classical continuum mechanics by generalizing the admissible displacement space and introducing a discontinuous field into the problem. The resulting kinematics, termed *strong discontinuity kinematics*, requires a reinterpretation of the constitutive model to make it capable of dealing with the unbounded strains emerging from those discontinuous displacement fields. In fact, from physical requirements the constitutive model should furnish bounded stresses even for unbounded strains. This can be achieved through the redefinition of only one parameter: the softening modulus, which has to be regularized in points where unbounded strains take place. The rest of ingredients and features of the continuum constitutive model remain unmodified.

Therefore, we can synthesize the computational CSDA methodology by the following four points.

1.1.1. Strong discontinuity kinematics

An admissible displacement field, $\mathbf{u}(\mathbf{x})$, exhibiting displacement discontinuities can be described by (see *Figure 1*):

$$\mathbf{u}(\mathbf{x}) = \bar{\mathbf{u}}(\mathbf{x}) + H_S[[\mathbf{u}]](\mathbf{x}) \quad ; \quad H_S = \begin{cases} 1 & \forall \mathbf{x} \in \Omega^+ \\ 0 & \forall \mathbf{x} \in \Omega^- \end{cases} \quad (1)$$

where $\bar{\mathbf{u}}(\mathbf{x})$ is a smooth field and $H_S[[\mathbf{u}]](\mathbf{x})$ (H_S being the Heaviside/step function shifted to S) captures the displacement jump field, $[[\mathbf{u}]](\mathbf{x})$, at the discontinuity interface S of normal \mathbf{n} , which divides the body Ω into two disjoint parts, Ω^+ and Ω^- . The strain field that is kinematically compatible with the discontinuous displacement field is then:

$$\boldsymbol{\varepsilon}(\mathbf{x}) = \nabla^S \mathbf{u}(\mathbf{x}) = \underbrace{\bar{\boldsymbol{\varepsilon}}(\mathbf{x})}_{\substack{\text{regular} \\ \text{(bounded)}}} + \underbrace{\delta_S([\![\mathbf{u}]\!] \otimes \mathbf{n})^{\text{sym}}}_{\substack{\text{singular} \\ \text{(unbounded)}}} \quad (2)$$

For computational purposes the surface Dirac's delta function δ_S in equation (2) is regularized in terms of a, very small, regularization parameter k and a collocation function $\mu_S(\mathbf{x})$ on the discontinuity interface S . Then, the regularized version of the strain field reads:

$$\boldsymbol{\varepsilon}(\mathbf{x}) = \nabla^S \mathbf{u}(\mathbf{x}) = \underbrace{\bar{\boldsymbol{\varepsilon}}(\mathbf{x})}_{\substack{\text{regular} \\ \text{(bounded)}}} + \underbrace{\frac{\mu_S}{k}([\![\mathbf{u}]\!] \otimes \mathbf{n})^{\text{sym}}}_{\substack{\text{singular} \\ \text{(unbounded as } k \rightarrow 0\text{)}}} ; \quad \mu_S(\mathbf{x}) = \begin{cases} 1 & \text{for } \mathbf{x} \in S \\ 0 & \text{for } \mathbf{x} \in \Omega / S \end{cases} \quad (3)$$

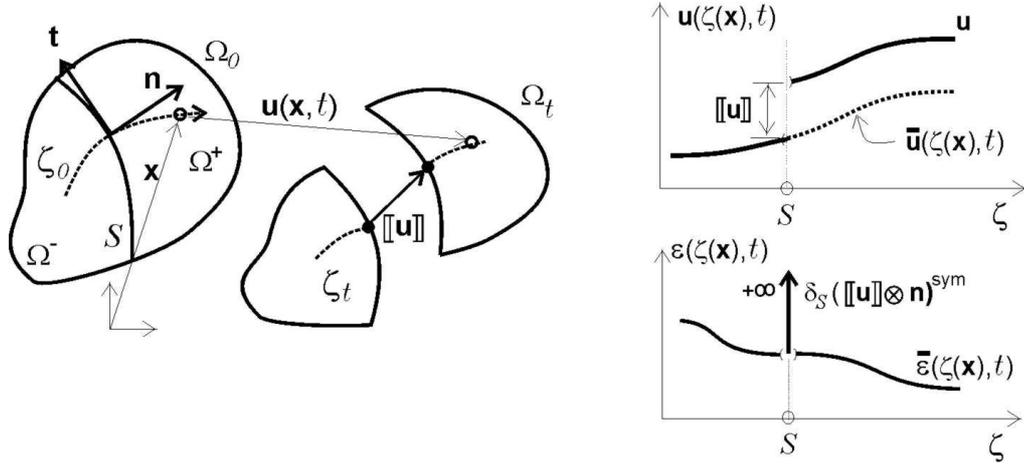


Figure 1: Strong discontinuity kinematics.

1.1.2. Continuum constitutive model with regularized strain softening

The choice of the continuum (i.e. stress vs. strain) constitutive model must be done in accordance with the phenomenological behaviour of the material whose failure is being reproduced. However, there is no intrinsic limitation on the type or family of that constitutive model in the context of the CSDA: *any non-linear (dissipative) constitutive model equipped with strain softening can be considered*. For the sake of covering a wide range of quasi-brittle materials, in the remaining of this paper two families of those continuum models will be considered: 1) isotropic continuum damage models and 2) elasto-plastic models with strain softening. The main ingredients of both models can be described as follows [16, 17]:

	<i>Isotropic continuum damage model</i>	<i>Elasto-plastic model with isotropic softening</i>	
<i>Free energy:</i>	$\varphi(\boldsymbol{\varepsilon}, r) = (1-d)\varphi_o$; $\varphi_o = \frac{1}{2}\boldsymbol{\varepsilon} : \mathbf{C}^e : \boldsymbol{\varepsilon}$ $d(r) = 1 - q(r)/r$	$\varphi(\boldsymbol{\varepsilon}^e, r) = \frac{1}{2}\boldsymbol{\varepsilon}^e : \mathbf{C}^e : \boldsymbol{\varepsilon}^e + \varphi^p(r)$ $\boldsymbol{\varepsilon} = \boldsymbol{\varepsilon}^e + \boldsymbol{\varepsilon}^p$	(4)
<i>Internal variables</i>	$\dot{r} = \dot{\lambda}$; $r _{t=0} = r_o = \sigma_u / \sqrt{E}$	$\dot{r} = \dot{\lambda}$; $r _{t=0} = 0$ $\dot{\boldsymbol{\varepsilon}}^p = \dot{\lambda}\boldsymbol{\xi}$; $\boldsymbol{\xi} = \partial_{\boldsymbol{\sigma}}g(\boldsymbol{\sigma}, q)$	(5)
<i>Constitutive equation</i>	$\boldsymbol{\sigma} = (1-d)\mathbf{C}^e : \boldsymbol{\varepsilon} = \frac{q}{r}\underbrace{\mathbf{C}^e : \boldsymbol{\varepsilon}}_{\bar{\boldsymbol{\sigma}}} = \frac{q}{r}\bar{\boldsymbol{\sigma}}$	$\boldsymbol{\sigma} = \mathbf{C}^e : \boldsymbol{\varepsilon}^e = \mathbf{C}^e : (\boldsymbol{\varepsilon} - \boldsymbol{\varepsilon}^p)$	(6)
<i>Damage/ yield function</i>	$g(\boldsymbol{\varepsilon}, r) \equiv \tau_{\varepsilon}(\boldsymbol{\varepsilon}) - r$ $\tau_{\varepsilon}(\boldsymbol{\varepsilon}) \equiv \sqrt{\bar{\boldsymbol{\sigma}}^+ \cdot \mathbf{C}^{e^{-1}} \cdot \bar{\boldsymbol{\sigma}}}$	$g(\boldsymbol{\sigma}, q) = \Phi(\boldsymbol{\sigma}) - (\sigma_u - q)$	(7)
<i>Loading-unloading condition</i>	$\dot{\lambda} \geq 0$; $g \leq 0$; $\dot{\lambda}g = 0$	$\dot{\lambda} \geq 0$; $g \leq 0$; $\dot{\lambda}g = 0$	(8)
<i>Stress-like internal variable evolution</i>	$\dot{q} = Hr$; $q \geq 0$ $q _{t=0} = r_o = \sigma_u / \sqrt{E}$; $q _{t=\infty} = 0$	$\dot{q} = -Hr$; $q \geq 0$ $q _{t=0} = 0$; $q _{t=\infty} = \sigma_u$	(9)
<i>Constitutive tangent tensor</i>	$\dot{\boldsymbol{\sigma}} = \mathbf{C}^{\text{tan}} : \dot{\boldsymbol{\varepsilon}}$; $\mathbf{C}^{\text{tan}} = \begin{cases} \mathbf{C}^u \equiv (1-d)\mathbf{C}^e = \frac{q}{r}\mathbf{C}^e \\ \mathbf{C}^l \equiv \frac{q}{r}\mathbf{C}^e - \frac{q-Hr}{r^3}\bar{\boldsymbol{\sigma}}^+ \otimes \bar{\boldsymbol{\sigma}} \end{cases}$	$\dot{\boldsymbol{\sigma}} = \mathbf{C}^{\text{tan}} : \dot{\boldsymbol{\varepsilon}}$; $\mathbf{C}^{\text{tan}} = \begin{cases} \mathbf{C}^u \equiv \mathbf{C}^e \\ \mathbf{C}^l \equiv \mathbf{C}^e - \frac{\mathbf{C}^e : \boldsymbol{\xi} \otimes \mathbf{C}^e : \boldsymbol{\xi}}{\boldsymbol{\xi} : \mathbf{C}^e : \boldsymbol{\xi} + sHs} \\ s = \partial_q g(\boldsymbol{\sigma}, q) = 1 \end{cases}$	(10)

where $\varphi(\boldsymbol{\varepsilon}, r)$ is the free energy depending on the strain tensor $\boldsymbol{\varepsilon}$ (or the elastic part $\boldsymbol{\varepsilon}^e$ in the plastic model) and the internal variable r . The φ_o term in the damage model is the elastic strain energy for the elastic (undamaged) material. $\mathbf{C}^e = \bar{\lambda}(\mathbf{1} \otimes \mathbf{1}) + 2\mu\mathbf{I}$ is the elastic constitutive tensor, where $\bar{\lambda}$ and μ are the Lamé's parameters and $\mathbf{1}$ and \mathbf{I} are the identity tensors of 2nd and 4th order, respectively. In equation (6), $\bar{\boldsymbol{\sigma}} = \mathbf{C}^e : \boldsymbol{\varepsilon}$ is the effective stress. Its positive counterpart is then defined as:

$$\bar{\boldsymbol{\sigma}}^+ = \sum_{i=1}^{i=3} \langle \bar{\sigma}_i \rangle \mathbf{p}_i \otimes \mathbf{p}_i \quad (11)$$

where $\langle \bar{\sigma}_i \rangle$ stands for the positive part (Mac Auley bracket) of the i-th principal effective stress $\bar{\sigma}_i$ ($\langle \bar{\sigma}_i \rangle = \bar{\sigma}_i$ for $\bar{\sigma}_i > 0$ and $\langle \bar{\sigma}_i \rangle = 0$ for $\bar{\sigma}_i < 0$) and \mathbf{p}_i stands for the i-th stress eigenvector. The initial elastic domain in the damage model is defined as

$E_\sigma^0 := \{\boldsymbol{\sigma} ; \sqrt{\boldsymbol{\sigma}^+ \cdot \mathbf{C}^{e^{-1}} \cdot \boldsymbol{\sigma}} < r_o\}$ and, therefore, it is unbounded for compressive stress states ($\boldsymbol{\sigma}^+ = \mathbf{0}$) so that damage becomes only associated to tensile stress states as it is usual for modelling tensile failure in quasi brittle materials like concrete.

The actual stresses $\boldsymbol{\sigma}$ and the stress-like variable q are determined via the state equations (6) and (9). The last equation defines the softening law in terms of the continuum softening parameter $H(r) \leq 0$ which may be either constant or an increasing function of r , σ_u and E are, respectively, the tensile strength (yield stress) and the Young modulus. Finally, equation (10) is the rate constitutive law in terms of the tangent constitutive operator \mathbf{C}^{tan} , which changes for loading (\mathbf{C}^l) and unloading (\mathbf{C}^u) processes.

The constitutive model should be adapted to return bounded stresses when the singular (unbounded) strain field (2) is introduced into the standard continuum context. This regularization is reached by a reinterpretation of the continuum softening modulus, H , in equation (9), which is expressed, in the distributional sense [18], in terms of a discrete softening modulus \bar{H} , considered a material property available in terms of the mechanical and fracturing properties of the material (peak stress σ_u , Young modulus E , and fracture energy G_f , see [11, 19] for additional details).

$$\frac{1}{H} = \delta_s \frac{1}{\bar{H}} \quad ; \quad H = k\bar{H} \quad (12)$$

1.1.3. Boundary value problem in a strong discontinuity setting

The rate form of the B.V.P. of a solid Ω with boundary $\partial\Omega = \Gamma_u \cup \Gamma_\sigma$ (where Γ_u and Γ_σ stand, respectively, for the boundaries with prescribed displacements and tractions) and outward normal \mathbf{v} , experiencing a strong discontinuity of the displacement field $\mathbf{u}(\mathbf{x}, t)$ in a failure surface S with normal \mathbf{n} (see *Figure 1*), in the time interval of interest $[0, T]$, can be written as follows:

$$\begin{aligned} \nabla \cdot \dot{\boldsymbol{\sigma}} + \rho_0 \dot{\mathbf{b}} &= \mathbf{0} & \forall(\mathbf{x}, t) \in \Omega \times [0, T] & \text{ (momentum balance)} & (a) \\ \dot{\boldsymbol{\sigma}} \cdot \mathbf{v} &= \dot{\mathbf{t}}^* & \forall(\mathbf{x}, t) \in \Gamma_\sigma \times [0, T] & \text{ (prescribed tractions)} & (b) \\ \dot{\mathbf{u}} &= \dot{\mathbf{u}}^* & \forall(\mathbf{x}, t) \in \Gamma_u \times [0, T] & \text{ (prescribed displacements)} & (c) \\ \dot{\boldsymbol{\sigma}}_{\Omega/S}^+ \cdot \mathbf{n} &= \dot{\boldsymbol{\sigma}}_{\Omega/S}^- \cdot \mathbf{n} & \forall(\mathbf{x}, t) \in S \times [0, T] & \text{ (outer traction continuity)} & (d) \\ \dot{\boldsymbol{\sigma}}_{\Omega/S}^+ \cdot \mathbf{n} &= \dot{\boldsymbol{\sigma}}_S \cdot \mathbf{n} & \forall(\mathbf{x}, t) \in S \times [0, T] & \text{ (inner traction continuity)} & (e) \end{aligned} \quad (13)$$

where equations (13) (a)-(d) are the classical field conditions of a medium with a continuous displacement field, but (possibly) exhibiting a discontinuous stress field in Ω/S , and equation (13)-(e) is an additional equation, specific for the strong discontinuity problem, stating the continuity of the traction field across the failure interface S .

1.1.4. Finite elements with embedded discontinuities:

Finite elements with embedded discontinuities have become a natural numerical ingredient in modeling material failure [3, 20-22] and are a fundamental tool within the CSDA . They consist of the addition, to the standard deformation modes of the basic element, of enriching deformation modes incorporating discontinuous displacement fields. The different families of those elements developed so far could be classified into the following categories:

- *Nodal enrichment*: The enriching modes have a nodal support i.e.: the set of elements sharing a specific node. The ones developed up to date are based on the X-FEM method, which, in turn, lie on the use of the partition of unity concept [23]. The additional degrees of freedom are attached to those regular nodes belonging to any element crossed by the discontinuity. Therefore, they cannot be condensed at the elemental level.
- *Elemental enrichment*: The enriching modes have an elemental support. The additional degrees of freedom representing the elemental displacement jump are attached to those elements crossed by the discontinuity [24]. They can be condensed at the elemental level and, thus, they do not substantially contribute to enlarge the computational cost of the analysis.

Although advantages and disadvantages in both families have been reported [13, 24], the condensability properties of the second one are very appealing when focusing material failure in large three-dimensional problems. Therefore, in the remaining of this work, only finite elements with elemental enrichment will be considered.

2 STABILITY ISSUES

It is a very well known fact that finite element formulations for modelling material failure suffer, very often, from lack of robustness [24, 25]. Even if powerful continuation methods to pass structural unstable points are used (i.e.: arc length methods to traverse limit and turning points), it is noticed that, as the material failure progresses across the solid, the condition number of the structural tangent stiffness matrix deteriorates, the iterative Newton-Raphson procedure fails and, eventually, the numerical simulation cannot be continued. In not few occasions, this type of difficulties in the analysis has been attributed to ill-posedness of the B.V.P. or lack of uniqueness of the corresponding finite element solution. However, even if the problem is mathematically well posed and the solution is unique, one finds eventually those types of difficulties; although some times they can be circumvented for very small (academic, two-dimensional) problems by using skilful procedures, they show up again as large problems, essentially in three dimensions, are tackled.

A first consideration should be made on the source of the problem: lack of uniqueness and global structural instability are not the only reasons for the classical lack of robustness of material failure simulations. Even if those problems are solved, the ultimate reason for lack of

robustness in finite element simulations of material failure is the appearance of negative eigenvalues in the algorithmic elemental stiffness matrices that propagate through the mesh deteriorating, after the assembling procedure, the condition number of the global algorithmic stiffness matrix.

A second consideration refers to the identification of two possible reasons for the appearance of those negative elemental eigenvalues: the lack of symmetry of the finite element formulations, and the use of strain softening in the constitutive models. Although those reasons (and the possible remedies) can be extended to a large variety of formulations for material failure, in next sections they will be examined in the context of the CSDA.

2.1 A non-symmetric finite element formulation for finite elements with embedded discontinuities.

Finite element formulations for solving the weak form of the problem (13) have been presented in detail elsewhere [13]. Here, let us focus our attention on the non-symmetric formulation whose finite dimensional space of the discretized displacements is described by:

$$\begin{aligned} V^h &\equiv \{\dot{\mathbf{u}}(\mathbf{x}, t) ; \dot{\mathbf{u}} = \sum_{i=1}^{n_i} N_i(\mathbf{x}) \dot{\mathbf{d}}_i(t) + \mathcal{M}^{(e)}(\mathbf{x}) \dot{\boldsymbol{\beta}}_e(t)\} \\ \mathcal{M}^{(e)}(\mathbf{x}) &= H_S^{(e)}(\mathbf{x}) - \varphi^{(e)}(\mathbf{x}) ; \quad \varphi^{(e)}(\mathbf{x}) = \sum_{i=1}^{n_e^+} N_{i^+}^{(e)}(\mathbf{x}) \end{aligned} \quad (14)$$

where N_i are the standard interpolation function, $\mathcal{M}^{(e)}$ is the unit ramp function [26] (see Figure 2), $\mathbf{d}_i(t)$ stands for displacement at the regular nodes, i , and $\dot{\boldsymbol{\beta}}_e$ are the elemental additional degrees of freedom representing the displacement jumps at those elements, e , crossed by S . In equation (14) $N_{i^+}^{(e)}$ are the shape functions related to the elemental nodes belonging to Ω^+ .

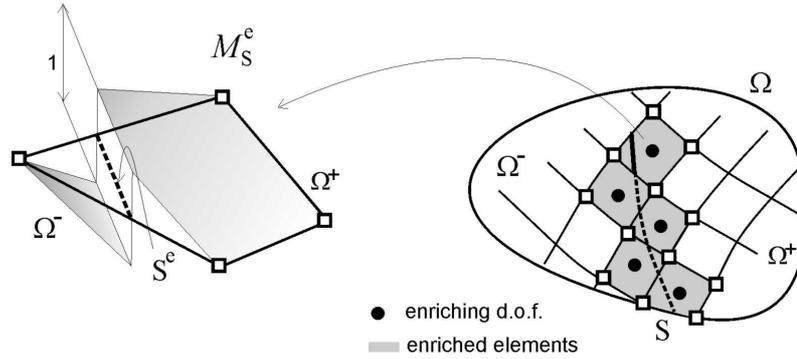


Figure 2: Finite elements with elemental enrichment

The elemental strain rate field that is kinematically compatible with (13), can be written as:

$$\dot{\boldsymbol{\epsilon}}^{(e)} = (\nabla N_i^{(e)} \otimes \dot{\mathbf{d}}_i(t))^S + \left(\left(\frac{\boldsymbol{\mu}_{S^{(e)}}}{k} \mathbf{n} - \nabla \varphi^{(e)} \right) \otimes \dot{\boldsymbol{\beta}}_e(t) \right)^S \quad (15)$$

where $\mu_{S^{(e)}}(\mathbf{x})$ stands for a collocation function ($\mu_{S^{(e)}} = 1$ if $\mathbf{x} \in S^{(e)}$; $\mu_{S^{(e)}} = 0$ otherwise), k is the regularization parameter considered in equation (3), and \mathbf{n} is the normal vector to the elemental failure interface $S^{(e)}$, pointing to Ω^+ .

In the non symmetric finite element approach, the field equations (13) are written in weak form via a Petrov-Galerkin formulation, which enforces the first equations (13)-(a) to (13)-(d) via a standard Galerkin procedure, whereas equation (13)-(e) is locally enforced in strong form, at the center of every element. The resulting formulation can be then written as [13]:

$$\begin{aligned} \int_{\Omega/S} \nabla N_i \cdot \dot{\boldsymbol{\sigma}} d\Omega &= \dot{\mathbf{f}}_i^{ext} & i \in \{1, \dots, n_{node}\} & \quad (a) \\ S^{(e)}(\mathbf{n} \cdot \dot{\boldsymbol{\sigma}}_{S^{(e)}} - \mathbf{n} \cdot \frac{1}{\Omega^{(e)}} \int_{\Omega^{(e)}/S^{(e)}} \dot{\boldsymbol{\sigma}}_{\Omega^{(e)}/S^{(e)}} d\Omega) &= \mathbf{0} & e \in \{1, \dots, n_{elem}\} & \quad (b) \end{aligned} \quad (16)$$

where $S^{(e)}$ stands for a measure of the elemental counterpart of the failure interface. After the assembling process the problem in equations (16) can be written as (see [13, 26] for more details):

$$\begin{aligned} \mathbf{K} \cdot \dot{\mathbf{a}} &= \dot{\mathbf{F}} \quad ; \quad \dot{\mathbf{a}} = \begin{bmatrix} \dot{\mathbf{u}} \\ \dot{\boldsymbol{\beta}} \end{bmatrix} \quad ; \quad \dot{\mathbf{F}} = \begin{bmatrix} \dot{\mathbf{f}} \\ \mathbf{0} \end{bmatrix} \quad ; \quad \dot{\mathbf{u}} = \{\dot{\mathbf{u}}_1, \dots, \dot{\mathbf{u}}_{n_{node}}\}^T \quad ; \quad \dot{\boldsymbol{\beta}} = \{\dot{\boldsymbol{\beta}}_1, \dots, \dot{\boldsymbol{\beta}}_{n_{elem}}\}^T \\ \mathbf{K} &= \mathbf{A}(\mathbf{K}^{(e)}) \quad ; \quad \mathbf{K}^{(e)} = \begin{bmatrix} \mathbf{K}_{uu}^{(e)} & \mathbf{K}_{u\beta}^{(e)} \\ \mathbf{K}_{\beta u}^{(e)} & \mathbf{K}_{\beta\beta}^{(e)} \end{bmatrix} \end{aligned} \quad (17)$$

where $\mathbf{A}(\bullet)$ stands for the assembling operator. The specific sub-matrices of the elemental tangent stiffness matrix $\mathbf{K}^{(e)}$ are:

$$\begin{aligned} [\mathbf{K}_{uu}^e]_{ij} &= \int_{\Omega^{(e)}/S^{(e)}} \nabla N_i \cdot \mathbf{C}_{\Omega/S}^{alg} \cdot \nabla N_j d\Omega & (a) \\ [\mathbf{K}_{u\beta}^e]_i &= - \int_{\Omega^{(e)}/S^{(e)}} \nabla N_i \cdot \mathbf{C}_{\Omega/S}^{alg} \cdot \nabla \varphi^{(e)} d\Omega & (b) \\ [\mathbf{K}_{\beta u}^e]_j &= - \frac{S^{(e)}}{\Omega^e} \int_{\Omega^{(e)}/S^{(e)}} \mathbf{n} \cdot \mathbf{C}_{\Omega/S}^{alg} \cdot \nabla N_j d\Omega & (c) \\ [\mathbf{K}_{\beta\beta}^e] &= \frac{S^{(e)}}{\Omega^e} \int_{\Omega^{(e)}/S^{(e)}} \mathbf{n} \cdot \mathbf{C}_{\Omega/S}^{alg} \cdot \nabla \varphi^{(e)} d\Omega + S^{(e)} \mathbf{n} \cdot \frac{\mathbf{C}_S^{alg}}{k} \cdot \mathbf{n} & (d) \end{aligned} \quad (18)$$

where $\mathbf{C}_{\Omega/S}^{alg}$ and \mathbf{C}_S^{alg} stand for the *algorithmic* counterparts, at the bulk Ω/S , and at the failure interface S , respectively, emerging from the considered time integration procedure of the constitutive model. As the time step length tends to zero, they must converge to the tangent constitutive operators in equations (10). It can be noticed in equations (18) that, even if those constitutive operators are symmetric, the elemental stiffness $\mathbf{K}^{(e)}$ is unsymmetrical ($\mathbf{K}_{u\beta}^{(e)} \neq (\mathbf{K}_{\beta u}^{(e)})^T$; $\mathbf{K}_{\beta\beta}^{(e)} \neq (\mathbf{K}_{\beta\beta}^{(e)})^T$ excepting for the very particular case:

$$\nabla\varphi^{(e)} = \mathbf{n} \frac{S^{(e)}}{\Omega^e} \quad (19)$$

For linear elements, this corresponds to the case of the elemental failure line/surface being parallel to one side/surface of the element (see *Figure 3*).

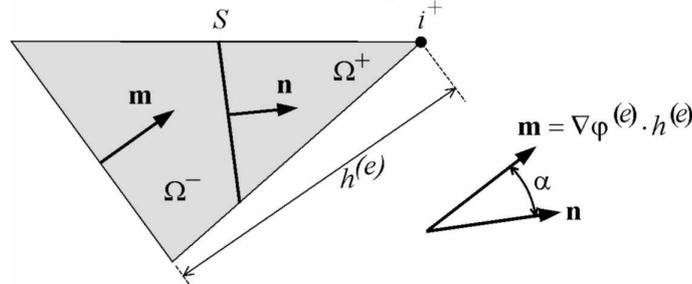


Figure 3: Orientation of the failure interface in an enriched element

2.2 Stability analysis

On the light of the expressions of the elemental stiffness matrices in equations (18), one can identify two different sources of negative eigen-values in the elemental tangent stiffness $\mathbf{K}^{(e)}$:

- 1) Even if the constitutive operators $\mathbf{C}_{\Omega/S}^{\text{alg}}$ and $\mathbf{C}_S^{\text{alg}}$ are positive definite (as it happens in equation (10) for the elastic or unloading cases), still remains a reason for the eventual production of negative eigenvalues: the non symmetric character of the term $\mathbf{n} \cdot \mathbf{C}_{\Omega/S}^{\text{alg}} \cdot \nabla\varphi^{(e)}$ in equation (18)-(d). Unless the vectors \mathbf{n} and $\nabla\varphi^{(e)}$ are parallel (as it occurs in the very particular symmetric case presented in equation (19)), that term may contribute to produce negative eigenvalues as the angle between those vectors is large enough (see *Figure 3*). This problem has been mentioned some times in the literature as responsible for lack of uniqueness of the finite element solution [24] or for a bad tracing of the failure interface [7], but here it is analyzed from the stability and robustness point of view. At any case, it is clearly typical of unsymmetrical finite element formulations, as the one presented in section 2.1, and *symmetric finite element formulations would totally preclude this source of instability*.
- 2) Even if the finite element formulation is symmetric, the strain softening in the constitutive model may be responsible for the appearance of negative eigenvalues at any of the constitutive operators $\mathbf{C}_{\Omega/S}^{\text{alg}}$ or $\mathbf{C}_S^{\text{alg}}$ in equations (18) and becomes a possible source of negative eigenvalues in the elemental stiffness matrix. Neither imposition of an elastic behavior in the bulk, as it is done in many discrete formulations of the SDA based on using discrete traction-separation laws (equipped with displacement softening) at the failure interface, solves the problem. This would be equivalent to equate $\mathbf{C}_{\Omega/S}^{\text{alg}}$ in equations (18) to the, positive definite, elastic

constitutive operator \mathbf{C}^e in equations (10), but it still remains the term $\mathbf{n} \cdot \frac{\mathbf{C}_S^{\text{alg}}}{k} \cdot \mathbf{n}$, in equation (18)-(d), exhibiting negative eigenvalues for loading processes ($\mathbf{C}_S^{\text{alg}} = \mathbf{C}^l$, in equations (10)) due to the negative value of the softening modulus H . On the light of the previous reasoning we conclude that this source of instability can be completely removed if *the positive definite character of the algorithmic constitutive operators $\mathbf{C}_{\Omega/S}^{\text{alg}}$ and $\mathbf{C}_S^{\text{alg}}$ is ensured at any point of either the bulk Ω/S or the failure interface S .*

In summary, from the previous analysis it can be concluded that *a symmetric formulation of the finite element with embedded discontinuities, combined with an integration procedure that renders the algorithmic tangent constitutive operator positive definite, would remove the identified sources of lack of robustness of the CSDA.* Actions to achieve these goals are described in next sections.

2.3 Symmetric (kinematically consistent) formulation. Rate approach.

In order to render symmetric the finite element formulation presented in section 2.1, a complete Galerkin procedure has to be used, even to impose, in weak form, the inner traction continuity equation (13)-(e). Let us consider the displacement field belonging to the finite dimensional space given in equation (14), and the following space for the test functions (virtual displacements):

$$V_0^h \equiv \{\boldsymbol{\eta}^h(\mathbf{x}, t) ; \boldsymbol{\eta}^h = \sum_{i=1}^{n_e} N_i(\mathbf{x}) \boldsymbol{\eta}_i(t) + \mathcal{M}_S^{(e)}(\mathbf{x}) \tilde{\boldsymbol{\eta}}_e(t) ; \boldsymbol{\eta}_i|_{\Gamma_u} = 0\} \quad (20)$$

The variational principle (Virtual work principle):

$$\delta \Pi(\dot{\mathbf{u}}; \boldsymbol{\eta}^h) \equiv \int_{\Omega} \nabla^S \boldsymbol{\eta}^h : \dot{\boldsymbol{\sigma}} d\Omega - \left[\int_{\Omega} \boldsymbol{\eta}^h \cdot \rho_0 \dot{\mathbf{b}} dV + \int_{\Gamma_e} \boldsymbol{\eta}^h \cdot \dot{\mathbf{t}}^* d\Gamma \right] = \mathbf{0} ; \forall \boldsymbol{\eta}^h \in V_0^h \quad (21)$$

defines a formulation of a finite element with an embedded discontinuity that: *a) belongs to the symmetric kinematically consistent class* [24, 26], and *b) is the weak form of the problem* (13). The matrix form of the BVP resulting from this type of formulation is similar to the one in equations (17). Now, the sub-matrices of the elemental stiffness matrix $\mathbf{K}^{(e)}$ read:

$$[\mathbf{K}_{uu}^e]_{ij} = \int_{\Omega^{(e)}/S^{(e)}} \nabla N_i \cdot \mathbf{C}_{\Omega/S}^{\text{alg}} \cdot \nabla N_j d\Omega \quad (a)$$

$$[\mathbf{K}_{u\beta}^e]_i = - \int_{\Omega^{(e)}/S^{(e)}} \nabla N_i \cdot \mathbf{C}_{\Omega/S}^{\text{alg}} \cdot \nabla \varphi^{(e)} d\Omega \quad (b)$$

$$[\mathbf{K}_{\beta u}^e]_j = - \int_{\Omega^{(e)}/S^{(e)}} \nabla \varphi^{(e)} \cdot \mathbf{C}_{\Omega/S}^{\text{alg}} \cdot \nabla N_j d\Omega \quad (c)$$

$$[\mathbf{K}_{\beta\beta}^e] = \int_{\Omega^{(e)}/S^{(e)}} \nabla \varphi^{(e)} \cdot \mathbf{C}_{\Omega/S}^{\text{alg}} \cdot \nabla \varphi^{(e)} d\Omega + \int_{S^{(e)}} \mathbf{n} \cdot \frac{\mathbf{C}_S^{\text{alg}}}{k} \cdot \mathbf{n} dS \quad (d)$$

(22)

Notice that the finite element formulation displayed in equations (20) to (22) fits into the original versions of that symmetric finite elements with embedded discontinuities in [27-29] *except for the rate format of the virtual work principle in equation (21)*. In fact, the element, as it was originally formulated *in total form*, fails to pass the patch test for elementary piecewise constant stress fields (as in elastic solutions). As a result, the enriching incompatible modes for a given element activate even before the material failure and this substantially affects the accuracy of the results at stages prior to the material failure. This could be the reason because this particular symmetric element has not been profusely used in the literature. However, the rate format presented here, combined with an appropriated time integration scheme, allows overcoming this problem as it is shown in next section.

2.3.1 Time integration: Incremental implementation.

In the context of a quasi-static problem ruled by the time-like parameter t during a discrete number of time steps, n_{int} , of typical length Δt :

$$t \in [0, T] = \bigcup_0^{n_{\text{int}}} [t_n, t_{n+1}] \quad ; \quad t_{n+1} - t_n = \Delta t \quad (23)$$

the variational principle (21) can be integrated leading to the following non linear problem in the interval $[t_n, t_{n+1}]$:

$$\int_{\Omega} \nabla^S \boldsymbol{\eta}^h : (\boldsymbol{\sigma}_{n+1} - \boldsymbol{\sigma}_n) d\Omega - \left[\int_{\Omega} \boldsymbol{\eta}^h \cdot \rho_0 (\mathbf{b}_{n+1} - \mathbf{b}_n) d\Omega + \int_{\Gamma_\sigma} \boldsymbol{\eta}^h \cdot (\mathbf{t}_{n+1}^* - \mathbf{t}_n^*) d\Gamma \right] = 0 \quad (24)$$

$$\nabla \boldsymbol{\eta}^h \in V^h$$

Substitution of the test functions of equation (14) and some additional manipulation, gives rise to the following set of non linear equations:

$$\underbrace{\left\{ \begin{array}{l} \int_{\Omega} \nabla N_i \cdot \boldsymbol{\sigma}_{n+1} d\Omega \\ \int_{\Omega^{(e)}} \nabla \mathcal{M}^{(e)} \cdot (\boldsymbol{\sigma}_{n+1} - \boldsymbol{\sigma}_n) d\Omega \end{array} \right\}}_{\mathbf{F}_{\text{int}}(\mathbf{u}_i, \boldsymbol{\beta}_e)_{n+1}} = \underbrace{\left\{ \begin{array}{l} \left[\int_{\Omega} N_i \rho_0 \mathbf{b}_{n+1} dV + \int_{\Gamma_\sigma} N_i \mathbf{t}_{n+1}^* d\Gamma \right] \\ \mathbf{0} \end{array} \right\}}_{\mathbf{F}_{\text{ext } n+1}} \quad \begin{array}{l} \forall i \in \{1, \dots, n_{\text{node}}\} \\ \forall e \in \{1, \dots, n_{\text{elem}}\} \end{array} \quad (25)$$

The specific (incremental) format of the second set of equations (25) allows bypassing the aforementioned problem in passing the patch test. In fact, from the expression of $\mathcal{M}^{(e)}(\mathbf{x})$ in equation (14) it can be readily shown that:

$$\int_{\Omega^{(e)}} \nabla \mathcal{M}^{(e)} \cdot (\boldsymbol{\sigma}_{n+1} - \boldsymbol{\sigma}_n) d\Omega \neq \mathbf{0} \quad \text{for} \quad \begin{cases} \boldsymbol{\sigma}_{n+1}(\mathbf{x}) = \text{constant in } \Omega^{(e)} \\ \boldsymbol{\sigma}_n(\mathbf{x}) = \text{constant in } \Omega^{(e)} \end{cases} \quad (26)$$

unlike what is required for that elastic patch test criterion. However, the incremental character of equation (26) reveals that:

$$\int_{\Omega^{(e)}} \nabla M^{(e)} \cdot (\boldsymbol{\sigma}_{n+1} - \boldsymbol{\sigma}_n) d\Omega = O(\Delta t) \rightarrow \mathbf{0} \quad (27)$$

and, therefore, that the patch test criterion tends to be fulfilled with decreasing time steps ($\Delta t \rightarrow 0$). Consequently, *refinement in the time-like domain leads to the fulfilment of the patch test in the space domain*. In a subsequent manipulation, equations (25) can be modified as:

$$\underbrace{\begin{cases} \int_{\Omega} \nabla N_i \cdot \boldsymbol{\sigma}_{n+1} d\Omega \\ \int_{\Omega^{(e)}} \nabla M^{(e)} \cdot (\boldsymbol{\sigma}_{n+1} - \boldsymbol{\sigma}_n) d\Omega \end{cases}}_{\mathbf{F}_{\text{int}}(\mathbf{u}_i, \boldsymbol{\beta}_e)_{n+1}} = \underbrace{\begin{cases} \left[\int_{\Omega} N_i \rho_0 \mathbf{b}_{n+1} d\Omega + \int_{\Gamma_{\sigma}} N_i \mathbf{t}_{n+1}^* d\Gamma \right] \\ \mathbf{0} \end{cases}}_{\mathbf{F}_{\text{ext } n+1}} \begin{cases} \forall i \in \{1, \dots, n_{\text{node}}\} \\ \forall e \in \{1, \dots, n_{\text{elem}}\} \\ \forall t_n \geq t_B^{(e)} \end{cases} \quad (28)$$

where $t_B^{(e)}$ stands for the time of the onset of the material failure at element e . This precludes the activation of the incompatible discontinuous modes during the elastic regime and returns to the element its original accuracy at stages prior to the onset of material failure.

2.3.2 Convergence test

Unlike the nonsymmetrical formulation in section 2.1 the symmetrical weak formulation does not enforce the inner traction continuity equation (13)-(e) in strong form. However, the equivalence of the weak form (21) and the totality of the field equations in the B.V.P (13) can be rigorously proven (see, for instance [20]). Therefore, it is expected that, in the present symmetric formulation, mesh refinement will determine a correct trend (convergence) to the fulfillment of those equations. The test in Figure 4 constitutes a simple corroboration of this fact, taking the linear triangle as the underlying element, and provides an assessment of the order of convergence of that symmetric finite element with embedded discontinuities.

The test consists of a homogeneous rectangular strip pulled from this right end with a force \mathbf{P} , imposing a displacement Δ , up to the formation of an inclined failure line and, then, continued to the total failure and release of the stresses. Due to the induced constant stress field, the considered bi-linear stress-strain law for the constitutive model should translate into a bilinear force-displacement ($\mathbf{P} - \Delta$) curve. To check the convergence to the right slope of the descending branch and, therefore, to the right energy dissipation Gf , a homogeneous mesh refinement, parameterized in terms of the element size h , is performed. Figure 4 (left) shows the results, for increasingly fine meshes, converging to the exact solution (the curve limiting the gray zone). The errors in the dissipated energy (fracture energy) for the different levels of discretization are displayed in Figure 4 (right), where the linear convergence of the element is observed.

It is worth mentioning that this symmetric element, although convergent, exhibits a accuracy smaller than its unsymmetrical counterpart, according to the formulation in section 2.1, for this type of homogeneous/constant stress problem. Indeed, it can be proven that, for this particular case, the unsymmetrical element provides the exact solution with only one element.

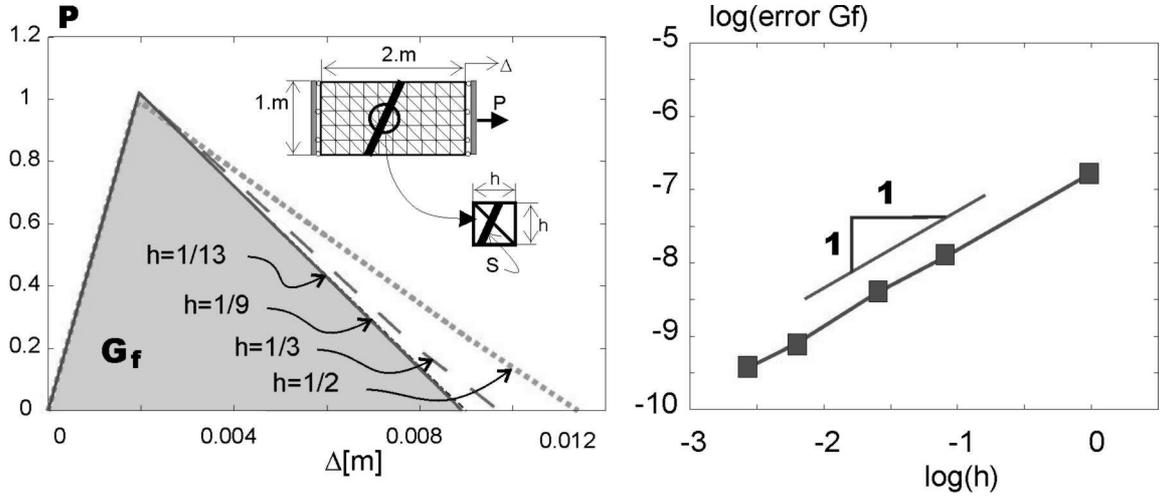


Figure 4: Symmetric finite element formulation: convergence test

2.4 Implicit-explicit integration scheme for the constitutive model

2.4.1 Consistent algorithmic operator of the implicit integration procedure

Let us focus on the damage constitutive model in the left equations (4) to (10). Implicit integration of the rate equations (5) and (9) leads to the following solutions, at time t_{n+1} , for the internal variables r_{n+1} , q_{n+1} and the stress σ_{n+1} in terms of the current strains ϵ_{n+1} (see [19]):

$$\epsilon_{n+1} \mapsto \begin{cases} \dot{r} = \dot{\lambda} \geq 0 & ; \quad r|_{t=0} = r_o \\ g(\epsilon_{n+1}, r_{n+1}) \equiv \tau_\epsilon(\epsilon_{n+1}) - r_{n+1} \leq 0 \end{cases} \rightarrow r_{n+1}(\epsilon_{n+1}) = \max_{s \in [0, t_n]} (r_s, \tau_\epsilon(\epsilon_{n+1})) \quad (29)$$

$$\Delta \lambda_{n+1}(\epsilon_{n+1}) = \Delta r_{n+1} = r_{n+1}(\epsilon_{n+1}) - r_n$$

$$q_{n+1}(\epsilon_{n+1}) = q_n + H \Delta r_{n+1}$$

$$\sigma_{n+1}(\epsilon_{n+1}) = \frac{q_{n+1}(\epsilon_{n+1})}{r_{n+1}(\epsilon_{n+1})} \underbrace{\mathbf{C}^e : \epsilon_{n+1}}_{\bar{\sigma}_{n+1}} \quad (30)$$

In addition, from equation (30) it can be obtained the so-called *algorithmic consistent tangent operator* $\mathbf{C}_{n+1}^{\text{alg}}$ defined through:

$$\begin{aligned} \delta \sigma_{n+1} &= \mathbf{C}_{n+1}^{\text{alg}} : \delta \epsilon_{n+1} \\ \mathbf{C}_{n+1}^{\text{alg}} &= \begin{cases} \mathbf{C}_{n+1}^{\text{alg}(u)} = \frac{q_{n+1}}{r_{n+1}} \mathbf{C}^e & (\text{elastic/unloading}) \\ \mathbf{C}_{n+1}^{\text{alg}(l)} = \frac{q_{n+1}}{r_{n+1}} \mathbf{C}^e - \frac{q_{n+1} - H r_{n+1}}{r_{n+1}^3} \bar{\sigma}_{n+1}^+ \otimes \bar{\sigma}_{n+1} & (\text{loading}) \end{cases} \quad (31) \end{aligned}$$

which assures quadratic convergence of the iterative Newton-Raphson procedure when solving the resulting non-linear problem. A similar, slightly more complex, procedure can be performed to integrate implicitly the plasticity model in the right equations (4) to (10). After some algebraic operations one gets [16]:

$$\boldsymbol{\varepsilon}_{n+1} \mapsto \begin{cases} \dot{r} = \dot{\lambda} \geq 0 & ; \quad r|_{t=0} = 0 \\ \Delta \lambda_{n+1} = \Delta r_{n+1} = r_{n+1} - r_n \end{cases} \rightarrow q_{n+1}(\Delta \lambda_{n+1}) = q_n - H(\Delta r_{n+1}) = q_n + H \Delta \lambda_{n+1} \quad (32)$$

The algorithmic plastic multiplier, $\Delta \lambda_{n+1}$, can be solved by imposing, for loading cases, the yield criterion (7) (consistency) at time t_{n+1} :

$$\boldsymbol{\varepsilon}_{n+1} \mapsto \begin{cases} \boldsymbol{\sigma}_{n+1}(\Delta \lambda_{n+1}) = \boldsymbol{\sigma}_n + \mathbf{C}^e : (\boldsymbol{\varepsilon}_{n+1} - \boldsymbol{\varepsilon}_n) - \Delta \lambda_{n+1} \mathbf{C}^e : \boldsymbol{\xi}_{n+1}(\boldsymbol{\sigma}_{n+1}(\Delta \lambda_{n+1})) \\ \boldsymbol{\xi}_{n+1} = \frac{\partial g(\boldsymbol{\sigma}_{n+1}, q_{n+1})}{\partial \boldsymbol{\sigma}_{n+1}} & ; \quad \mathbf{A}_{n+1} = \frac{\partial^2 g(\boldsymbol{\sigma}_{n+1}, q_{n+1})}{\partial \boldsymbol{\sigma}_{n+1} \otimes \partial \boldsymbol{\sigma}_{n+1}} \end{cases} \rightarrow \quad (33)$$

$$\rightarrow g(\boldsymbol{\sigma}_{n+1}, q_{n+1}) \equiv g(\boldsymbol{\sigma}_{n+1}(\boldsymbol{\varepsilon}(\Delta \lambda_{n+1})), q_{n+1}(\Delta \lambda_{n+1})) = 0 \rightarrow \Delta \lambda_{n+1}(\boldsymbol{\varepsilon}_{n+1}) \rightarrow$$

$$\rightarrow \boldsymbol{\sigma}_{n+1}(\Delta \lambda_{n+1}(\boldsymbol{\varepsilon}_{n+1}))$$

Some additional manipulations lead to the algorithmic tangent operator $\mathbf{C}_{n+1}^{\text{alg}}$:

$$\delta \boldsymbol{\sigma}_{n+1} = \mathbf{C}_{n+1}^{\text{alg}} : \delta \boldsymbol{\varepsilon}_{n+1}$$

$$\mathbf{C}_{n+1}^{\text{alg}} = \begin{cases} \mathbf{C}_{n+1}^{\text{alg}(u)} = \mathbf{C}^e & (\text{elastic/unloading}) \\ \mathbf{C}_{n+1}^{\text{alg}(l)} = \hat{\mathbf{C}} - \frac{\hat{\mathbf{C}} : \boldsymbol{\xi}_{n+1} \otimes \hat{\mathbf{C}} : \boldsymbol{\xi}_{n+1}}{H + \boldsymbol{\xi}_{n+1} : \hat{\mathbf{C}} : \boldsymbol{\xi}_{n+1}} & (\text{loading}) \\ \hat{\mathbf{C}} = (\mathbf{I} + \Delta \lambda_{n+1} \mathbf{C}^e : \mathbf{A}_{n+1})^{-1} : \mathbf{C}^e \end{cases} \quad (34)$$

Inspection of the algorithmic constitutive operators $\mathbf{C}_{n+1}^{\text{alg}}$ in (31) and (34) reveals that $\mathbf{C}_{n+1}^{\text{alg}(u)}$ is always positive definite, but $\mathbf{C}_{n+1}^{\text{alg}(l)}$ may lose the positive character as strain softening ($H < 0$) is considered. Indeed, this is the case that one faces in computational material failure: as it is well known, loss of strong ellipticity of the algorithm tangent operator \mathbf{C}^{alg} at increasing parts of the analyzed domain Ω , deteriorates the positive character of the structural tangent stiffness \mathbf{K} of the discrete problem (24), even using the symmetric formulation, which, eventually, becomes singular. This translates into enormous problems of convergence and robustness in the resulting solving process.

The situation can be summarized as follows: *the use of classical implicit integration algorithms for constitutive models equipped with strain softening, results into accurate results even for large time steps. However, it also results into ill conditioning of the stiffness matrix of the resulting problem which, in turn, enforces small time steps and computationally costly procedures to get convergence* (very often, even no convergence is obtained). In view of this observation, the question of the worthiness to renounce to some of the accuracy of the purely

implicit integration procedures to benefit the robustness of the solving procedure arises. This motivates the implicit-explicit integration procedure presented in next section.

2.4.2 Implicit- explicit integration. Effective algorithmic operator.

The procedure consists of using *two integration schemes per time step*: one is the *implicit* (Backward-Euler) sketched in equations (29) to (34) furnishing “implicit” values for the variables of the problem, $r(\boldsymbol{\epsilon}_{n+1})$, $q(\boldsymbol{\epsilon}_{n+1})$, $\boldsymbol{\sigma}_{n+1}(\boldsymbol{\epsilon}_{n+1})$, in terms of the current strains $\boldsymbol{\epsilon}_{n+1}$. A second *explicit* integration is performed at the same time step, furnishing other “explicit” values $\tilde{r}(\boldsymbol{\epsilon}_{n+1})$, $\tilde{q}(\boldsymbol{\epsilon}_{n+1})$, $\tilde{\boldsymbol{\sigma}}_{n+1}(\boldsymbol{\epsilon}_{n+1})$ for the variables of the model. The variational problem (24) is then solved using the explicit stresses $\tilde{\boldsymbol{\sigma}}_{n+1}$, and the algorithmic constitutive operator, consistent with the integration scheme in the variational problem, is termed the *effective* algorithmic operator, $\mathbf{C}_{n+1}^{\text{eff}} = \partial_{\boldsymbol{\epsilon}_{n+1}} \tilde{\boldsymbol{\sigma}}_{n+1}(\boldsymbol{\epsilon}_{n+1})$ (to be distinguished from the one in the implicit integration procedure $\mathbf{C}_{n+1}^{\text{alg}} = \partial_{\boldsymbol{\epsilon}_{n+1}} \boldsymbol{\sigma}_{n+1}(\boldsymbol{\epsilon}_{n+1})$). Some interesting properties of the effective operator are displayed next for the continuum damage and the elasto-plastic models.

a) Isotropic Continuum Damage model.

According to the evolution equations (5) and (8) ($\dot{r} = \dot{\lambda} \geq 0$) the strain like variable r is a non-decreasing function of the pseudo-time t (see Figure 5). This makes it quite suitable for extrapolations at relatively low error. Let us, then, consider the following linear *explicit* extrapolation of that variable at time step t_{n+1} in terms of the *implicitly* computed values of that variables at times t_n and t_{n-1} .

$$\tilde{r}_{n+1} = r_n + \frac{\Delta t_{n+1}}{\Delta t_n} \Delta r_n \quad ; \quad \Delta r_n = r_n - r_{n-1} \quad (35)$$

which can be considered a first order approximation of the Taylor’s expansion of r_{n+1} around r_n :

$$r_{n+1} = r_n + \underbrace{\dot{r}_n}_{\frac{\Delta r_n}{\Delta t_n}} \Delta t_{n+1} + \mathcal{O}(\Delta t_{n+1}^2) = \tilde{r}_{n+1} + \mathcal{O}(\Delta t_{n+1}^2) \quad (36)$$

Two considerations emerge from equations (35) and (36):

- \tilde{r}_{n+1} constitutes a prediction for the value of the internal value at time step $n+1$ that can be computed at the end of the time step n and, thus, is independent of the value of the current strains $\boldsymbol{\epsilon}_{n+1}$.
- Indeed, there is an additional error introduced by computing the stresses at time step $n+1$ in terms of the value \tilde{r}_{n+1} instead of the implicit value r_{n+1} . However, this error

can be reduced (or controlled) either by decreasing the time step length or by increasing the order of the extrapolation procedure.

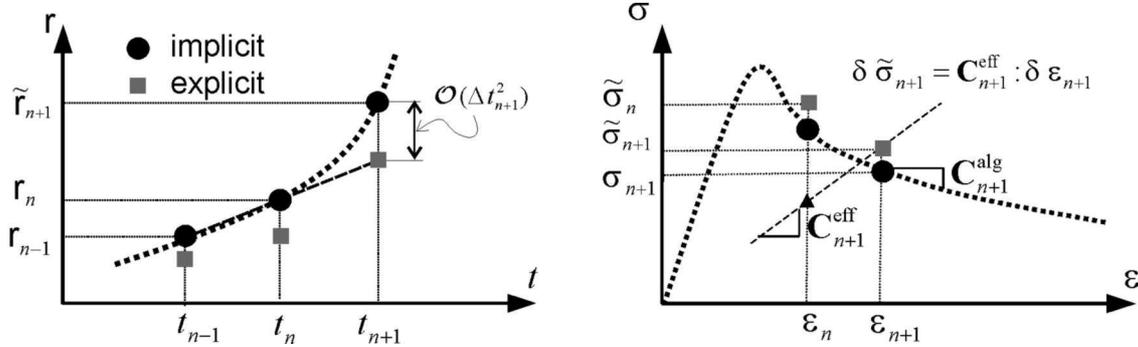


Figure 5: Implicit/explicit integration algorithm. Left: extrapolation of the strain-like internal variable. Right: prediction/correction phases of the implicit-explicit integration procedure.

Once \tilde{r}_{n+1} is known, from equation (35), the stresses at the current time t_{n+1} can be computed using equations (6) and (9) as:

$$\begin{cases} \tilde{q}_{n+1} = q_n + H_n (\tilde{r}_{n+1} - r_n) = q_n + H_n \Delta r_n = q_n + H_n (r_n - r_{n-1}) \\ \tilde{q}_{n+1} \geq 0 \end{cases} \quad (37)$$

$$\boldsymbol{\epsilon}_{n+1} \mapsto \tilde{\boldsymbol{\sigma}}_{n+1} = \frac{\tilde{q}_{n+1}}{\tilde{r}_{n+1}} \mathbf{C}^e : \boldsymbol{\epsilon}_{n+1} \quad (38)$$

where it should be noticed that neither \tilde{q}_{n+1} nor \tilde{r}_{n+1} depend on the current strains $\boldsymbol{\epsilon}_{n+1}$ and, therefore, the algorithmic stresses $\tilde{\boldsymbol{\sigma}}_{n+1}$ only depend, linearly, on the strains. Thus, the algorithmic tangent operator emerging from the above implicit-explicit integration procedure (from now on termed the *effective algorithmic operator*) reads:

$$\mathbf{C}_{n+1}^{\text{eff}} = \frac{\partial \tilde{\boldsymbol{\sigma}}_{n+1}}{\partial \boldsymbol{\epsilon}_{n+1}} = \frac{\tilde{q}_{n+1}}{\tilde{r}_{n+1}} \mathbf{C}^e \quad ; \quad \delta \tilde{\boldsymbol{\sigma}}_{n+1} = \mathbf{C}_{n+1}^{\text{eff}} : \delta \boldsymbol{\epsilon}_{n+1} \quad (39)$$

which is constant during the time step $n+1$. In addition, due to the positive character of \tilde{q}_{n+1} , \tilde{r}_{n+1} and \mathbf{C}^e , one can conclude that $\mathbf{C}_{n+1}^{\text{eff}}$ is always positive definite.

b) Elasto-plastic material model.

Similarly, for the elasto-plastic rate independent model in equations (4)-(10), extrapolation of the strain-like internal variable r_{n+1} reads:

$$\left\{ \begin{array}{l} \tilde{r}_{n+1} = r_n + \underbrace{\dot{r}_n}_{\frac{\Delta r_n}{\Delta t_n}} \Delta t_{n+1} = r_n + \frac{\Delta t_{n+1}}{\Delta t_n} \underbrace{(r_n - r_{n-1})}_{\Delta \lambda_n \geq 0} = r_n + \frac{\Delta t_{n+1}}{\Delta t_n} \Delta \lambda_n \\ \Delta \tilde{\lambda}_{n+1} \stackrel{def}{=} \tilde{r}_{n+1} - r_n = \frac{\Delta t_{n+1}}{\Delta t_n} \Delta \lambda_n \geq 0 \end{array} \right. \quad (40)$$

where $\Delta \lambda_n$ is the *implicitly integrated value of the plastic multiplier at time step n* . Then, from equation (9):

$$\left\{ \begin{array}{l} \tilde{q}_{n+1} = q_n + H_n (\tilde{r}_{n+1} - r_n) = q_n + H_n \Delta r_n = q_n + H_n (r_n - r_{n-1}) \\ \sigma_u \geq \tilde{q}_{n+1} \geq 0 \end{array} \right. \quad (41)$$

Once the predictions $\Delta \tilde{\lambda}_{n+1}$ and \tilde{q}_{n+1} are available, the stress field can be computed from equation (33) as:

$$\boldsymbol{\varepsilon}_{n+1} \mapsto \left\{ \begin{array}{l} \tilde{\boldsymbol{\sigma}}_{n+1} = \boldsymbol{\sigma}_n + \mathbf{C}^e : (\boldsymbol{\varepsilon}_{n+1} - \boldsymbol{\varepsilon}_n) - \Delta \tilde{\lambda}_{n+1} \mathbf{C}^e : \tilde{\boldsymbol{\xi}}(\tilde{\boldsymbol{\sigma}}_{n+1}) \\ \tilde{\boldsymbol{\xi}}_{n+1} = \frac{\partial g(\tilde{\boldsymbol{\sigma}}_{n+1}, \tilde{q}_{n+1})}{\partial \tilde{\boldsymbol{\sigma}}_{n+1}} ; \quad \mathbf{A}_{n+1} = \frac{\partial^2 g(\tilde{\boldsymbol{\sigma}}_{n+1}, \tilde{q}_{n+1})}{\partial \tilde{\boldsymbol{\sigma}}_{n+1} \otimes \partial \tilde{\boldsymbol{\sigma}}_{n+1}} \end{array} \right. \quad (42)$$

where $\boldsymbol{\sigma}_n$ is the implicit stress at time step n . The corresponding effective algorithmic tensor reads :

$$\mathbf{C}_{n+1}^{eff} = \frac{\partial \tilde{\boldsymbol{\sigma}}_{n+1}}{\partial \boldsymbol{\varepsilon}_{n+1}} = \left(\mathbf{I} + \Delta \tilde{\lambda}_{n+1} \mathbf{A}_{n+1} \right)^{-1} : \mathbf{C}^e \quad ; \quad \delta \tilde{\boldsymbol{\sigma}}_{n+1} = \mathbf{C}_{n+1}^{eff} : \delta \boldsymbol{\varepsilon}_{n+1} \quad (43)$$

It should be noticed that, for the thermodynamically consistent plasticity models, the tensor \mathbf{A}_{n+1} in equations (33) and (42) is positive definite (as part of the requirements for convexity of the yield (or the potential) surface $g(\boldsymbol{\sigma}, q)$ in equation (7), in the generalized stress ($\boldsymbol{\Sigma} \equiv \{\boldsymbol{\sigma}, q\}^T$) space [16]. In addition, as a requirement for the dimensional consistency of $g(\boldsymbol{\Sigma})$, the yield function must be an homogeneous, of a certain order, function of $\boldsymbol{\Sigma}$ which, with a simple algebraic treatment, can be appropriately reduced to one of second order. In summary: \mathbf{A}_{n+1} in equation (43) *can be always made constant and positive definite and, therefore, so is the effective algorithmic tensor \mathbf{C}_{n+1}^{eff}* .

2.4.3 Remarks on the implicit/explicit integration scheme

The following aspects should be noticed about the proposed implicit/explicit integration scheme in equations (35) to (43):

1. Since the extrapolated values, \tilde{r}_{n+1} , are obtained in terms of the *implicitly integrated* values, r_n and r_{n-1} , one can expect that the well-known stability properties of the implicit integration procedures are being inherited by their explicit extrapolations (35) and (40). In other words, the integration errors will not amplify even for relative large time steps, unlike it typically happens for purely explicit schemes.
2. The ability of the constitutive model to reproduce material instability and failure, via the loss of the positive character of the tangent constitutive operator, still holds. The implicit/explicit integration procedure is, in fact, *an algorithmically stable (robust) integration procedure to approach the instable response of the material and of the constitutive model*. This fact is emphasized in Figure 5 (right) where the implicit/explicit integration of the constitutive model can be understood as composed of a prediction stage, at the end of time step n , followed by a linear correction, characterized by the constant and positive definite operator \mathbf{C}_{n+1}^{eff} , during time step $n+1$.
3. The algorithmic tangent constitutive operator, \mathbf{C}_{n+1}^{alg} in equations (31) and (34), *consistent with the implicit integration scheme* can be still calculated at every time step and its spectral properties used to determine the onset of local material failure and the directions of propagation of material failure.
4. The constant and positive definite character of the *effective algorithmic operator* \mathbf{C}_{n+1}^{eff} in equations (39) and (43), will now have the following effects on the iterative procedure for solving the non-linear problem:
 - The stiffness matrix of the problem will always be semi-positive definite. No singularities, due to the constitutive behavior, should then be expected at any time.
 - Still structural instabilities, at limit points, turning points or bifurcation points can appear, and they should be faced with standard tools (arc-length, continuation methods etc.). Anyway, the robustness of the analysis should be dramatically increased.
 - Since the structural tangent stiffness matrix is now constant and well conditioned, *the Newton-Raphson procedure*, applied to equations (28), *should converge in just one iteration per time step*.
5. In compensation, smaller accuracies for relatively large time steps, in comparison with the ones obtained with the purely implicit integration procedure, should be expected. Consequently, the time advancing procedure should be combined with a procedure for controlling the integration errors in equations (36) and (40).

3 REPRESENTATIVE NUMERICAL SIMULATIONS

3.1 Tests on the convergence of the implicit/explicit integration

The goal of these tests is to evaluate and compare the accuracy, and the required computational cost, when using either the implicit or the implicit/explicit integration scheme. Comparisons are done using a number of structural failure tests, considering both plasticity and continuum damage material models, either in 2D or 3D problems. The accuracy of the implicit/explicit scheme is evaluated by comparing the solutions, obtained using constant step lengths, without any control of the integration error, with those obtained with the purely implicit integration procedure.

3.1.1 Continuum damage model: Four-point bending test on a concrete specimen

The four-point bending test is a well-known problem that has widely been used in the literature as a numerical benchmark. Here, the experiment reported by Arrea et al. [30] is simulated as a 2D problem assuming plane stress conditions. The structure is a notched concrete beam subjected to the load system described in Figure 6. The geometry and material data are also displayed there. The finite element mesh has approximately 1900 triangular elements.

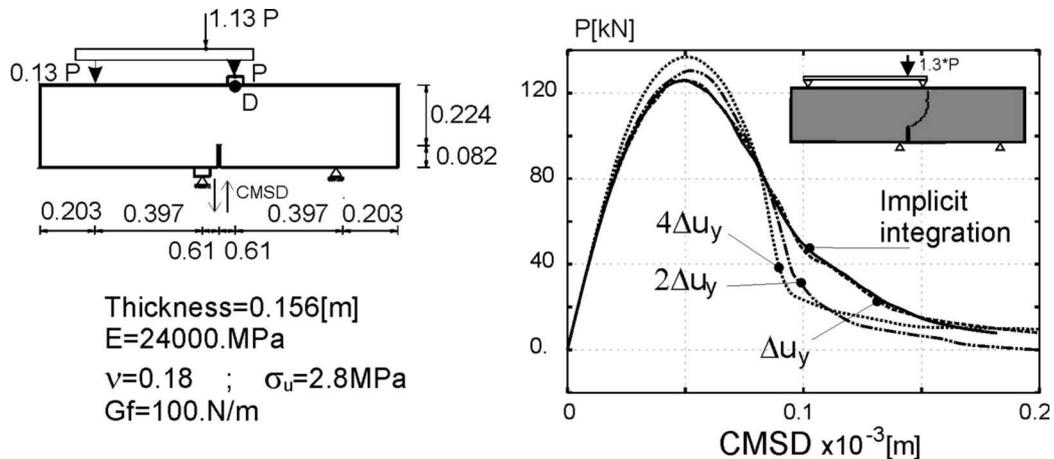


Figure 6: Four-point bending test

The material has been modelled using the constitutive damage model in equations (4)-(10) and its parameters are defined in Figure 6. The experimental solution shows a curved crack, propagating from the notch tip toward the load point D.

In Figure 6 (right) the load versus crack mouth sliding displacement (CMSD) curves, obtained with the implicit integration procedure and three different time step lengths for the implicit/explicit one, are displayed. The last three cases were obtained by imposing an

incremental CMSD of values $4\Delta u_y$, $2\Delta u_y$ and Δu_y , respectively, whereas the implicit solution was obtained with an automatic time step procedure (shortening the time step as the convergence is not achieved in a given number of iterations) imposing the initial value Δu_y .

		STEPS (Total Number of ITERATIONS)	CPU time [seconds]	CPU/CPU (Implicit)
implicit /explicit	$4\Delta u_y$	78 (78)	20	1 / 16
	$2\Delta u_y$	141 (141)	34	1 / 10
	Δu_y	260 (260)	62	1 / 5
Implicit		370 (~ 1200) Tolerance=1E-4	325	1 / 1
FE Mesh : ~ 1900 Triangles				

Table I: Four Point bending test. Comparative computational costs using the implicit/explicit and the purely implicit integration schemes

Table I compares the computational times in all cases. We note that, as expected, the implicit/explicit scheme only requires one iteration per step. From Figure 6, we also observe a clear convergence, by reducing the time step length, of the implicit/explicit solutions to the purely implicit one. In particular, for the case that the incremental step is fixed to Δu_y , the implicit/explicit integration renders a solution indistinguishable from the implicit one, whereas the required computational cost is five times smaller.

3.1.2 Elasto-plastic model: slope instability problem.

The slope instability problem taken from [31] is analyzed in this test, by using the elasto-plastic material model in equations (4)-(10). A vertical load is applied to a rigid foot staying on the top of an embankment, as shown in Figure 7. The geotechnical structure is assumed to respond as a J2 plasticity material model whose parameters are: Young's modulus, $E = 10MPa$, Poisson's ratio, $\nu = 0.4$, uniaxial peak stress, $\sigma_u = 0.1MPa$, initial continuum softening modulus $H = -0.2MPa$ and intrinsic softening modulus: $\bar{H} = -0.33MPa \cdot m^{-1}$. The geometrical data and boundary conditions of the problem are shown in Figure 7. Plane strain conditions are assumed.

The standard underlying elements are quadrilaterals, enriched with a B-bar technology to account for the locking effects in J2 plasticity models [16]. The finite element mesh is made of approximately 400 of those finite elements, enriched with embedded discontinuities and the symmetric formulation described in section 2.3.

Results in all cases have been obtained by controlling the downward displacement component in the rigid foot middle point. Figure 7 plots the loads vs. vertical displacement curves obtained with the implicit/explicit integration procedure and four different

incrementally imposed displacements of values $8\Delta u_y$, $4\Delta u_y$, $2\Delta u_y$ and Δu_y . These solutions are compared with the ones obtained with the purely implicit integration procedure (which are indistinguishable in the plots for the different considered time step lengths). Again, we can observe the clear convergence of the results from the implicit/explicit integration procedure to the ones obtained with the implicit integration.

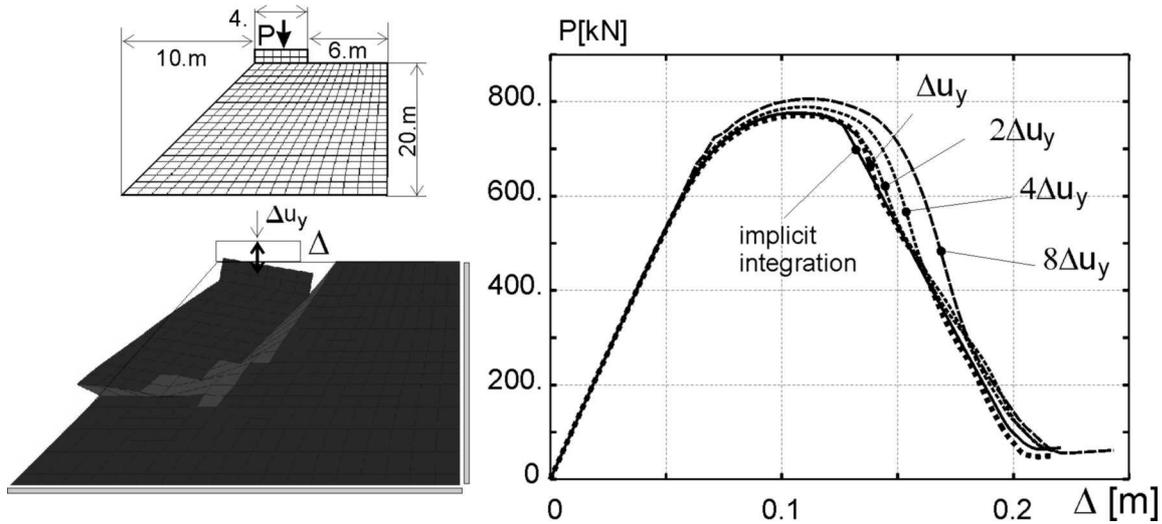


Figure 7: Slope instability problem

The accuracy and robustness exhibited by the implicit integration method in this example (no step shortening was necessary in any case) would not make crucial the use of the implicit/explicit integration method. However, as it is shown in Table II, the implicit/explicit methods leads, for the same time step length, to substantial reductions in the required CPU time (at the cost of a reduction in the accuracy for large time steps).

	STEPS	CPU time [sec] (implicit)	CPU time [sec] (implicit/explicit integration)	CPU(i)/CPU(i/e)
$8\Delta u_y$	75	23.9	7.8	3.06
$4\Delta u_y$	150	46.4	15.3	3.03
$2\Delta u_y$	300	86.5	30.8	2.80
Δu_y	600	165.2	61.0	2.71
FE Mesh : 400 quadrilaterals(BBAR)				

Table II: Slope instability problem. Comparative computational cost using the implicit/explicit and the purely implicit integrations.

3.1.3 Continuum damage model: 3D double-notched beam test.

The double-notched concrete beam experiment reported in [32] is also a well-known problem in concrete fracture mechanics simulation. The test, which consists of a concrete beam with two symmetric notches loaded as shown in Figure 8, has been widely simulated as a 2D problem (in plane-stress conditions). However, in the present simulation the 3D modelling, a much more challenging problem, in terms of robustness, is considered. Two cracks propagate across the beam, from the notch roots toward the loading points, but only one of them remains in a loading state (active) after the structural peak load.

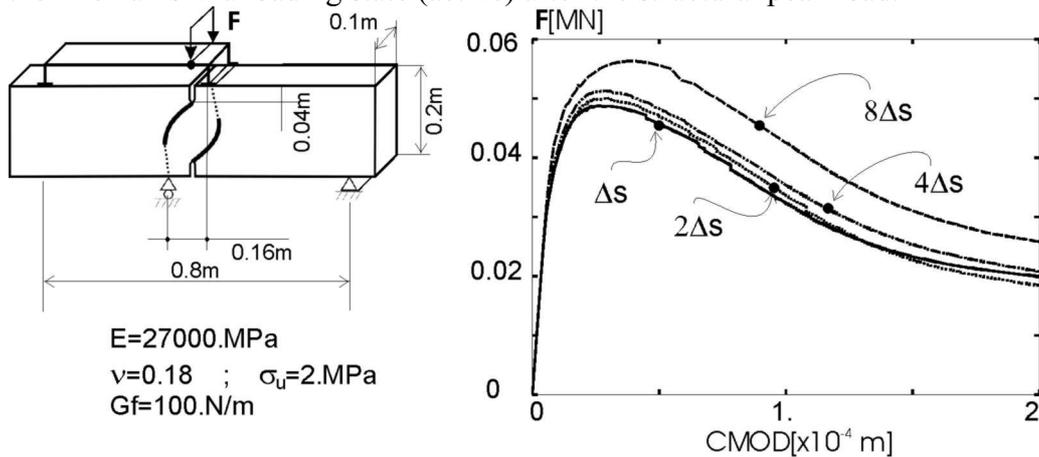


Figure 8: 3D double-notched concrete beam test

The solutions in Figure 8, in terms of the load F versus CMOD curves, have been obtained using the implicit/explicit integration algorithm, and the symmetrical finite element formulation, in a mesh of 2967 tetrahedra. The load control method consists of imposing a given arc-length of sizes Δs , $2\Delta s$, $4\Delta s$ and $8\Delta s$ in each case. With this type of control, convergence of the purely implicit integration procedure fails in all the cases, even using automatic reductions of the time step, before reaching the end of the analysis. This is an example, also observed by the authors in many other cases, of the loss of robustness of the 3D simulations, based on implicit integrations, as compared with the corresponding 2D simulations. On the contrary, for the implicit/explicit procedure, stable analysis, showing a clear convergence with shortening of the incremental displacement control, can be done at no difficulty.

Implicit/explicit	Steps	CPU time [sec]
$8\Delta s$	450	764
$4\Delta s$	900	1577
$2\Delta s$	1800	2943
Δs	3600	5302
Implicit	Not converged	

Table III: 3D double-notched beam test. Computational cost using the implicit/explicit integration algorithm. (2967 tetrahedra, 2580 d.o.f.)

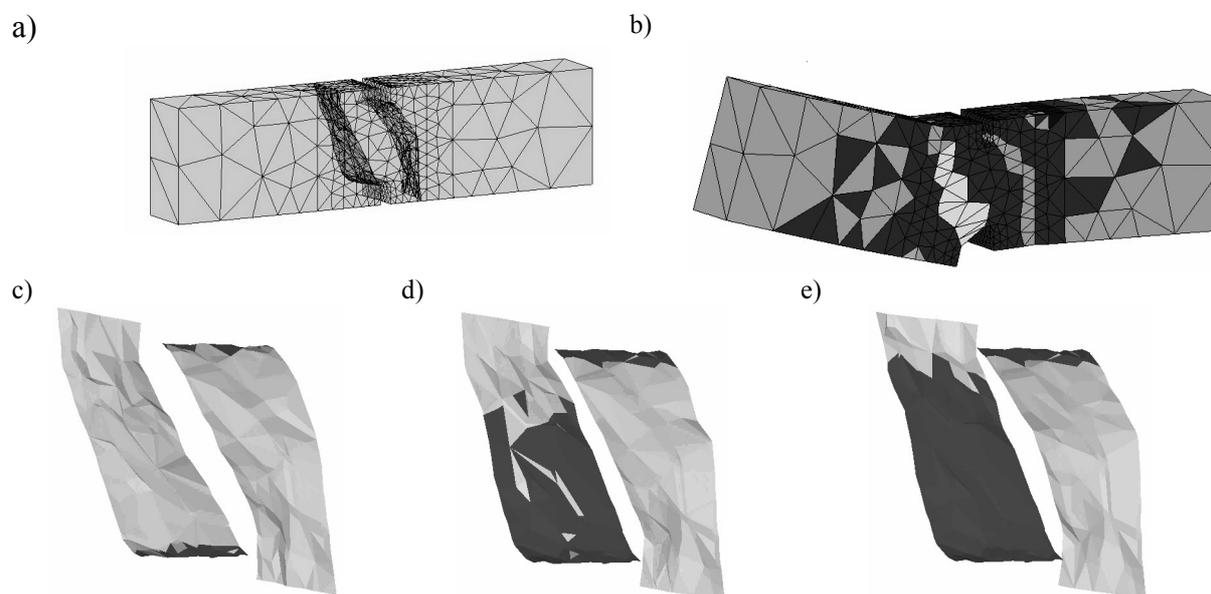


Figure 9: 3D double-notched beam: a) numerically obtained failure surfaces (cracks), b) amplified deformation mode and crack patterns, c), d) and e) evolution, along time, of damage at the cracking surfaces.

Figure 9 shows the crack pattern provided by the simulation, exhibiting the two experimentally observed cracks, and the evolution of the cracking across the detected failure surfaces. There, it can also be observed as the crack generated at the upper notch arrests, whereas the other crack progresses determining the final failure mode.

3.2 Additional representative 3D simulations

In order to illustrate the potential of the CSDA in modelling realistic structural failure mechanisms, applications of the preceding methodology to several three dimensional failure problems are presented next. Both continuum constitutive models of section 1.1 (continuum damage and elasto-plasticity) are considered to represent failure. In all the cases, the symmetric finite element with elemental enrichment of section 2.3 and the implicit/explicit integration method of section 2.4 have been used.

3.2.1 Brazilian test

The splitting test in a cylindrical specimen (Brazilian test, see [33]), compressed along two diametrically-opposed lines, as shown in Figure 10, is modelled using the continuum damage model. The expected failure surface is a diametric vertical crack plane containing the two load lines. Figure 10 (right) shows the load vs. transversal deformation curve, scaled in terms of the peak load (P_{\max}). The load decreases after the peak (up to approximately 80% of P_{\max}), due to the complete propagation of the primary crack system, which compares well with the experimental results in [33]. The analysis was stopped at the subsequent raising

branch as the two split halves start resisting in compression.

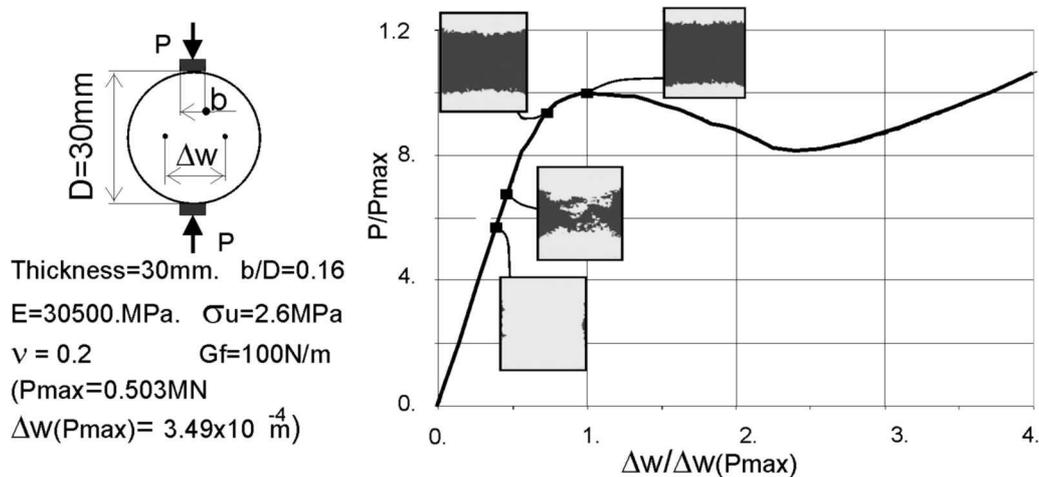


Figure 10: Splitting test in a cylindrical specimen: material data and load vs. transversal deformation curve.

Also in the curve in Figure 10 (right) the propagation of the cracking during the load process is displayed. This exemplifies the potential of three-dimensional simulations, as the one presented here, as providers of unusual and useful information about the failure propagation mechanisms (from the exterior to the interior and from the centre to the loading lines as it is shown in the figure).

Figure 11 shows the considered finite element mesh of tetrahedra, and the (exaggerated) deformed shape of the specimen at the end of the analysis.

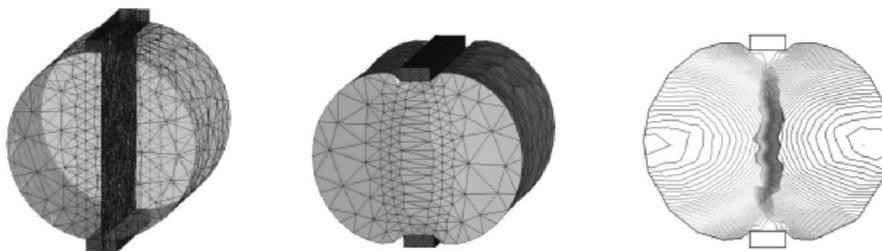


Figure 11: Splitting test: Finite element mesh, deformed mesh and displacement contours.

3.2.2 Pull out of a bar anchored to a concrete specimen

The extraction of a steel rod (assumed elastic) anchored to a cylindrical mass of concrete, is modeled. The reference experimental test is that presented in [34, 35]. Numerical results of a similar test have previously been presented using a 2D model with the axial-symmetric assumption ([36]). Here a complete 3D analysis is performed.

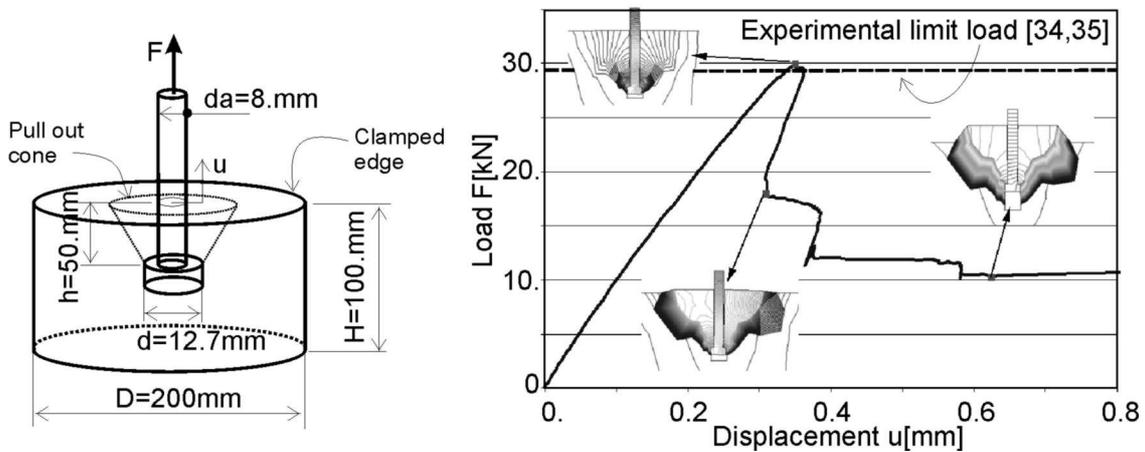


Figure 12: Pull out of a bar anchored to a concrete specimen: Left) problem description, Right) Load vs. displacement curve

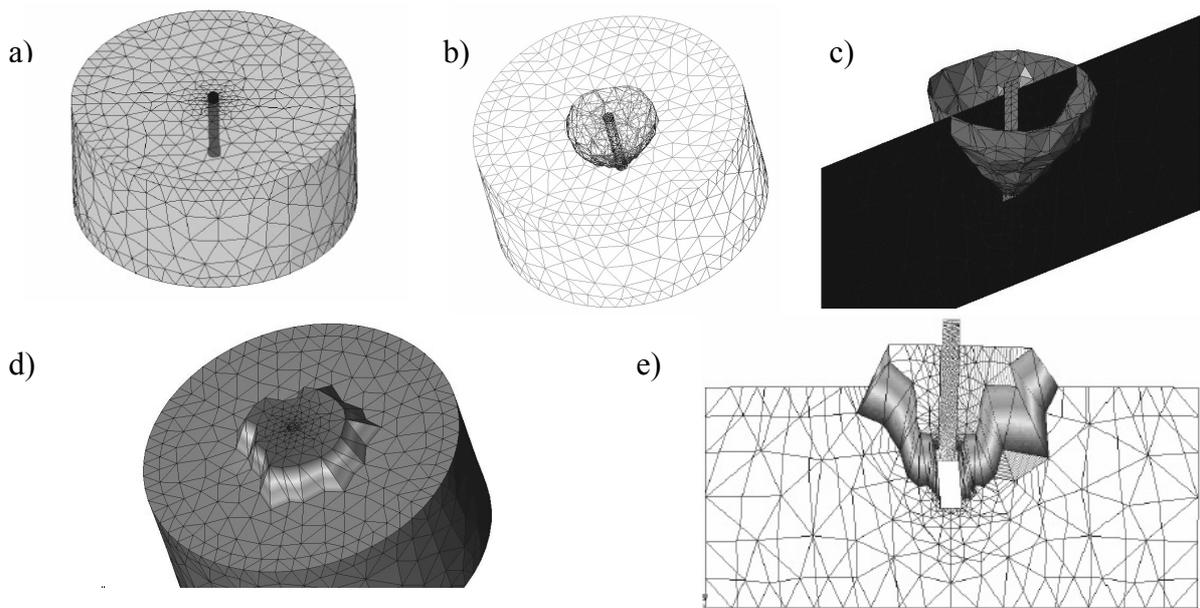


Figure 13: Pull out of a bar anchored to a concrete specimen: a) finite element mesh, b) and c) numerically obtained failure (cracking) surface d) external view of the (amplified) deformation pattern showing the localized elements, e) internal view (cross section) of the deformation pattern together with the contours of the displacement field.

The material properties of the damage model relative to the concrete bulk, are $E = 29000.MPa$; $\sigma_u = 3.1MPa$; $\nu = 0.2$ and $G_f = 150N/m$. The dimensions of the specimen are shown in Figure 12 together with the obtained load versus displacement curves.

The tetrahedral finite element mesh and the typical conical failure surface obtained from the numerical simulations are presented in Figure 13

3.2.3 Collapse analysis of a concrete dam

The structural collapse of a gravity-arch concrete dam is modelled. The geometry of the concrete dam has been taken from [37]. The analysis is done on the solid-foundation domain shown in Figure 14, where a reduced part of the foundation (rock) is considered. The material properties for the concrete correspond to an artificially made brittle material, in order to induce a clear failure mode that tests the ability of the CSDA to reproduce it. Both, the concrete dam and the rock foundation, have been modeled by the damage model in equations (4) to (10) but now considering a limited strength in both tension and compression regimes. The material properties are displayed in Table IV.

The final goal of the analysis is to reproduce the structural collapse mode and to determine the theoretical safety factor in front of the classical load action on the dam: the hydrostatic loading acting on the up-stream face. Therefore, the typical triangular pressure distribution has been affected by a load factor evolving along the pseudo-time (here the central lateral displacement at the crest). Lateral surfaces, marked as E1 and E2 in Figure 14-a)-b), and the bottom of the foundation, are assumed clamped. A global tracking procedure, allowing for multiples failure surfaces has been considered [12].

	CONCRETE	ROCK FOUNDATION
Elastic Modulus	20000 MPa	15000 MPa
Mass density	2300 kg/m ³	-
Poisson Coefficient	0.2	0.2
Compressive Strength	11.6 MPa	10.0 MPa
Tensile Strength	1.0 MPa	1.0 MPa
Fracture Energy	100 N/m	100 N/m

Table IV: Dam analysis: Mechanical parameters of concrete and rock

Figure 14-c) shows the load factor vs. the horizontal displacement at the crest (point P in Figure 14-a)). The peak load determines the safety factor (here around 3.0) and the final failure mode displays the formation of three large vertical cracks at points A, B and C of the loading process.

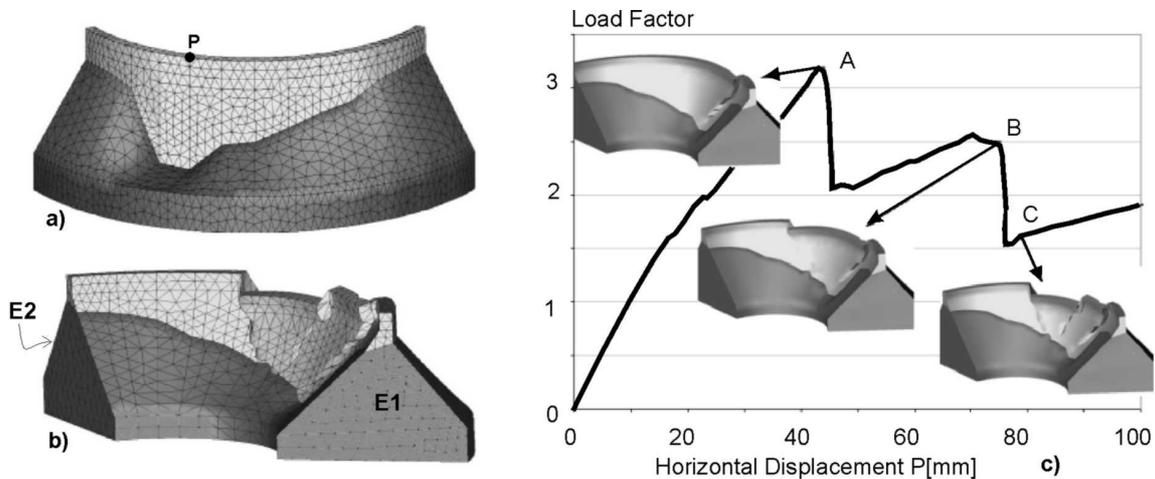


Figure 14: Collapse analysis of a concrete dam. a) finite element mesh b) final deformed (amplified) mesh showing the collapse mode; c) load factor vs. horizontal displacement at point P.

3.2.4 Landslide simulation

The problem of a landslide modelling through the CSDA is considered here. A soft layer of soil is assumed to rest on the hard bedrock and its collapse, under increasing values of the soil density, through formation of a slip surface, is simulated by considering a J2 plasticity model. The geometry and material data are shown in Figure 15. In order to overcome the stress-locking problems associated to J2 plasticity models, the basic element is the hexahedron modified according to the B-bar formulation [16].

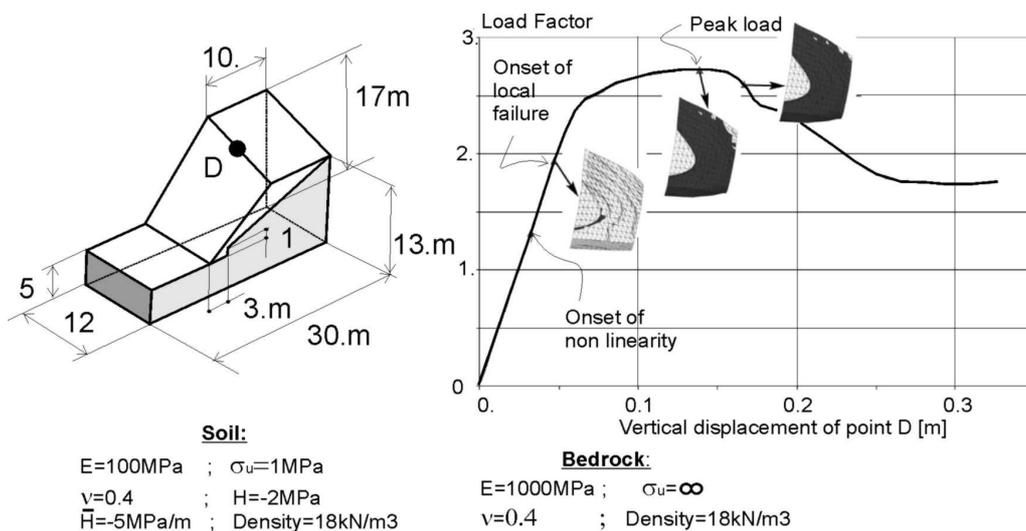


Figure 15: Landslide: Load factor versus vertical displacement and discontinuity surface propagation.

The obtained, three-dimensional, collapse mode is presented in Figure 16. There can be checked that the typical slip surface, observed in landslides (pure slip mode), is appropriately reproduced by the CSDA.

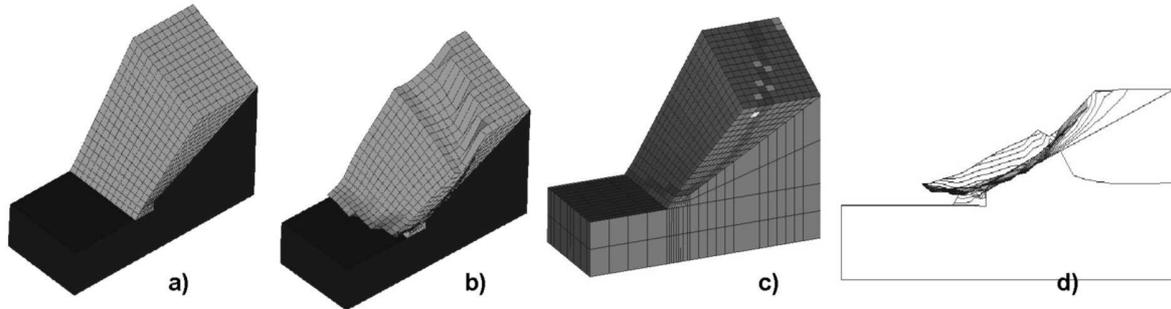


Figure 16: Landslide: a) finite element mesh b) deformed (amplified) finite element mesh showing the obtained collapse mode; c) external view of the detected (slip) failure surface d) internal view (cross section) of the deformation pattern and contours of the displacement field

In Figure 15 (right), additional details of the simulation are presented. The load factor vs. displacement curve is plotted together with the corresponding evolution, at different points of the loading process, of the material failure at the slip surface.

3.3 Computational costs of 3D simulations using the CSDA

For the simulations presented in the preceding sections Table V displays the computational costs based on a single Pentium[®] 4 processor, at 3.2 GHz, and 1Gb. of RAM memory. As it can be observed there, the computational costs, for the different simulations, are very affordable and range from less than one hour to a maximum of about three hours of CPU.

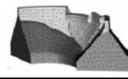
Section (problem)	Number of DOF	Number of elements	Number of time steps	CPU time [seconds]
3.2.1 	9771	16751 (tetrahedra)	236	11012
3.2.2 	11505	18872 (tetrahedra)	89	7154
3.2.3 	15639	24481 (tetrahedra)	118	11082
3.2.4 	12264	3354 (hexahedra)	90	2016

Table V: Computational cost of the 3D simulations

4 CONCLUDING REMARKS

Throughout the preceding sections, some fundamental issues about the robustness and stability of the Continuum Strong Discontinuity Approach (CSDA) to material failure have been addressed. After identification of lack of symmetry of the finite element formulation, and the material softening in the constitutive model, as possible causes of loss of robustness, two remedies have been proposed. The first one is the use of a symmetric version of the (variationally consistent and elementary enriched) finite element with embedded discontinuities. The incremental format of the proposed implementation allows overcoming the classical failure in passing the patch test of this family of elements. On the other hand, from the authors' experience, this results into a larger robustness of the finite element simulations. However, this seems not to be enough for large-scale (three-dimensional) computations. The second proposed ingredient is an implicit-explicit integration procedure of the continuum constitutive model that results into the so-called *effective algorithmic operator*, as the corresponding tangent constitutive operator, which is constant and positive definite. The use of these procedures guaranties the positive definite character and the constancy of the algorithmic stiffness of the problem during any time step, and results into a substantial increase of the robustness of the simulation, as well as into a dramatic reduction on the required computational time. In compensation, some reduction on the accuracy can be expected but convergence to the exact solution is assessed. As it is shown in the presented examples, the proposed methodology allows tackling three-dimensional simulations of material failure in small computers in very affordable times.

Acknowledgements

Financial support from the Spanish Ministry of Science and Technology, through grants MAT2001-3863-C03-03 and BIA2004-02080, and from the Catalan Government Research Department, through the CIRIT grant 2001-SGR 00262, is gratefully acknowledged.

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