Hygrothermal Regulation of Brick Masonry of Nanjing City Wall by Plants

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Abstract. *Nanjing City Wall, one of the most important cultural heritages in China, has been damaged in a natural environment for centuries. Plants can be a candidate to regulate the micro-environment and mitigate the influence of local climates, which cannot be easily controlled by human efforts. Therefore, we examined the potential of the roadside trees along the City Wall to buffer the hygrothermal cycles that can deteriorate the City Wall. We surveyed the deteriorated state and measured the temperature and moisture content of the City Wall. Measured results showed smaller temperature fluctuation at shaded surface than the unshaded with a maximum temperature difference of 10.9 °C in summer. The measured water content decreased with height. A coupled two-dimensional hygrothermal ‘City Wall–plant’ model was proposed to clarify the influence of the roadside trees on the hygrothermal distribution of the City Wall. The proposed city wall-plant hygrothermal model could predict the surface temperature and water content well.*

Keywords: *Heritage Conservation, City Wall, Plant Regulation, Hygrothermal Distribution, Deterioration.*

1 Introduction

Nanjing City Wall, one of the most important cultural heritages in China, has a long history that can be traced back over 600 years. It is an ancient structure with abundant cultural heritage values, among which are inscriptions (Nanjing City Wall Protection and Management Centre, 2016) (Figure 1) that contain information about responsible organizations and persons on bricks. Nanjing City Wall was deteriorated due to efflorescence, peeling and cracking which damage surface inscriptions.

Related to conservation of cultural heritages, one key consideration is how to mitigate the effects of environmental fluctuations on wall materials. Nanjing City Wall has been exposed to climatic variations such as solar radiation, temperature, relative humidity, and rainfall. The humidity is strongly correlated with formation of the fungal communities that causes biodeterioration (Li, *et al.* 2020). Different ambient relative humidity conditions would result in various degrees of damage by the crystallization pressure of sodium sulphate (Rodriguez-Navarro and Eric, 1999). Moisture significantly reduces the compression strength of the bricks

(Foraboschi and Vanin, 2014) and determines the degree of freeze–thaw damage based on temperature (Feng, *et al.* 2019).

With respect to green urban development, coverage with vegetation offers a potential solution. English ivy has been found to reduce the range and variability of wall-surface microclimates over diurnal, seasonal and annual timescales (Sternberg, *et al.* 2011) and reduce the frequency, duration, and severity of freezing events (Coombes, *et al.* 2018) at historic sites in England. Araoka, *et al.* (2017) investigated the deterioration pattern of the Nanjing City Wall and found that shaded brick walls with trees on their side were relatively well-preserved.

This study aims at evaluating the potential of the roadside trees along the wall to buffer the microclimate of Nanjing City Wall. First, the situation of Nanjing City Wall was investigated and the temperature and moisture content of the wall were measured. Second, a two-dimensional hygrothermal ‘City Wall–plant’ model was developed to evaluate the influence of trees.

Figure 1. Inscriptions on the City Wall.

Figure 2. Vegetation status at monitoring points.

2.1 Measurement

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The measurements have been conducted around Jiefang gate (one gate at the northern part of City Wall). Two shaded walls (Sites 1 and Site 2) and two unshaded wall (Site 3 and Site 4) were selected and been monitored from April 2019 to December 2019 (Figure 2). Air temperature and relative humidity under the canopy, surface temperature and water content of the City Wall were measured (Figure 3). The meteorological station was 800m apart from the monitored sites. The monitored weather data included the air temperature, relative humidity, rainfall, and horizontal solar radiation.

Figure 3. Outline of monitoring at each site. Monitoring period: Jun.- Dec. 2019 (temperature); Apr. - Dec. 2019 (water content). The heights of measured points are denoted as $H_{0.15}$ (0.15m), $H_{1.2}$ (1.2m), etc.

2.2 Simulation Model

2.2.1 City Wall

The simultaneous transfer model of heat and water by Matsumoto. (1978) was adopted in this paper. The water chemical potential μ is used to express moisture state in this paper. The relationship between μ and temperature and relative humidity is as follows:

$$\mu = R_v T \ln(h) \quad (1)$$

Heat balance equation:

$$c\rho \cdot \frac{\partial T}{\partial t} = \nabla[(\lambda + \lambda'_{Tg}) \nabla T + r\lambda'_{Tg} \nabla \mu] \quad (2)$$

Moisture balance equation:

$$\rho_w \left(\frac{\partial \Psi}{\partial \mu} \right) \left(\frac{\partial \mu}{\partial t} \right) = \nabla[\lambda'_\mu (\nabla \mu - g\mathbf{n}) + \lambda'_T \nabla T] \quad (3)$$

2.2.2 Plant model

In our model, trees can reduce incident solar radiation to and the nocturnal radiation from the wall, and intercept the rainfall that dropped to vertical projection region (the ground and wall). Furthermore, the rainwater trapped by trees evaporated and removed heat from the plants. The model was based on the plant model by Kondou (1996). Figure 4 shows the thermal and moisture network model of the plant.

Figure 4. Thermal (a) and moisture (b) nodal networks of the plant-ground model.

3 Results and Discussion

3.1 Measured Results

3.1.1 Weather data

Figure 5 shows the weather data from Jan. 2019 to Dec. 2019. The temperature fluctuation was 42.6 °C (−2.6 °C~ 40 °C), and the annual average temperature was 18.1 °C. The relative humidity fluctuated between 15.8% and 100%, and exceeded 90% for 1369 hours (Figure 5a). It rained more in the summer, followed by winter (Figure 5c). The annual rainfall was 486.5

mm, much less than the average rainfall of 1206 mm for the last 10 years (Nanjing Bureau of Statistics. 2007-2017)).

Figure 5. Weather data (Jan. 2019-Dec. 2019).

3.1.2 Air temperature and relative humidity under the canopy

Figure 6 shows the measured air temperature and relative humidity under the canopy at Site 1 along with the meteorological (outdoor) data. During the monitoring period (Jun. 2019 - Dec. 2019), the temperature fluctuation of the air under the canopy and the outdoor air were 35.9 °C (1.8 °C~ 37.7 °C) and 38.4 °C (1.6 °C~ 40 °C), respectively. In summer, the temperature under the canopy was lower than the outdoor temperature for most time, with a 6.1 °C difference of maximum temperature. On the contrary, the relative humidity under the canopy was higher than that of the outdoor values for the most time. The relative humidity difference was usually between -27%~8%.

3.1.3 Surface temperature and water content of City Wall

Figure 7 shows the measured surface temperatures at Site 1 (shaded H_{3.9}) and Site 3 (unshaded H_{3.9}). The temperature fluctuation of the surface temperature of the City Wall at Site 1 was 33.2 °C (3.2 °C~36.4 °C), which was smaller than the temperature fluctuation of 43.7 °C (3.6 °C~47.3 °C) at Site 3. In summer, almost the same daily minimum temperatures and large differences in the maximum values were seen on shaded and unshaded points. In winter, due to the low solar altitude, the unshaded H_{3.9} (Site 3) was partly shaded by trees. Then and the similar temperature fluctuation can be seen at shaded (Site 1) and unshaded H_{3.9} (Site 3).

Figure 8 shows the average water content of 10 bricks at Site 2 and Site 4. Due to lawns on the south side of Site 4 with regular irrigation in summer, this may result in increased water content of the wall. Therefore, this paper compares the water content fluctuation in two cases, separately. The results show the water content decreased with height, while the water content at H_{2.1} was higher than at H_{1.2} in Site 4. It may be due to different water absorption and storage capacity of bricks and reduction of the evaporation rate by herbaceous plant at H_{2.1}, and needed to be further studied. During the monitoring period, the water content of each height was obviously affected by rainfall. The water content was higher in rainy season (July and August), while lower in arid season (September and October).

(a) Temperature

(b) Relative humidity

Figure 6. Temperature (a) and relative humidity (b) under tree and at meteorological station (Jun. 2019 - Dec. 2019) (Difference = Outdoor air - Air under the tree).

Figure 7. Measured surface temperatures on shaded and unshaded surfaces (Jun. 2019 - Dec. 2019).

(a) Site 2 (shaded)

(b) Site 4 (unshaded)

Figure 8. Average water content of 10 bricks located at Site 2 and Site 4.

Figure 9. Schematic diagram of calculation model at Site 2.

3.2 Validation of Proposed Model (Comparison between measured and calculated results)

Figure 9 shows schematic diagram of a two-dimensional 'City Wall-plant' model. It is set up in FORTRAN language to simulate the Site 2. The tree is evergreen with a height of 7.5m and a distance of 3.5m to the city wall (Figure 9). The weather data (Jan. 2018 to Dec. 2018) was repeatedly used for 10- years calculation as the boundary condition. Then the calculation was conducted with meteorological data in 2019 for one year, and the calculated results were analysed.

Figure 10 shows the measured and simulated surface temperatures at three points $H_{1.2}$, $H_{3.9}$, and $H_{5.4}$ on the south facade at Site 2. A linear regression analysis was performed with the measured and simulated results, and a good correlation was obtained with slopes 1.00, 0.98, and 0.97 and goodness-of-fit 0.99, 0.98, and 0.96 at $H_{1.2}$, $H_{3.9}$, and $H_{5.4}$, respectively. Figure 11 shows the measured and simulated water content at three heights ($H_{0.15}$, $H_{1.2}$, and $H_{2.1}$). The calculated water content reproduced the time profile of the measured results. The water content assumed a peak value during rainfall, then gradually decreased probably due to evaporation and water movement in the wall. The mean absolute error (MAE) was used to analyse the consistency of measured and simulated values. The MAE at three heights $H_{0.15}$, $H_{1.2}$, and $H_{2.1}$ was $0.029\text{m}^3/\text{m}^3$, $0.036\text{m}^3/\text{m}^3$, $0.035\text{m}^3/\text{m}^3$, respectively.

It can be concluded that the simulated temperatures and water content agreed well with the measured results in unshaded case. Thus, the proposed ‘City Wall–plant’ model can be used to examine the influence of the surrounding trees on the hygrothermal fluctuations and weathering of City Wall.

Figure 10. Comparison of the measured and simulated surface temperatures at three heights.

Figure 11. Comparison of measured and simulated water content at three heights.

This study investigated the influence of trees on hygrothermal performance of Nanjing City Wall. The model was validated through the comparison between measured and calculated results. The temperature fluctuation at shaded surface was smaller than the unshaded one, and the maximum temperature in shaded case was 6.1 °C lower than the unshaded in summer. The measured water content decreased with height.

The proposed ‘City Wall–plant’ model was validated through the comparison between measured and calculated results. Then the model can be used to analyse the trees’ impact on the microclimate and surface weathering of Nanjing City Wall.

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Appendix A. Nomenclature

R_v	the gas constant of water ($R_v = R/M_v$)	I	nocturnal radiation [W/m^2]
R	the universal gas constant [$\text{Pa} \cdot \text{m}^3/\text{kmol} \cdot \text{K}$]	P_r	precipitation [$\text{kg}/(\text{m}^2 \cdot \text{s})$]
M_v	molar weight of water [kg/kmol]	$P_{r,m}$	the rainfall to ground [$\text{kg}/\text{m}^2 \cdot \text{s}$]
h	the relative humidity.	R	thermal resistance [$(\text{m}^2 \cdot \text{K})/\text{W}$]
ρ_w	water density [kg/m^3]	R'	moisture resistance [$(\text{m}^2 \cdot \text{K} \cdot \text{Pa})/\text{kg}$]
Ψ	the volumetric water content [m^3/m^3]	c_p	specific heat capacity [$\text{J}/(\text{m}^3 \cdot \text{K})$]
$\mathbf{n}$	unit vector in the direction of gravity	c_a'	moisture capacity of the air [$\text{kg}/(\text{m}^3 \cdot \text{Pa})$]
q	heat flux [W/m^2]	V	volume [m^3]
j	total (liquid and vapor) water flux [$\text{kg}/(\text{m}^2 \cdot \text{s})$]	ρ	density [kg/m^3]
T	temperature [K]	β	evaporation efficiency of vegetation [-]
p	vapor pressure [Pa]	C_h	coefficient of volume movement [-]
p_{sat}	saturation vapor pressure [Pa]	U	wind speed [m/s]
μ	water chemical potential [J/kg]	S_h	specific humidity [kg/kg]
	transmission coefficient of solar radiation by canopy	S	area [m^2]
γ	[-]	r	heat of phase change of water [J/kg]
γ'	transmittance of plants to rainwater [-]	g	gravitational acceleration [$=9.8 \text{ m}/\text{s}^2$]
A	absorption coefficient of solar radiation [-]	E_p	rainfall trapped by trees [$\text{kg}/(\text{m}^2 \cdot \text{s})$]
ε	longwave emissivity [-]	E_t	evapotranspiration of plants [$\text{kg}/\text{m}^2 \cdot \text{s}$]
α	heat transfer coefficient [$\text{W}/(\text{m}^2 \cdot \text{K})$]		

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α'	water vapor transfer coefficient [$\text{kg}/\text{m}^2\text{sPa}$]	E_m	evaporation from material (wall or ground) surface [$\text{kg}/\text{m}^2\text{s}$]
α'_μ	water vapor transfer coefficient under the gradient of water chemical potential [$\text{kg}/\text{m}^2\text{s}\cdot(\text{J}/\text{kg})$]	Subscripts	
α'_T	water vapor transfer coefficient under the gradient of temperature [$\text{kg}/\text{m}^2\text{s}\cdot\text{K}$]	a	air
λ	thermal conductivity [$\text{W}/\text{m}\cdot\text{K}$]	o	outdoor
λ'_μ	water (liquid and vapor) conductivity under the gradient of water chemical potential [$\text{kg}/\text{m}\cdot\text{s}\cdot(\text{J}/\text{kg})$]	s	surface
λ'_T	water (liquid and vapor) conductivity under the gradient of temperature [$\text{kg}/\text{m}\cdot\text{s}\cdot\text{K}$]	c	canopy
λ'_{Tg}	Water vapor conductivity of the solid material under the gradient of temperature [$\text{kg}/(\text{m}\cdot\text{s}\cdot\text{K})$]	as	air space
$\lambda'_{\mu g}$	Water vapor conductivity under the gradient of water chemical potential [$\text{kg}/(\text{m}\cdot\text{s}\cdot(\text{J}/\text{kg}))$]	m	material (wall or ground)
I_s	solar radiation [W/m^2]	sol	solar radiation
		noc	nocturnal radiation
		lat	latent heat
		ex	air exchange
		oc	the outdoor air -canopy surface
		ca	the canopy-air space surface
		am	the air space-material (wall or ground) surface

Appendix B. Plant model

The plant model was based on the plant model by Kondou (1996). The equations of heat and moisture balance in plant model are as follows.

1. Heat balance of the canopy cell:

$$(cp)_a \cdot V_c \cdot \frac{\partial T}{\partial t} = \left\{ \frac{(T_o - T_c)}{(1/\alpha_{oc} + R_c)} + q_{sol,c} - q_{noc,c} - q_{lat,c} - \alpha_{ca} \cdot (T_c - T_{as}) \right\} \cdot S + q_{ex,c} \quad (\text{B.1})$$

Where, $q_{sol,c}$ is the solar radiation absorbed by trees ($= I_s \cdot (1 - \gamma) \cdot A_c$) [W/m^2]; $q_{lat,c}$ is latent heat of evapotranspiration ($= r \cdot (E_t + E_p)$) [W/m^2]; $q_{noc,c}$ is the nocturnal radiation of trees ($= \varepsilon_c \cdot I_n$) [W/m^2].

2. Heat balance of the air space under the canopy:

$$(cp)_a \cdot V_{as} \cdot \frac{\partial T}{\partial t} = \alpha_{ca} \cdot (T_c - T_{as}) \cdot S - \sum_1^{m1} \alpha_{am,i} \cdot (T_{as} - T_{m,i}) \cdot S_i + q_{ex,as} \quad (\text{B.2})$$

3. Heat balance of the cell at material surface:

$$\alpha_{am,i} \cdot (T_{as} - T_{m,i}) + q_{sol,m} - q_{lat,m} - q_{noc,m} = -(\lambda + r\lambda'_{Tg}) \cdot \frac{\partial T}{\partial x} - r\lambda'_{\mu g} \cdot \frac{\partial \mu}{\partial x} \quad (\text{B.3})$$

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Where, $q_{sol,m}$ is the solar radiation absorbed by material (wall or ground) surface ($= I_s \cdot \gamma \cdot A_m$) [W/m^2]; $q_{lat,m}$ is latent heat of evaporation from material (wall or ground) surface ($= r \cdot E_m$) [W/m^2]; $q_{noc,m}$ is nocturnal radiation of material (wall or ground) surface ($= \varepsilon_m \cdot I_n$) [W/m^2].

4. Moisture balance of the canopy cell:

$$C'_a \cdot V_c \cdot \frac{\partial p}{\partial t} = \left\{ \frac{(p_o - p_c)}{(1/\alpha'_{oc} + R'_c)} + E_p + E_t - \alpha'_{ca} \cdot (p_c - p_{as}) \right\} \cdot S + j_{ex,c} \quad (\text{B.4})$$

5. Moisture balance of the air space under the canopy:

$$C'_a \cdot V_{as} \cdot \frac{\partial p}{\partial t} = \sum_1^{m2} E_{m,i} \cdot S_i + j_{ex,as} \quad (\text{B.5})$$

6. Moisture balance of the cell at material surface:

$$\gamma' \cdot P_r - E_m = -\lambda'_\mu \cdot \left(\frac{\partial \mu}{\partial x} - g \right) - \lambda'_T \cdot \frac{\partial T}{\partial x} \quad (\text{B.6})$$

Where,

$$E_t = \rho \beta C_h U \cdot \left(\frac{\partial S_h}{\partial P} \right) \cdot (p_{sat}(T_c) - p_o) \quad (\text{B.7})$$

$$E_p = (1 - \gamma') \cdot P_r \quad (\text{B.8})$$

$$E_m = \alpha'_\mu (\mu_m - \mu_{as}) + \alpha'_T (T_m - T_{as}) \quad (\text{B.9})$$