

Computational Tool for Post-Earthquake Evaluation of Damage in Buildings

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A method and a computational tool oriented to assist the damage and safety evaluation of buildings after strong earthquakes is described in this article. The input of the model is the subjective and incomplete information on the building state, obtained by inspectors which are possibly not expert professionals of the field of building safety. The damage levels of the structural components are usually described by linguistic qualifications which can be adequately processed by computational intelligence techniques based on neuro-fuzzy systems what facilitate the complex and urgent tasks of engineering decision-making on the building occupancy after a seismic disaster. The hybrid neuro-fuzzy system used is based on a special three-layer feedforward artificial neural network and fuzzy rule bases and is an effective tool during the emergency response phase providing decisions about safety, habitability, and reparability of the buildings. Examples of application of the computer program are given for two different building classes.

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INTRODUCTION

Actual design criteria, which are based on structural ductility and redundancy, assure the security of the buildings against collapse but not damage. Accordingly, seismic codes accept heavy damage without collapse of the buildings in the case of severe earthquakes. But there are seismic areas where many existing buildings are designed according to obsolete seismic design codes or are built without the use of any earthquake resistant provisions. As such, many buildings are damaged at different degrees during strong earthquakes, and their safety is doubtful. In obtaining an urgent diagnosis on the state of a building after an earthquake, which has to provide reliable information on its habitability and reparability, it is necessary to take into account not only the different damage levels of the elements, but also the overall structural stability. Therefore, it is necessary to carry out an accurate process of damage evaluation that requires the participation of professional experts in the field of structural and soil mechanics, damage evaluation, and building rehabilitation.

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When the seismic damage has to be evaluated in a whole urban area struck by a severe earthquake, the number of required professionals with the necessary experience to perform the damage assessment is always insufficient. But the nonexperts who have to be involved tend to overestimate or to underestimate damage because of their inexperience. Moreover, the information obtained by all evaluation methods is highly subjective, because the damage levels are defined with linguistic qualifications such as *light*, *minor*, *moderate*, *average*, *severe*, etc., which have a remarkable variation in their meaning according to the person who uses them.

Computational intelligence techniques and the decision-making needed for soft computing can be used to overcome these difficulties of damage evaluation after earthquakes (*ex post*; Carreño et al. 2006). The same approach has been used in previous works to make evaluations of the expected urban seismic risk in an urban area (*ex ante*; Cardona 2001, Carreño et al. 2007a) and to measure the disaster risk management performance and effectiveness at national, subnational, and local levels (Carreño et al. 2007b). Applying computational intelligence techniques and the decision-making needed to determine the habitability and reparability of the buildings affected by an earthquake, it is possible to avoid or reduce the usual mistakes made by nonexpert building inspectors when handling subjective and incomplete information. Therefore, the main objective of the advanced computational tool, here described, allowing nonprofessionals to perform the post-earthquake evaluation of buildings, based on an expert system for post-earthquake building damage and safety evaluation. The proposed system considers the possibility of damage in structural and nonstructural elements, the potential site seismic effects and the pre-existing conditions that increase the building vulnerability, such as the poor quality of the construction materials.

POST-EARTHQUAKE DAMAGE EVALUATION OF BUILDINGS

Decision-making about the safety, habitability, and reparability of buildings based on the complex patterns of the observed damage is really difficult task, particularly for non-expert professionals. For example, during strong earthquakes, in the columns of reinforced concrete buildings appear diagonal cracks due to shear or torsion while vertical cracks, concrete cover spalling, concrete crush, and buckling of the longitudinal reinforcing bars occur due to bending and compression. The most typical damages in beams are the diagonal cracks and the stirrup failure due to shear or torsion and vertical cracks, longitudinal reinforcement failure, and concrete crush due to the bending to alternating loads. The beam-column joints usually show diagonal cracks as a result of shear stresses, and their failure is common due to the lack of anchorage of the longitudinal reinforcement of the beams into the joint or due to excessive bending. The slabs can show punching shear cracks around the columns and longitudinal cracks due to bending. The damage in nonstructural elements represents a high proportion of the total damage caused by an earthquake. Usually, it occurs due to inappropriate connections between infill panels, installations or other nonstructural components and the structure. It can be also produced by the excessive flexibility of the structures, which results in excessive inter story-drift. In masonry partitions and façades, the diagonal cracks are common.

When evaluating the structural and nonstructural state of buildings, inspectors who lack training and qualifications have the tendency of aggravating or underestimating the observed damage level. The information obtained during the damage evaluation process becomes thus highly subjective. With the objective of making correct decisions on the state of the buildings, the nonexpert professionals have to use appropriate guidelines and be supervised by expert inspectors. Consequently, efforts in developing damage evaluation methodologies and guidelines have been made in different countries with high seismic activity, aiming to help in defining accurate and effective measures of repairing the damaged buildings and to avoid such decisions as unnecessary demolitions. These guidelines allow one to decide rapidly if a building may continue being used or not, and to identify safe buildings which can be used as temporary shelters for the evacuated persons. Even so, one of the shortcomings of damage evaluation processes is their subjectivity, because damage levels are defined using linguistic qualifications like *light*, *moderate*, or *severe*, which may have different meanings according to the judgment of each person. Poor-quality data and the lack of systematization contribute to the confusion and to the delay in relevant disaster management decisions. Therefore, it is necessary to have in advance a contingency plan in which the damage evaluation process is one of the main tasks.

On the other hand, the opinions of damage evaluators about the structural safety are essential in improving the effective earthquake-resistant construction codes by identifying the types of failures of the different structural systems. Some examples of systematic guidelines and procedures to evaluate the building damage, developed in various countries, are given below (Carreño et al. 2006).

- **Former Yugoslav Republic of Macedonia.** The Institute of Earthquake Engineering and Engineering Seismology (IZIIS) of the University Kiril and Metodij developed a methodology for post-earthquake damage evaluation whose main objectives are data acquisition regarding the available housing, destroyed buildings and unsafe buildings (IZIIS 1984). Data acquisition for civil protection, rescue planning and post-earthquake organization as well as the improvement of the design specifications of the earthquake resistant codes are also important objectives.
- **California.** The Applied Technology Council proposed the *Procedures for Post-Earthquake Safety Evaluation of Buildings* as a standard for the safety investigation of buildings based on visual observation of damage with three levels of building evaluation. The first level is the Rapid Evaluation, in which it is decided whether buildings are safe enough to occupy shortly after the earthquake. In the second level, a Detailed Evaluation is performed, in which the questionable structures are visually evaluated by a structural engineer (ATC-20 1989, ATC-20i 2003, ATC-20-1 2005). The third level is the Engineering Evaluation, which is required when the structure cannot be appraised by visual techniques alone. Procedures for these detailed engineering damage evaluations and repair techniques were developed in *FEMA 306* and *FEMA 351* for concrete and masonry buildings and for welded steel moment-frame buildings respectively (FEMA 1998 and 2000). Consequently, these documents supplement the provisions of ATC-20.

- **Japan.** After the Miyagiken-Oki earthquake of 1978, the *Guides for Damage Evaluation After an Earthquake and Restore Techniques* were published in Japan and reviewed in 1989. Accordingly, the buildings which have to be evaluated are selected by a general inspection after the earthquake. The evaluation is performed in two steps: an immediate visual evaluation of the damage level and of the habitability, and then the evaluation of the degree of structural damage.
- **Mexico.** The Institute of Engineering of the National University (UNAM) developed the *Guideline for Post-Earthquake Evaluation of the Structural Safety of Buildings* (Rodríguez and Castrillón, 1995). This methodology was reviewed and published by the Mexican Society for Earthquake Engineering (SMIS) and the government of Mexico City in 1998 (SMIS 1998) and has three steps: a rapid evaluation, a detailed evaluation, and a specialized engineering evaluation.
- **Italy.** After the earthquake of Friuli in 1976, a procedure for estimating economic losses was developed. Guidelines and forms were published in 2000. More recently, a proposal was published by Goretti (2001) based on a research program started in 1995. In addition, a multimedia tool: MEDEA (Manuale di Esercitazioni sul Danno Ed Agibilità), with a catalog of the more relevant damages on structural and nonstructural elements of masonry buildings have been developed by Zuccaro and Papa (2002).
- **Colombia.** After the Coffee-Growing-Region earthquake (1999), studies conducted on the seismic vulnerability of buildings allowed the development of a methodology for the evaluation of the habitability and reparability of buildings in case of earthquakes, based on a neuro-fuzzy system developed by Carreño (2001). The methodology was officially adopted by the cities of Bogotá and Manizales (AIS 2002, 2003a). It includes an evaluation form and a field manual for the evaluation of the damaged buildings (AIS 2004).

SEISMIC DAMAGE EVALUATION MODEL

The existing methodologies and guidelines for the seismic damage evaluation of buildings cannot avoid certain decision mistakes, like the demolition of noncritical buildings or unnecessary building evacuation, especially due to the lack of experience and qualifications of volunteer inspectors after a strong earthquake. It is also possible that nonexpert inspectors ignore building damage that can put at risk structural stability and safety. To overcome this problem, an expert system and a computational tool have been developed for the emergency response phase after strong earthquakes (Carreño et al. 2006, Carreño 2006).

This expert system for seismic damage evaluation of buildings as a support to habitability evaluation is based on artificial neural networks and fuzzy sets. The authors have been working in this model since 2000, and although this tool has not been tested yet in a real earthquake emergency, recently it has been adopted officially by the administrations of the cities of Bogotá and Manizales, in Colombia, to face future earthquakes and to complete its calibration. The model uses a fuzzy logic approach, required to process the subjective and possibly incomplete available information that is usually based

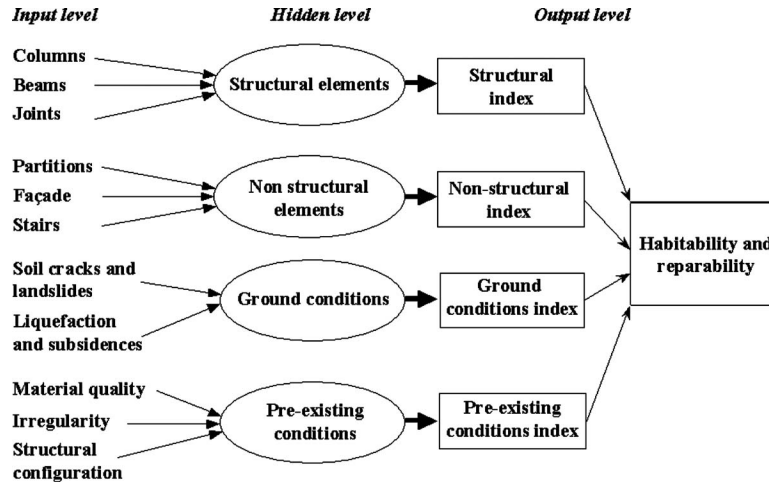


Figure 1. Structure of the neural network.

on linguistic qualifications for the damage levels, being useful in damage evaluation by nonexperts. The system will be ready to be used by nonexperts once it has been calibrated.

Three groups of elements that can jeopardize the life of the occupants were used to evaluate the global seismic damage state of a building: *structural elements*, *nonstructural elements*, and *ground conditions*. The *pre-existing conditions* have to be added to these, which are related to the quality of the construction materials, the irregularities of the building, and the structural configuration. Other soft computing models have been developed for the latter evaluation, from an *ex ante* perspective by [Sanchez-Silva and Garcia \(2001\)](#) and [Demartinos and Dritsos \(2006\)](#).

The proposed *ex post* model and computational tool use an artificial neural network (ANN). A detailed description of ANN as used is given in the Appendix A. It has three layers, and Figure 1 shows its general structure. The neurons of the input layer are grouped in four sets, corresponding to the structural elements (SE), nonstructural elements (NE), ground conditions (GC), and pre-existent conditions (PC). Each one contributes with information to the neurons in the intermediate layer. They only affect the intermediate neuron in the group to which they belong. The number of input neurons of the model is not constant; it depends on the structural system and on the importance of the groups of variables that influence on the evaluation. For example, if structural damage is very high, it is not necessary to evaluate the ground conditions or the pre-existent conditions.

The number of neurons of the input layer used to analyze the state of the structural elements changes according to the class of building. Table 1 shows the structural variables considered according to the structural system.

Table 1. Structural elements according to structural system (AIS 2003b)

Structural system	Structural elements
RC	Columns/walls, beams, joints and floors
Steel	Columns, beams, connections and floors
Unreinforced/Reinforced/Confined	Bearing walls and floors
Bahareque	Bearing walls and floors

A qualification is assigned to structural and nonstructural elements, depending on the observed damage using five possible damage levels that are represented by means of fuzzy sets: *none*, *light*, *moderate*, *heavy*, and *severe*. Figure 2 illustrates the membership functions for these qualifications. The membership functions of the fuzzy sets reach their maximum membership point for the values of the damage indices.

The damage in of nonstructural elements does not affect the overall stability of the buildings, but may put at risk the security of the occupants, as it is the case of the stair-case of Figure 3. The nonstructural elements are classified in two groups: elements whose evaluation is compulsory and elements whose evaluation is optional (see Table 2).

The ground and pre-existent conditions variables are qualified during the evaluation process. The used linguistic qualifications are: *very good*, *medium*, and *very bad*. Ground conditions like landslides and soil liquefaction can affect the stability of the buildings as it can be seen in Figure 4. Pre-existent conditions are related to the quality of the construction materials, the plane and vertical shape irregularities of the building, and the structural configuration; these conditions may increase the seismic vulnerability of a building. For example, Figure 5a shows how the incorrect reinforcement of a column in the node area affected the structural seismic behavior. Figure 5b shows a building with a soft first floor and with the unconfined masonry infill. Figure 5c shows a case of inadequate structural configuration.

In the intermediate layer, an index is obtained by the union and defuzzification of each group of variables (structural elements, nonstructural elements, ground conditions,

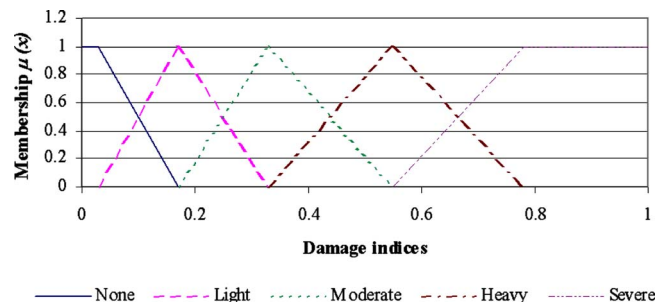
**Figure 2.** Membership functions for linguistic qualifications (AIS 2003b).



Figure 3. Damage in nonstructural elements, *heavy* damage in stairs (AIS 2003b).

and pre-existent conditions), taking into account relative importance into each group. Defuzzification signifies the values of these indices correspond to the centre of area of every membership function related to each damage level. Figure 6 shows an example of the defuzzification process; Figure 6a gives a group of fuzzy sets (in the case of the developed model, the height of each set corresponds to the relative importance of the element). Figure 6b shows how their union, which corresponds to the envelopment curve, is defuzzified by means of the calculation of the centroid of the area under the envelopment. Taking into account the four indices obtained in this way and their corresponding linguistic qualification, it is possible to define in the output layer the building damage using fuzzy rules with the structural and nonstructural evaluations. The concept of linguistic variable was a stepping-stone to the concept of a fuzzy IF-THEN rule. The so-called calculation of fuzzy rules refers to the largely self-contained part of fuzzy logic often used in practical applications (Zadeh 1975; Rutkowska 2002, Zadeh 1996). The concept of fuzzy rules is important when the dependencies are imprecise or a high degree of precision is not required (Rutkowska 2002). The fuzzy rule base consists of a collection of fuzzy IF-THEN rules.

According to the proposed fuzzy rules, building habitability is decided by using the evaluation of the structural and nonstructural states but also by assessing the ground conditions. Finally, using the pre-existent conditions, the computational tool defines the required level of reparation providing also habitability and reparability recommendations after an earthquake. Remarks as: “habitable after minor adequateness” or “restricted: us-

Table 2. Nonstructural elements (AIS 2003b)

Compulsory evaluation elements	Partitions
	Elements of façade
	Stairs
Optional evaluation elements	Ceiling and lights
	Installations
	Roofs
	Elevated tanks



Figure 4. Ground conditions, landslides and ground failure.

able after reparation” or “unsafe: usable after structural strengthening or reinforcement” or “dangerous: possible demolition or total building rehabilitation,” are decisions made by the expert system.

Training in the expert system proposed in this manuscript was carried out using the database that contains damage evaluations of buildings affected by the Coffee-Growing Region earthquake in Colombia (1999) provided by the Colombian Association for Earthquake Engineering. According to the number of variables of the neural network and the Kohonen rate of learning (see the Appendix A), a set of 150 buildings of reinforced-concrete frames and 100 buildings with unreinforced masonry walls have been used in the calibration of the neural network. Those records with the most complete damage information about the structural and nonstructural elements and which also provide other relevant information about the evaluated buildings were selected. We established that more than 150 expert evaluations to saturate the ANN are not necessary (that is, the ANN does not learn more if new cases are considered).

However, more information is necessary to complete the network training for all structural classes, especially for wood- and steel-framed structures, because these building classes are not common in that area. There are also only a few reinforced-concrete frames with shear, and therefore, the number of building evaluations to calibrate this structural system has been insufficient. On the whole, it is desirable to have more cases for all structural classes.

The indices of each damage level as well as the relative weights for each group of elements have been calibrated. Appendix A gives detailed information about the calibration or learning process of the neural network; specifically about the calibration of the weights.

In the case of the damage indices, calibration starts from the initial indices—or central value—of each damage states. The damage levels proposed in [ATC-13 \(1985\)](#), the fragility curves proposed by [Singhal and Kiremidjian \(1996\)](#) and used by HAZUS-99 ([FEMA 1999](#)), the indices of [Park et al. \(1984\)](#), of [Sanchez-Silva and García \(2001\)](#), as well as damage indices based on energetic criteria and on nonlinear structural analysis ([Barbat et al. 1997](#), [Oller and Barbat 2006](#)), have been considered for the neural network



(a)



(b)



(c)

Figure 5. Pre-existent conditions: (a) Bad construction quality; (b) Vertical shape irregularities, soft floor; (c) Bad structural configuration of the beams and columns.

calibration. Table 3 shows the indices proposed together with those proposed by [Park et al. \(1984\)](#) and [Sanchez-Silva and Garcia \(2001\)](#), which have been included with the aim of comparison. The indices of [Park et al. \(1984\)](#) have been used for reinforced concrete as initial values because they have been calibrated with both experimental data and numerical studies. Some authors consider that collapse occurs for a value equal to 0.8, although [Stone and Taylor \(1993\)](#) proposed a collapse threshold of 0.77. According to this opinion, a value of 0.76 has been selected in this study to describe the index corresponding to the structural collapse. The authors decided to be conservative when select-

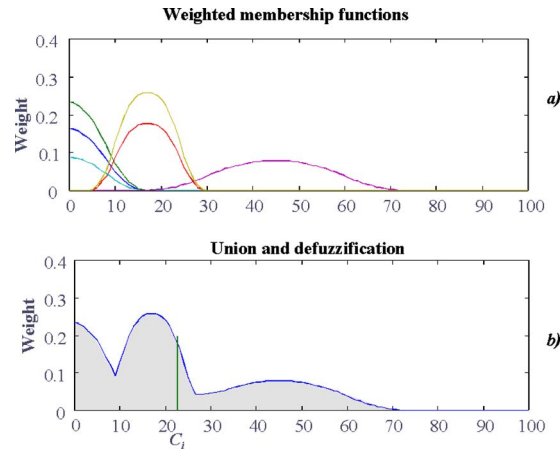


Figure 6. Damage level evaluation for structural elements. (a) Example of fuzzy sets; (b) envelope of the fuzzy sets union. Point C_i , which corresponds to the centroid of the shaded area limited by the envelope, is the defuzzified value.

ing the damage index thresholds for the different damage levels since they are highly discussed and there are doubts on whether they should be smaller.

FUZZY RULE BASES FOR DECISION-MAKING

The building habitability and reparability are assessed starting from the results obtained for the damage level of the structural and nonstructural elements, the state of the ground and the pre-existent conditions. Figure 7 shows the fuzzy rule bases used to estimate the building habitability and reparability. The level of the building damage is evaluated starting from the values of the structural and nonstructural damage index. Then, the global building state is determined, also taking into account the rule bases of

Table 3. Comparative table of damage indices for the same states and ranges (AIS 2003b)

Damage Level	Park, Ang and Wen	Sanchez-Silva and Garcia	Proposed
<i>Very light</i>	<0.10	0.10	0.07
	0.07		
<i>Light</i>	0.10–0.25	0.20	0.17
	0.17		
<i>Moderate</i>	0.25–0.40	0.35	0.33
	0.325		
<i>Severe</i>	0.40–0.80	0.60	0.55
	0.6		
<i>Collapse</i>	>0.80	0.90	0.76
	0.8		

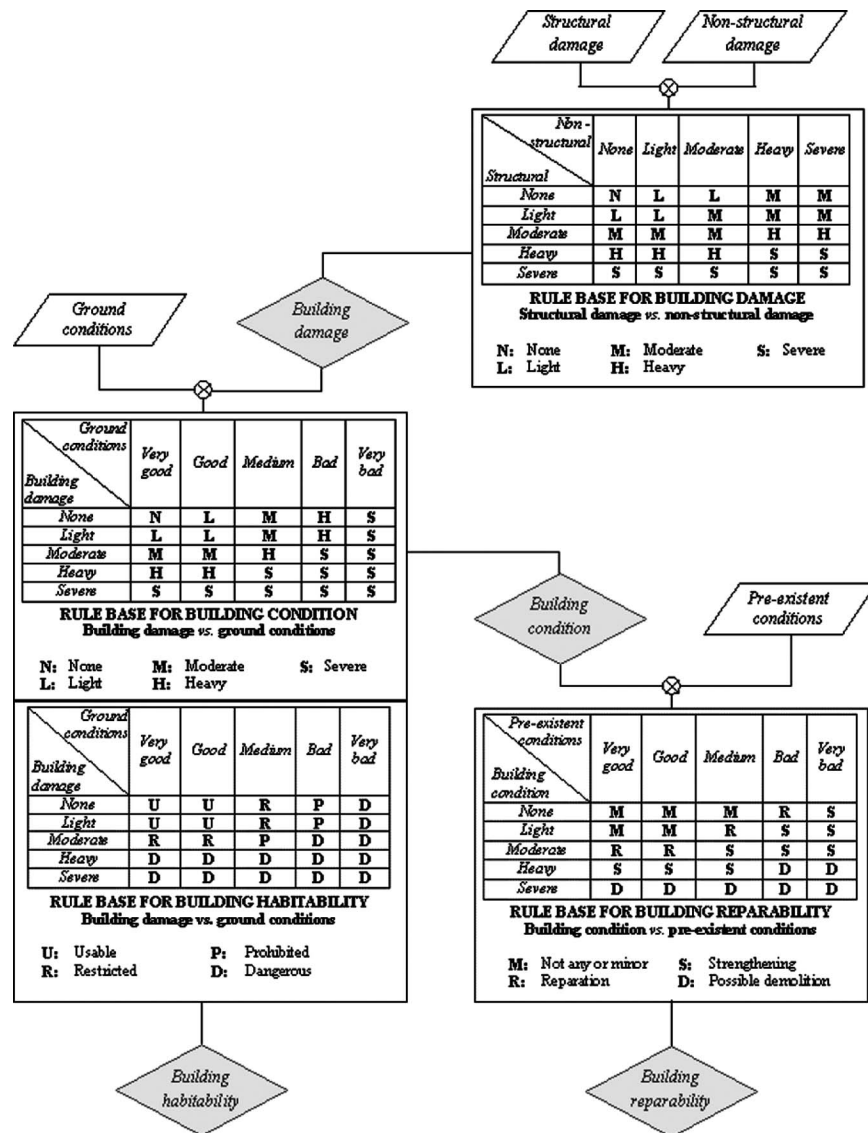


Figure 7. Method for building habitability and reparability evaluation by means of fuzzy rule bases.

the ground conditions, and in this way, the habitability of the building is decided. The linguistic qualification for the building habitability has four possibilities: usable (habitable immediately), restricted use (usable after reparation), dangerous (usable after structural reinforcement), and prohibited (not usable at all). Besides, the building's reparability also depends on other fuzzy rule bases—namely, the pre-existent conditions. The

Data input

COLUMNS

Damage level I	Damage level II	Damage level III	Damage level IV	Damage level V
None / Very light	Light	Moderate	Heavy	Severe
Damage extension	Damage extension	Damage extension	Damage extension	Damage extension
0	0	0	0	0

Note: The sum of the damage proportions of the five damage levels should be approximately 100

Damage levels Accept

Figure 8. Screen for the damage evaluation of a structural element.

building reparability has four possibilities: *not any or minor treatment*, *reparation*, *reinforcement*, and *possible demolition*.

COMPUTER PROGRAM EDE

The proposed computational intelligence model has been implemented in the computer program Earthquake Damage Evaluation of Buildings (EDE), which is used as an official tool by the disaster risk management offices of the cities of Bogotá and Manizales in Colombia. This user-friendly computer program is a very useful tool after a seismic emergency. The program supports the evaluation using as starting point the visual appreciation of the inspectors. Figure 8 shows an example of the data input for the damage in structural elements; the inspector indicates the proportion of elements with a certain damage level. The EDE program provides descriptions and photographs that describe the damage levels for each type of element. Figure 9 shows an example of these helps for structural damage in columns. The model takes into account the pre-existent conditions. The developed computer program can be also used for training of the inspectors before an earthquake.

EXAMPLES OF APPLICATION OF THE COMPUTATIONAL TOOL

EXAMPLE 1: REINFORCED CONCRETE BUILDING

The building in Figure 10, built between 1984–1997 in the Coffee-Growing-Region of Colombia, has a structural system based on reinforced-concrete frames and solid slabs floors. It is a six-story corner building on the block without a basement floor. This building was affected by the earthquake of 1999 in the Coffee-Growing-Region. A rapid evaluation shows that the general conditions of the building are not bad, that neither the building nor any of the stories tilted, that no settlements in the foundation are visible, and that the most damaged is the first story. A detailed inspection provided the structural damage data given in Table 4. Figure 11 shows details of the damage suffered by the columns.

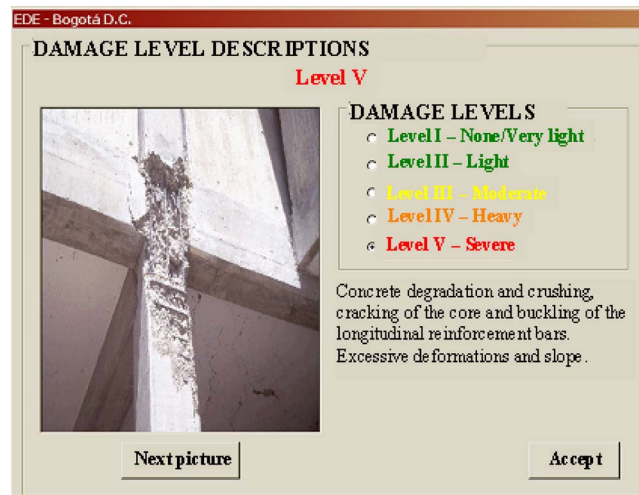


Figure 9. Screen containing the description of severe damage in concrete columns, supporting the evaluation process.



Figure 10. Building of the Coffee-Growing-Region of Colombia damaged by the January 1999 earthquake.

Table 4. Percentages of elements having a given damage level

Element	Damage levels (% of elements)				
Beams	<i>None: 30</i>	<i>Light: 50</i>	<i>Moderate: 10</i>	<i>Heavy: 10</i>	<i>Severe: 0</i>
Columns	<i>None: 35</i>	<i>Light: 35</i>	<i>Moderate: 10</i>	<i>Heavy: 20</i>	<i>Severe: 0</i>
Joints	<i>None: 60</i>	<i>Light: 30</i>	<i>Moderate: 0</i>	<i>Heavy: 10</i>	<i>Severe: 0</i>
Floors	<i>None: 40</i>	<i>Light: 60</i>	<i>Moderate: 0</i>	<i>Heavy: 0</i>	<i>Severe: 0</i>



Figure 11. Column with heavy damage.

Referring to nonstructural elements, the damage in the partition walls is *moderate*, in the façade, *light* and, in the stairs, *heavy*. Figure 12 shows an example of damage in a partition wall. The ground conditions are *very good*, because no cracks, slope instability, landslides, ground settlements, and liquefaction are visible. The pre-existent conditions are *good*. The quality of the material and of the construction is not good, but the irregularities in plane and elevation are minimal and the structural configuration is *good*.

Results for Example 1

All the numerical and linguistic results, comments, and descriptions for the four aspects of the problem—damage, risk, habitability, and reparability—are given by the computer program Earthquake Damage Evaluation of Buildings (EDE):

Damage. The damage results are given using both numerical and linguistic qualifications for each group of elements. The structural damage index is 0.30 what, according to the proposed scale, means that the damage is *moderate*. The nonstructural damage index is 0.38, that is, the nonstructural damage is *moderate*. The ground conditions are *very good*, the value of the index being 0.05. The pre-existent conditions are qualified as *good*, and the value of the corresponding index is 0.25.



Figure 12. Partitions walls with moderate damage.



Figure 13. Building of the Coffee-Growing-Region of Colombia damaged by the January 1999 earthquake.

Risk. The safety level is given using linguistic qualification corresponding to the structure, to the nonstructural elements and to the ground and also evaluates the overall state of the building. The structural risk is *low after taking some security measures*, the nonstructural risk is *low after taking some security measures* and the ground risk is low. The building damage is *moderate* and the result provided by the computer code EDE is: “The building has structural and nonstructural moderate damage. The damage can put in danger the building stability in the case of an aftershock. The earthquake resistance has been reduced.” Referring to the building condition, EDE states, “The building damage is moderate and the ground conditions are good.”

Applying the fuzzy rule bases of Figure 7 to the obtained damage qualifications, the building habitability and reparability are evaluated in the following way:

Habitability. The decision regarding the habitability of the building is given by the EDE code which also suggests security measures which have to be undertaken urgently. The access to the building analyzed in this example should be restricted. The use of the building is assured if the elements in danger to fall are removed or repaired. The inhabitants are at risk.

Reparability. Certain reparation measures that have to be applied are also given by the EDE code, but without a detailed description. Obviously, the development of detailed reparation measures requires the intervention of structural engineers. The building in this example needs some reparation, possibly due to minor damages and pre-existent conditions. It is recommended to undertake a study of its seismic vulnerability.

EXAMPLE 2: UNREINFORCED MASONRY BUILDING

The building in Figure 13, built between 1950–1984 in the Coffee-Growing-Region of Colombia, has a structural system based on unreinforced masonry and solid slabs floors. It is a three-story building placed on the corner of its block, without a basement floor. This building was affected by the 1999 earthquake in the Coffee-Growing-Region. A rapid evaluation shows that the general conditions of the building are not so bad, that neither the building nor any of the stories tilted, that no settlements in the foundation are

Table 5. Percentages of elements having a given damage level

Element	Damage levels (% of elements)				
Bearing wall	<i>None</i> : 0	<i>Light</i> : 30	<i>Moderate</i> : 20	<i>Heavy</i> : 50	<i>Severe</i> : 0
Floors	<i>None</i> : 0	<i>Light</i> : 70	<i>Moderate</i> : 20	<i>Heavy</i> : 10	<i>Severe</i> : 0

visible and that most of the damaged occurred in the first story. A detailed inspection provided the structural damage data shown in Table 5. Figure 14 shows detail of the damage suffered by the bearing walls.

Referring to the nonstructural elements, the damage in the partition walls is *heavy*, in the façade is *moderate*, and in the stairs is *light*. Figure 15 shows an example of damage in a partition wall. The ground conditions are *very good*, because no cracks, slope instability, landslides, ground settlements, and liquefaction are visible. Although the building has no irregularities in plane and elevation, and the structural configuration is *good*, the quality of the material and of the construction is *very bad* and, for this reason, the pre-existent conditions are *very bad*.

Results for Example 2

Damage. The damage results are given using both numerical and linguistic qualifications for each group of elements. The structural damage index is 0.55, which according to the proposed scale, means that the damage is *heavy*. The nonstructural damage index is 0.40, that is, *moderate*. The ground conditions are *very good*, the value of the index being 0.05. The pre-existent conditions are qualified as *very bad* and the value of the corresponding index is 0.76.

Risk. The safety level is given using linguistic qualification corresponding to the structure, to the nonstructural elements and to the ground and also evaluates the overall state of the building. The structural risk is *high*, the nonstructural risk is *low after taking some security measures* and the ground risk is *low*. The building damage is *heavy* and the result provided by the computer code EDE is: “The building has heavy damage in

**Figure 14.** Bearing wall with heavy damage.



Figure 15. Partitions walls with heavy damage.

the structure and nonstructural moderate damage. The building stability and security have been affected. The earthquake resistance has been reduced.” Referring to the building condition EDE states, “The building damage is heavy and the ground conditions are good.”

Applying the fuzzy rule bases of Figure 7 to the obtained damage qualifications, the building habitability and reparability are evaluated in the following way:

Habitability. The decision regarding the habitability of the building is given by the EDE code, which also suggests security measures that have to be undertaken urgently. The building is classified as *noninhabitable*. Access to the building puts the safety of its inhabitants in danger.

Reparability. The building possibly needs to be demolished due to heavy damage and the very poor pre-existent conditions. Obviously, the final decision for demolition requires the intervention of structural engineers.

CONCLUSIONS

A computer program based on a soft computational model useful in the complex task of building damage evaluation after a strong earthquake has been developed, which improves the existing conventional methodologies and makes possible a more accurate evaluation by nonexpert professionals when there are doubts on the structural safety. The model is based on artificial neural networks and a fuzzy logic approach, and it is suitable in building damage evaluation, which deals with subjective and incomplete information

and requires the use of linguistic qualifications that are appropriately handled by fuzzy sets. An artificial neural network has been used to calibrate this computational intelligence model using the judgment of specialists. The training of the neural network was performed by using a database of real seismic damage evaluations made by expert engineers. The above-mentioned user-friendly computer program, called *Earthquake Damage Evaluation of Buildings* (EDE) is used as an official tool for the disaster risk management of the cities of Bogotá and Manizales, in Colombia, and is a component of a “National Program on Building Evaluations” of Colombia, in which new inspection guidelines and forms have been also developed.

The calibration of the model depends on the availability of reliable databases of building damage, obtained by experts, which are essential for the learning process of the artificial neural network. These databases are not always available in the desired amount on exception reinforced concrete frames and masonry buildings. Therefore, it is necessary to perform, after future earthquakes, evaluations for all the building classes existing in the seismic areas, reinforced concrete frames and masonry buildings considered in this article, in order to complete the learning process for other constructions, and to improve the calibration. More information on the structural classes for which evaluation databases are already available is also desirable in order to improve the databases.

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APPENDIX A. DESCRIPTION OF THE ARTIFICIAL NEURAL NETWORK

The computational tool uses an artificial neural network (ANN) with an input layer, an intermediate or hidden layer, and an output layer. This appendix describes this neuro-fuzzy system (Carreño et al. 2006, Carreño 2006).

Input layer of the artificial neural network. The neurons in the input layer are grouped in four sets: structural elements (SE), nonstructural elements (NE), ground conditions (GC), and pre-existent conditions (PC). The input data for this layer are, in the case of the structural elements, the percentages of elements corresponding to each damage level and, in the case of nonstructural elements, the global linguistic qualifications of each element. The fuzzy sets (see Zadeh 1965) for each variable i (for instance, columns, walls, or beams) of the input layer are obtained from the linguistic qualifications obtained after a visual inspection of the building, which provide the damage D_j at each level j and its extension or weight w_j . The damage extension, or percentage of each damage level in each element, varies from 0 to 100

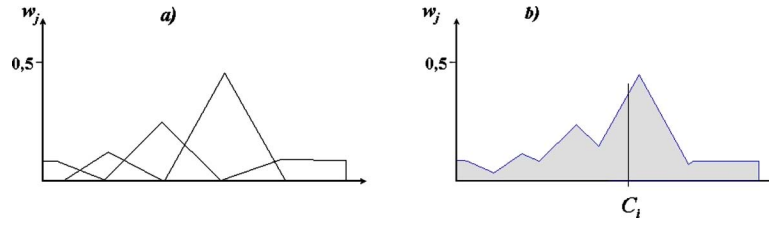


Figure A1. Damage level evaluation for structural elements. (a) Fuzzy sets, (b) Envelope of the fuzzy sets union. The point C_i corresponds to the centroid of the area limited by the envelope.

$$w_j = \frac{D_j}{\sum_N D_j}, \quad \sum_N w_j = 1, \quad (\text{A1})$$

The accumulated qualification of damage D_i for each variable is the union of the scaled fuzzy sets, taking into account the damage membership functions $\mu_{D_j}(D_j)$ and their extensions or weights assigned by the inspector

$$D_i = (D_N \cup D_L \cup D_M \cup D_H \cup D_S) \quad (\text{A2})$$

$$\mu_{D_i}(D) = \max(w_{N,i} \times \mu_{D_N}(D_{N,i}), \dots, w_{S,i} \times \mu_{D_S}(D_{S,i})) \quad (\text{A3})$$

In theory, the union of fuzzy sets is represented by the maximum membership or dependency (see Nauck et al., 1997, Jang et al. 1997). By means of defuzzification, that is, by calculating the centroid of the area of the fuzzy sets union, a qualification index C_i is obtained for each variable of each group of neurons (see Figure A1)

$$C_i = [\max(w_{N,i} \times \mu_{D_N}(D_{N,i}), \dots, w_{S,i} \times \mu_{D_S}(D_{S,i}))]_{\text{centroid}} \quad (\text{A4})$$

Each variable predefines the basic membership functions for the fuzzy sets corresponding to the five possible levels of damage. The linguistic qualifications change in each case. Figure A1 shows this process.

Intermediate or hidden layer of the ANN. This layer has four neurons corresponding to each group of variables: structural elements, nonstructural elements, ground conditions and pre-existent conditions. Figure A2 shows a detailed scheme of the evaluation process. In this neural network model, the inputs of the four neurons are the qualifications C_i of each variable for each group of neurons and their weights W_i which are describing the degree of importance on the corresponding intermediate neuron. These weights have been defined with the participation of experts in earthquake damage evaluation and their values for some structural systems are shown in Table A1. Tables A2–A4 show the initial weights before the training of the ANN for the nonstructural elements, ground conditions and pre-existing conditions. Using these qualifications and weights for each variable i , a global index is obtained, for each group k , from the defuzzification of the union or maximum membership of the scaled fuzzy sets

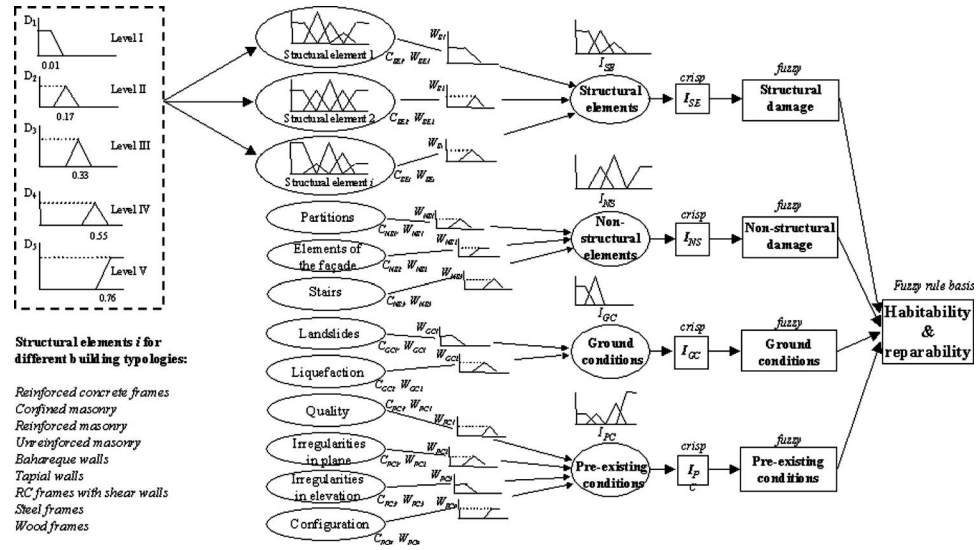


Figure A2. Structure of the proposed artificial neural network.

Table A1. Weights for structural elements according to the building type

Structural system	Beams	Columns	Joints or connections	Walls	Bearing walls	Floors
Reinforced concrete frame	19	46	25	—	—	10
Reinforced concrete structural wall	15	—	20	57	—	8
Confined masonry	—	—	—	—	73	27
Reinforced masonry	—	—	—	—	73	27
Unreinforced masonry	—	—	—	—	70	30
Bahareque walls	—	—	—	—	77	23
Steel frame	18	39	35	—	—	8
Wood frames	23	45	21	—	—	11

Table A2. Weights for nonstructural elements

Element	Weight
Partitions	35
Façade	35
Stairs	30

Table A3. Weights for ground conditions variables

Element	Weight
Soil cracks and land slides	50
Liquefaction and subsidences	50

$$I_{SE} = [\max(W_{SE1} \times \mu_{C_{SE1}}(C_{SE1}), \dots, W_{SEi} \times \mu_{C_{SEi}}(C_{SEi}))]_{centroid} \quad (A5)$$

$$\mu_{CSE}(C) = \max(W_{SE1} \times \mu_{C_{SE1}}(C_{SE1}), \dots, W_{SEi} \times \mu_{C_{SEi}}(C_{SEi})), \quad (A6)$$

The membership functions $\mu_{C_{ki}}(C_{ki})$ and their weights W_{ki} show the notation for the group of structural elements.

Output layer of the ANN. In this layer, a final linguistic qualification is assigned to the global indices obtained for structural elements, nonstructural elements, ground and pre-existent conditions. The damage level is calculated according to the “proximity” of the value to a global damage function of reference, initially defined with the selected damage indices. In this layer, the training process of the neural network is performed. The indices that identify each qualitative level are changed in agreement with the indices calculated in each evaluation and with a learning rate. Once the final qualifications are made, it is possible to determine the global building damage, the habitability and reparability of the building using a set of fuzzy rule bases.

The neural network is calibrated in the output layer where the damage functions are defined in relation to existing damage indices. The initial values are shown in Tables A1–A4. The calibration is made for each damage level and only the indices corresponding to the groups of variables considered in each case are calibrated. The network learning is performed by using a Kohonen network (Kohonen 1982, Kosko 1992)

$$I_{kj}(t+1) = I_{kj}(t) + \alpha(t)[I_{kj}(t) - I_{kj}], \quad (A7)$$

where I_{kj} is the value of the damage index of the variables group k recalculated in function of the learning rate α and the difference between the value $I_{kj}(t)$ of the damage index calculated at the present instant and the previous values corresponding to each damage level j . The learning rate is given by

Table A4. Weights for pre-existing conditions variables

Element	Weight
Materials quality	25
Plane shape irregularities	25
Vertical shape irregularities	25
Structural configuration	25

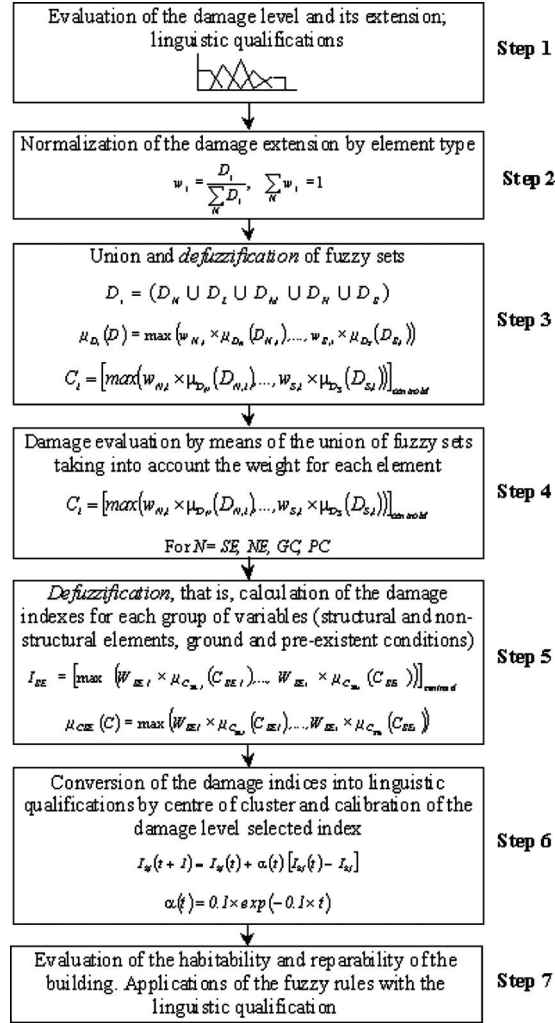


Figure A3. Flow chart for the damage evaluation process.

$$\alpha(t) = 0.1 \times \exp(-0.1 \times t), \quad (A7')$$

where t is the number of times that has been used the index which is calibrated. Figure A3 shows a summary of the computational process which has to be performed according to the proposed model.

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