EQUIVALENT FRAME METHOD COMBINING FLEXURAL AND SHEAR RESPONSES OF MASONRY BUILDINGS

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Abstract. *This work presents the results of quasi-static non-linear analyses of two masonry buildings using, for the discretization of walls, a macro-element that combines the bending and the in-plane shear responses. The macro-element uses the force-based beam-column element equipped with cross sections discretized in fibers, where the behavior of each fiber is described by uniaxial constitutive models. To describe the shear response of the structural element, the macro-element embeds a shear hinge at mid-span, with a phenomenological non-linear constitutive model calibrated on experimental data. The analyzed buildings are two tangibles examples of un-reinforced and reinforced masonry of the Italian Heritage. The un-reinforced masonry building is a strategic building monitored by the O.S.S., partially damaged by the seismic events in Center Italy in 2016. The reinforced masonry building, a three-storey residential structure, is subject of evaluations carried out in the ReLuis RINTC project, designed as per D.M. 2018.*

1 INTRODUCTION

The seismic events which recently struck the Italian Peninsula have shown the limited earthquake performance of existing masonry structures.

Their high vulnerability is linked to various sources of weakness such as very disparate construction typologies, buildings remodeled over time with interventions without criteria, absence of structural design, architectural modifications, interventions without adequate structural evaluation: most of the existing buildings in masonry are therefore not designed to transfer seismic forces from horizontal to vertical elements.

Nonlinear numerical simulations of existing masonry buildings still represent an open problem in research because it is difficult to develop reliable numerical models of real buildings.

This study presents the results of non-linear pushover analyzes performed on two unreinforced and reinforced masonry buildings. The method, called equivalent frame method (EFM), uses one-dimensional nonlinear macroelements connected through nodal panels considered to be nondeformable and rigid.

The macroelement, originally developed for reinforced concrete structures [1], has been extended in previous works ([2,3,4,5]) to the static and dynamic nonlinear analysis of masonry structures. The macroelement consists of Force Based Elements (FBE) ([6,7]) combined with nonlinear lumped hinges.

The equilibrium between moment and shear is guaranteed at the element level; if properly calibrated, the macroelement is particularly effective in simulating the in plane and out-of-plane response of wall panels of ordinary and reinforced masonry buildings.

The equivalent frame model is implemented in the open source computational platform OpenSees 3.0.3 ([8]) with which the numerical analyzes will be conducted together with the pre and post-processor Scientific ToolKit for Opensees, STKO ([9]).

2 EQUIVALENT FRAME METHOD WITH FIBER BASED ELEMENTS AND PHENOMENOLOGICAL LUMPED HINGES

In the simplified approach with EFM, the masonry structures are modeled by assembling equivalent vertical and horizontal one-dimensional elements: piers and spandrels are modeled with appropriate constitutive laws and connected to each other through rigid areas (Figure 1).

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Figure 1: EFM discretization

The interaction between axial and flexural behavior is captured by the macroelement using force based fiber elements (Figure 2). The diagonal and sliding shear behavior is captured with a phenomenological law. Flexure and shear interaction is ensured with the equilibrium along the element (Figure 3).

For the unreinforced masonry (URM) building behavior, the uniaxial material "Concrete02" (OpenSees 3.0.3) is used to simulate the masonry. The tensile strength is assumed to be 1/30 of the compressive strength.

For the reinforced masonry (RM) buildings, the masonry behavior is modeled with the uniaxial material "Concrete01" with zero tensile strength and a steel bar behavior is modeled with the uniaxial bilinear steel Material "Steel01".

The uniaxial multilinear material of OpenSees 3.0.3 is used to simulate the shear behavior of the wall panels.

Figure 2: Macroelement formulation

Figure 3: Lumped hinge for shear behavior

The shear strength capacity, V_t ([10]) of URM panels is calculated as:

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$$V_t = B \cdot t \cdot \frac{f_{tu}}{b} \sqrt{1 + \frac{\sigma}{f_{tu}}} \quad (1)$$

where:

f_{tu} is the masonry tensile strength;

b is equal to 1.5;

B and t are the length and thickness of the panel, respectively.

The shear strength V_t ([11]) of the RM panels is calculated as the sum of the contributions of the masonry shear capacity $V_{t,M}$ and the reinforcement capacity $V_{t,S}$, according to the following relationships:

$$V_t = V_{t,M} + V_{t,S} \quad (2)$$

$$V_{t,M} = d \cdot t \cdot f_{vd} \quad (3)$$

where:

d is the distance between the extreme compressed fiber and the center of tensile reinforcement;

t is the panel thickness;

f_{vd} is the shear strength of the masonry under compression.

$$V_{t,s} = (0.6 \cdot d \cdot A_{sw} \cdot f_{vd})/s \quad (4)$$

where:

A_{sw} shear strengthening area in the direction of the shear forces

f_{vd} steel design yielding strength;

s spacing among the transversal reinforcement.

In deformation terms, the ultimate angular deformation, ϑ_u of URM panels (URM) at the life safety limit state is assumed to be equal to 0.4% of the panel height [12]; in the case of RM panels, the ultimate angular deformation, ϑ_u is assumed to be 0.6% of the height of the panel [12].

The shear phenomenological law considered in this study does not depend on the axial load N ; once the parameters have been set, the law remains constant during the analysis.

The ultimate bending moment, M_u of the masonry spandrels, as indicated in the Italian code DM 2018 [11] is calculated as:

$$M_u = H_p \cdot h_{sp}/2 \cdot \left[1 - \frac{H_p}{(0.85 \cdot f_{hd} \cdot h_{sp} \cdot t_{sp})} \right] \quad (5)$$

where:

h_{sp} is equal to the spandrel height;

t_{sp} is equal to the spandrel thickness,

The spandrel shear capacity, V , is equal to:

$$V = h_{sp} \cdot t_{sp} \cdot \tau_0 \quad (6)$$

where:

τ_0 masonry shear strength;

h_{sp} masonry spandrel height;

t_{sp} masonry spandrel thickness.

3 PUSH OVER ANALYSES OF TWO LARGE UNREINFORCED AND REINFORCED MASONRY BUILDINGS

3.1 Description of the building investigated

The first case study presented in this work is a pushover analysis conducted on the "Pietro Capuzi" kindergarten school in Visso - Marche - MC - Italy; this is an URM building monitored by the Seismic Observatory on Structures [13] which during the last Italian seismic events (Central Italy - 2016) suffered serious structural damage ([14,15]).

The chosen structure is characterized by a regular distribution of the openings and a predominantly global response with localized damage in the piers and in the masonry spandrels, which can be modeled well with EFM. The plan building was asymmetrical in the two main directions (longitudinal X, transversal Y) and consisted of a mezzanine and a first floor (Figure 4).

Figure 4: First and second floor plan

The building, as shown in Figure 5, had several cracks caused by in-plane failure mechanism of the piers and spandrels, damage to the infills and non-load-bearing structures ([16]).

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Figure 5: Observed damage, adapted from [16]

The second case study presented in this paper is an archetypal building in RM called in this work E8 and calculated according to the Italian design code [17].

The objective of the pushover on the E8 building is to evaluate, through the simplified macroelement model, the building seismic performance as well as detect the damage in the panels and in the steel rebars. The building (Figure 6), designed for L'Aquila (Italy) on type C soil and topography [11] has an axis of symmetry in the direction Y, the height between floors is 3.10 m and slab depth equal to 0.25 m on all levels. All slabs are unidirectional. The wall thickness of the external walls is 0.30 m and of the internal ones is 0.25 m.

Figure 6: Plan of the E8 building

3.2 Numerical models

The 3D-EFM of the two buildings is shown in Figure 7.

Figure 7: Left EFM of the URM building Right EFM of the RM building

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The numerical model of the URM building considers the height of the piers and the length of the panels according to the method proposed by [18].

The mezzanine floor (+4.30 m) and the first floor (+8.70 m) are modeled, while the basement is not considered in the model. The roof and the attic floor are not explicitly modeled but their contribution is taken into account in terms of masses and gravity loads. The curbs are modeled explicitly, while the floors are idealized with kinematic constraints as rigid diaphragms. The piers and the spandrels have a rectangular cross section and the structure is assumed fixed at the base.

The numerical model of the RM building considers the height of the piers equal to the height of the floors. The ground floor (+3.00 m), the first floor (+6.00 m) and the roof (+9.00 m) are modeled. The RC curbs are assumed to behave linearly elastic. The corner reinforcement bars, which are in common with two orthogonal piers, have been distributed equally between the piers considering for each pier a 8 mm diameter bar, the other bars have a diameter of 16 mm. The weight of the elements in both models is applied as distributed load transmitted from the floors to the structural elements.

Table 1 and Table 2 show the mechanical properties assigned to the fiber model: masonry

compressive strength, f_m , masonry tensile strength, f_{tu} , masonry shear strength, f_{vm0} , Elastic Modulus, E_m , Shear Modulus, G , masonry specific weight, W , and steel bar yielding strength, f_{ym} .

Table 1: URM material parameters

f_m	f_{tu}	E_m	G	W
N/mm ²	N/mm ²	N/mm ²	N/mm ²	kN/m ²
4.8	0.14625	2610	870	21.00

Table 2: RM material parameters

f_m	f_{vm0}	E_m	G	W	f_{ym}
N/mm ²	N/mm ²	N/mm ²	N/mm ²	kN/m ²	N/mm ²
6.436	0.2857	5300	2120	9.00	483.871

For both buildings, pushover analysis are performed using displacement control according to a distribution of forces proportional to the masses and using as target displacement a node on the top floor of both buildings. In each step, equilibrium is achieved with a Krylov-Newton iteration process and convergence is accepted with a residual tolerance of 1×10^{-5} .

3.3 URM results

Figure 8 shows the 4 capacity curves and the bi-linearized curves calculated following the indications in [18]. The maximum base shear is 10787 kN for the pushover + X|Uniform at a displacement of 22.30 mm. On the walls aligned in the transversal +Y direction, numerical diagonal cracking failures are observed.

In the longitudinal direction +X direction, the slender wall panels fail in flexure while the squat wall panels fail in shear. The analysis is interrupted due to numerical instability related to the brittle failures of the masonry walls on the mezzanine floor.

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Figure 8: URM capacity curves and bilinearizations

Figure 9 compares the bi-linearized curves and the Acceleration-Displacement Response Spectrum (ADRS), evaluated considering a subsoil Type D category with topography T1 [11].

The seismic risk indicator, ζ_E , defined as the ratio between the capacity and the demand, calculated for the four capacity curves is equal to 0.365, 0.416, 0.346 and 0.281 for the

distribution +X|Uniform, -X|Uniform, +Y|Uniform and -Y|Uniform, respectively. The curves show that the structure has a very limited capacity and it is prone to damage under cyclic loads induced by the earthquake.

Figure 9: URM Demand vs Capacity URM

Figure 10 shows the comparison between the actual damage observed on site on wall 4 and the numerical damage simulated with OpenSees. The panels at the mezzanine level failed in shear and the wall at the first floor failed because of mixed flexure-shear mechanisms.

Damage is also observed in the spandrels on the mezzanine and first floors. The lumped hinge behavior, $V - \delta_u$ extrapolated at the base of the piers highlights a shear numerical failure predicting correctly the actual damage observed. Four piers reached the life-saving limit state ultimate drift, while the other four piers reached the maximum shear capacity but not the ultimate drift). The numerical model reproduces correctly the actual damage observed.

Figure 10: Comparison between numerical and observed damage – wall n°4

3.4 RM results

Figure 11 shows the four capacity curves and the relative bi-linearized curves obtained for the RM building. The maximum base shear capacity is 7415.13 kN for the pushover + Y|Uniform at a horizontal displacement equal to 39.68 mm. The comparison shows an overlap of the curves in the longitudinal direction X due to the perfect symmetry of the building in the direction orthogonal to it. The building collapse is triggered by the panel shear failures on the ground floor in both directions of analysis.

Figure 11: RM capacity curves and bilinearizations

Figure 12 shows a comparison of the bi-linearized curves and the ADRS assuming a type C soil category T1 topography [11].

The seismic risk indicator, ζ_E of the four capacity curves is equal to 1.31, 1.31, 1.625 and 1.803 for the distribution +X|Uniform, -X|Uniform, +Y|Uniform and -Y|Uniform, respectively. Therefore, as expected, the structure capacity complies with the Italian design codes, D.M. 2018.

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Figure 12: Demand vs Capacity RM

Figure 13 shows, the steel fiber behavior of panel X06 and the Gauss point behavior of panel X14 for the force distribution proportional to the masses in the positive longitudinal direction, +X|Uniform.

Figure 13: Numerical damage of panel and bars

The results show that the pier on the corner at the ground floor yields because of bar yielding, however the building collapses because of shear failure as indicated by the gauss points.

4 CONCLUSIONS

This work presents the results of pushover analyzes performed on two large URM and RM buildings. The models are developed using the EFM with the open source software OpenSees and the pre and post-processor Scientific ToolKit for OpenSees, STKO.

FBEs are used to describe the axial-bending behavior, while a phenomenological law is used to simulate the shear behavior.

The phenomenological law is calibrated with experimental data to capture correctly the pier and spandrel shear behavior.

In both case studies, URM and RM, the capacity curves are compared with the demands. The results of the analyses on the URM building show that the model is capable to describe correctly the damage occurred and that the URM building is highly vulnerable.

The RM building behaves well under seismic load, the seismic risk ζ_E is higher than 1.31 and therefore the building design complies with the Italian design codes. The EFM proposed in this work ensures to consider correctly the biaxial-bending interaction and to evaluate in depth the steel bar behavior and damage. The quality of the results obtained can be further improved by considering the variation of the axial load to describe the pier shear behavior.

Despite convergence problems that can occur in quasi-brittle materials with softening and the limitations due to the phenomenological shear law, the EFM proposed in this work is particularly efficient and accurate for the non-linear analysis of large-scale masonry structures.

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