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## A STUDY OF MESH OPTIMALITY CRITERIA IN ADAPTIVE FINITE ELEMENT ANALYSIS

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### ABSTRACT

The concepts of solution error and optimal mesh in adaptive finite element analysis are revisited. It is shown that the correct evaluation of the convergence rate of the error norms involved in the error measure and the optimal mesh criteria chosen are essential to avoid oscillations in the refinement process. Two mesh optimality criteria based on: (a) the equal distribution of *global* error, and (b) the *specific* error over the elements are studied and compared in detail through some examples of application.

KEY WORDS Adaptive mesh refinement Mesh optimality

### INTRODUCTION

The evaluation of discretization error and the design of suitable meshes via adaptive mesh refinement (AMR) are nowadays two of the challenging issues in the finite element method (FEM).

The topic of error estimation and mesh adaptivity in the FEM is by no means new<sup>1–18</sup>. For a comprehensive review of the topic see the reference list of Chapter 14 of volume I of Reference 19.

In this paper a methodology for deriving AMR procedures is proposed. The basis of the approach is the decoupling of the concepts of error measure and mesh optimality criteria. This leads to the definitions of global and local error parameters from which the element refinement strategy can be simply obtained. Moreover, this allows to identify clearly the convergence rates of the global and local error norms, which strongly influence the expressions of the element refinement parameter. It is shown that an inaccurate evaluation of this important parameter can lead to oscillations in the refinement process.

The methodology proposed is particularized for two mesh optimality criteria using Zienkiewicz–Zhu error estimator<sup>1,3–6</sup>. First, the standard criterion of equal distribution of the global error over all the elements is studied. We will show here that a careful interpretation of the concepts of global error and optimal mesh leads in this case to an enhanced expression of the element refinement parameter slightly different from that typically used in the literature.

The second mesh optimality criterion studied is based in the equal distribution of the error per unit area or volume (i.e. the specific error). This strategy allows to concentrate more and smaller elements in zones where the gradients of the problem unknowns (i.e. stresses in structural problems) are higher, as it should be expected from the engineering point of view.

### ERROR ESTIMATION AND ACCEPTABLE SOLUTION

When dealing with adaptive mesh refinement finite element analysis the following two concepts should be clearly defined:

(a) *Error estimator*. Since the 'exact' solution is not known, a method to approximately evaluate the error of the finite element solution should be defined.

(b) *Acceptable solution*. A finite element solution is 'acceptable' if the estimated error satisfies some prescribed *global* and/or *local* conditions.

Both concepts are further extended in the next sections.

#### *Basic equations*

Let us consider the solution of a problem governed by a system of differential equations written in the general matrix form:

$$\mathbf{A}(\mathbf{u}) = \mathbf{0} \quad \text{in } \Omega \quad (1)$$

with boundary conditions:

$$\mathbf{B}(\mathbf{u}) = \mathbf{0} \quad \text{in } \Gamma \quad (2)$$

where  $\Omega$  is the area of the analysis domain (for 2D problems) and  $\Gamma$  its contour. In (1) and (2)  $\mathbf{u}$  is a vector containing the problem unknowns, i.e. the displacements in a structural problem, the temperature in a thermal problem, the potential in a field problem, etc.

We will define now the gradient vector  $\boldsymbol{\varepsilon}$  and the corresponding flow vector  $\boldsymbol{\sigma}$  as

$$\boldsymbol{\varepsilon} = \mathbf{L}\mathbf{u} \quad (3)$$

$$\boldsymbol{\sigma} = \mathbf{D}\boldsymbol{\varepsilon} \quad (4)$$

where  $\mathbf{D}$  is a constitutive matrix depending on material properties.

Vectors  $\boldsymbol{\varepsilon}$  and  $\boldsymbol{\sigma}$  are readily interpreted as the strain and stress vectors for structural problems; the temperature gradient and the heat flow vectors for thermal problems, etc.

For simplicity the fields  $\mathbf{u}$ ,  $\boldsymbol{\varepsilon}$  and  $\boldsymbol{\sigma}$  will be identified hereafter with displacements, strains and stresses for a structural problem.

In the finite element solution the field  $\mathbf{u}$  is interpolated in the standard form:

$$\mathbf{u} \simeq \hat{\mathbf{u}} = \sum_{i=1}^n \mathbf{N}_i \bar{\mathbf{u}}_i = \mathbf{N}\bar{\mathbf{u}} \quad (5a)$$

with

$$\mathbf{N}_i = \mathbf{N}_i \mathbf{I} \quad (5b)$$

where  $\mathbf{N}_i$  are shape functions,  $\bar{\mathbf{u}}_i$  are the values of  $\hat{\mathbf{u}}$  at the nodes of a finite element mesh and  $n$  is the total number of nodes in the mesh<sup>19</sup>.

Substituting (5) in (1) and (2) and using standard weighted residual techniques or variational principles, leads (for static problems) to an equation system of the form:

$$\mathbf{K}\bar{\mathbf{u}} = \mathbf{f} \quad (6)$$

where the stiffness matrix  $\mathbf{K}$  and the equivalent nodal force vector  $\mathbf{f}$  are obtained by assembly of the element contributions<sup>19</sup>.

Once the nodal variables have been obtained from (6) the 'strains' and 'stresses' values are

approximated as:

$$\mathbf{e} \simeq \hat{\mathbf{e}} = \sum_{i=1}^n \mathbf{L}N_i \bar{\mathbf{u}}_i \quad (7a)$$

$$\boldsymbol{\sigma} \simeq \hat{\boldsymbol{\sigma}} = \sum_{i=1}^n \mathbf{D}\mathbf{L}N_i \bar{\mathbf{u}}_i \quad (7b)$$

*Error estimator*

One of the most popular error estimators for elliptic problems is based on the error energy norm expressed as:

$$\|\mathbf{e}\| = \left[ \int_{\Omega} [\boldsymbol{\sigma} - \hat{\boldsymbol{\sigma}}]^T \mathbf{D}^{-1} [\boldsymbol{\sigma} - \hat{\boldsymbol{\sigma}}] d\Omega \right]^{1/2} \quad (8)$$

where  $\boldsymbol{\sigma}$  are the exact stresses,  $\hat{\boldsymbol{\sigma}}$  are the stress values obtained from the finite element solution (7b) and  $\mathbf{e}$  is the displacements error.

Since the exact stresses are usually not known they are approximated by:

$$\boldsymbol{\sigma} \simeq \boldsymbol{\sigma}^* = \mathbf{N}_{\sigma} \bar{\boldsymbol{\sigma}}^* \quad (9)$$

where  $\mathbf{N}_{\sigma}$  are stress interpolating functions and  $\bar{\boldsymbol{\sigma}}^*$  are nodal stress values obtained by either simple nodal averaging of the finite element values, local or global least square smoothing, or other adequate nodal stress recovery techniques. A simple approach is to use global smoothing with a lumped ‘mass’ matrix giving the nodal smoothed values as:

$$\bar{\boldsymbol{\sigma}}^* = \mathbf{M}^{-1} \int_{\Omega} \mathbf{N}_{\sigma} \hat{\boldsymbol{\sigma}} d\Omega \quad (10)$$

where  $\mathbf{M}$  is a diagonal matrix with  $M_{ii} = \int_{\Omega} N_{\sigma_i} d\Omega$ . Equation (10) can be obviously applied to solve independently for each individual stress component. It can be verified that (10) yields an accurate smoothed stress field for linear elements and it is also adequate for quadratic elements providing some correction factors are introduced. A more accurate recovery technique has been recently proposed by Zienkiewicz and Zhu<sup>20</sup> and this can be used as alternative.

The strain energy  $U$  of the exact solution is estimated as:

$$U = \|\mathbf{u}\|^2 \simeq \int_{\Omega} \boldsymbol{\sigma}^{*T} \mathbf{D}^{-1} \boldsymbol{\sigma}^* d\Omega + \int_{\Omega} [\boldsymbol{\sigma}^* - \hat{\boldsymbol{\sigma}}]^T \mathbf{D}^{-1} [\boldsymbol{\sigma}^* - \hat{\boldsymbol{\sigma}}] d\Omega \quad (11)$$

Both  $\|\mathbf{e}\|$  and  $\|\mathbf{u}\|$  norms can be evaluated as the sum of their respective element contributions as:

$$\|\mathbf{e}\|^2 = \sum_{i=1}^n \|\mathbf{e}\|_i^2 \quad \|\mathbf{u}\|^2 = \sum_{i=1}^n \|\mathbf{u}\|_i^2 \quad (12)$$

where  $n$  is the total number of elements in the mesh.

*Definition of acceptable solution*

It is usually agreed that a solution is ‘acceptable’ if the two following conditions are satisfied:

- (a) The global error in energy norm is not greater than a specified value of the total strain

energy:

$$\|\mathbf{e}\| \leq \eta \|\mathbf{u}\| \quad (13)$$

where  $\eta$  is the user's specified value of the permissible relative global error.

Equation (6) allows to define a *global error parameter*  $\xi_g$  as:

$$\xi_g = \frac{\|\mathbf{e}\|}{\eta \|\mathbf{u}\|} \quad (14)$$

Clearly the values  $\xi_g \leq 1$  denote satisfaction of the global error criterion, whereas  $\xi_g > 1$  indicates that further refinement is necessary.

A mesh refinement strategy based on  $\xi_g$  only will lead to an uniform refinement ( $\xi_g > 1$ ) or derefinement ( $\xi_g < 1$ ) of all element sizes (with the usual corrections to preserve the dimensions of the analysis domain). A local error indicator is therefore needed and this is defined next.

(b) The distribution of elements in the mesh satisfies a 'mesh optimality criterion', this local condition can be expressed as:

$$\|\mathbf{e}\|_i = \|\mathbf{e}\|_{r_i} \quad (15)$$

where  $\|\mathbf{e}\|_i$  is the actual error norm in each element  $i$  and  $\|\mathbf{e}\|_{r_i}$  is the 'required' error norm in the element. The *local error parameter*  $\xi_i$  is defined as:

$$\xi_i = \frac{\|\mathbf{e}\|_i}{\|\mathbf{e}\|_{r_i}} \quad (16)$$

Note that a value of  $\xi_i = 1$  defines an 'optimal' element size (in the sense of satisfaction of (15)), whereas  $\xi_i > 1$  and  $\xi_i < 1$  indicate that the size of element  $i$  needs further refinement or derefinement, respectively. The definition of the required error in each element  $\|\mathbf{e}\|_{r_i}$  is a key issue and it strongly affects the distribution of element sizes in the mesh. This definition can be based on different mesh optimality criteria and some of these are presented in a later section.

A refinement strategy based only on the local condition (15) is obviously possible and this will imply the definition of appropriate bounds in the required element error norm. However, in practice it is usual to restrict the global error bound using condition (13). Nevertheless, other alternatives like a local limitation (i.e.  $\|\mathbf{e}\|_i \leq \eta \|\mathbf{u}\|_i$ ) are possible and should be explored.

#### *Element refinement parameter*

Generally in practice we will aim to satisfy both local and global conditions (a) and (b) defined in the previous section. This allows to define an *element refinement parameter* using (14) and (16) as:

$$\xi_i = \xi_i \xi_g = \frac{\|\mathbf{e}\| \|\mathbf{e}\|_i}{\eta \|\mathbf{u}\| \|\mathbf{e}\|_{r_i}} \quad (17)$$

The element refinement parameter  $\xi_i$  was first introduced by Zienkiewicz and Zhu<sup>1</sup> and since then it has been used by many authors as the basis for defining the new element size in a general AMR strategy<sup>1-18</sup>. The expression of  $\xi_i$  given in (17) can be interpreted as the result of trying to satisfy the global and local error conditions in a successive manner. Equation (17) provides all the terms involved in this combined process and they could play individually a very different role as explained in the next section.

MESH OPTIMALITY CRITERIA AND AMR PROCEDURES

*Mesh optimality criterion based on the equal distribution of the global error*

A very popular criterion for elliptic problems is that a mesh is 'optimal' if the distribution of the energy norm is equal between all elements<sup>1,3-6,19</sup>. On the basis of this assumption the required error for each element can be defined as the ratio between the global error and the total number of elements in the mesh. Thus noting that only the square of the error norm is additive (see (12)) we have:

$$\|e\|_{r_i} = \frac{\|e\|}{\sqrt{n}} \tag{18}$$

Combining (16) and (18) yields the expression of the local error parameter as:

$$\xi_i = \frac{\|e\|_i}{\|e\|n^{-1/2}} \tag{19}$$

The element refinement parameter is obtained, viz. (17) as:

$$\xi_i = \bar{\xi}_i \xi_g = \frac{\|e\|_i}{\eta \|u\|n^{-1/2}} \tag{20}$$

The parameter  $\xi_i$  can now be readily interpreted as the ratio between the element error and the distributed value of the permissible error over the mesh. Clearly  $\xi_i > 1$  will indicate that the element should be further refined, whereas  $\xi_i \leq 1$  implies that both the local and global error conditions are satisfied.

Expression (20) is in fact identical to that used in Reference 1. However, the multiplicative form (17) allows to derive the correct AMR strategy. For that purpose, the convergence rates of the element and global error norms will be considered next.

Let us assume that  $m$  is the degree of the shape function polynomials in (5) ( $m = 1$  for linear elements,  $m = 2$  for quadratic elements, etc.) and that only first derivatives of  $u$  are involved in the strain operator  $L$  of (3). It is then easy to find for the global error norm (8):

$$\|e\| = \left[ \int_{\Omega} O(h^m) \mathbf{D}^{-1} O(h^m) d\Omega \right]^{1/2} \simeq O(h^m) \tag{21}$$

where  $h$  is the average element size of all the elements in the mesh. For the element error norm it is obtained:

$$\|e\|_i = \left[ \int_{\Omega} O(h_i^m) \mathbf{D}^{-1} O(h_i^m) d\Omega \right]^{1/2} \simeq O(h_i^m) \Omega_i^{1/2} \simeq O(h_i^{m+(d/2)}) \tag{22}$$

where  $h_i$  and  $d$  are, respectively, the existing element size and the number of dimensions of the problem ( $d = 1, 2, 3$  for 1D, 2D and 3D problems, respectively).

Equations (21) and (22) are essential to define the new element size  $\bar{h}_i$  in terms of the existing size using the expression:

$$\bar{h}_i = \frac{h_i}{\beta_i} \tag{23}$$

with

$$\beta_i = \xi_g^{1/m} \bar{\xi}_i^{2/(2m+d)} \quad (24)$$

The expression of the element size parameter  $\beta_i$  as given by (24) takes into account the different convergence rates of the element and global error norms.

Note that (24) can be interpreted as equivalent to applying a two step refinement (or derefinement) process in which the element sizes are *first* changed to fulfil the local mesh optimality criterion and *then* changed again to satisfy the global error criterion.

Zienkiewicz and Zhu<sup>1,3-6</sup>, followed by others<sup>7-10</sup>, use a simpler expression for  $\beta_i$  based directly on the element refinement parameter  $\xi_i$  as:

$$\beta_i = (C\xi_i)^{1/m'} \quad (25)$$

where  $C$  is a relaxation factor (generally  $C = 1$  is taken<sup>4</sup>) and  $m' = m$  except for elements adjacent to singularities where  $m = \lambda$  is used ( $\lambda$  being the singularity strength).

The authors have found that the computation of  $\beta_i$  as given by (25) with  $C = 1$  and  $m' = m$  leads to a non-consistent mesh refinement. This is due to the violation of the convergence rate of the global and local error norms which enables the simultaneous satisfaction of both norms using a single element size parameter. This is shown by an oscillatory re- and de-refinement of some mesh zones in the AMR process.

*Mesh optimality criterion based on the equal distribution of the specific error*

An alternative criterion is to assume that a mesh is optimal if the square of the error per unit area (or volume) is the same over the whole mesh. It is clear then that in the optimal mesh:

$$\frac{\|\mathbf{e}\|_i^2}{\Omega_i} = \frac{\|\mathbf{e}\|^2}{\Omega} \quad (26)$$

Obviously in (26)  $\Omega_i$  and  $\Omega$  denote the element and total area (or volume) respectively.

Comparing (26) and (15) gives the expression of the required error norm for each element as:

$$\|\mathbf{e}\|_{r_i} = \|\mathbf{e}\| \left( \frac{\Omega_i}{\Omega} \right)^{1/2} \quad (27)$$

The local error parameter  $\bar{\xi}_i$  is obtained now using (17) and (27) as:

$$\bar{\xi}_i = \frac{\|\mathbf{e}\|_i}{\Omega_i^{1/2}} \left[ \frac{\|\mathbf{e}\|}{\Omega^{1/2}} \right]^{-1} = \frac{\|\mathbf{e}\|_i}{\|\mathbf{e}\|} \left( \frac{\Omega}{\Omega_i} \right)^{1/2} \quad (28)$$

The element refinement parameter  $\xi_i$  is obtained from (14), (17) and (28) as:

$$\xi_i = \bar{\xi}_i \xi_g = \frac{\|\mathbf{e}\|_i}{\eta \|\mathbf{u}\|} \left( \frac{\Omega}{\Omega_i} \right)^{1/2} \quad (29)$$

Note that (20) and (29) coincide if  $\Omega/\Omega_i = n$ , i.e. all elements are equal in size. This is, however, not the case for unstructured meshes which results in quite different mesh distributions for the two optimality criteria considered, as shown in the examples.

The way the optimal element error is now defined eliminates its dependence with the element area, and the convergence rate of the specific element error can be deduced from (22) to be:

$$\frac{\|\mathbf{e}\|_i}{\Omega_i^{1/2}} \simeq O(h_i^m) \quad (30)$$

Note the coincidence of the convergence rates of the local and global error norms (21) and (30). The new element size is obtained from (23) with the element size parameter  $\beta_i$  given now by:

$$\beta_i = (\bar{\xi}_i \xi_g)^{1/m} = (\xi_i)^{1/m} \quad (31)$$

The expression for the element refinement parameter coincides with that given by (25) for  $C = 1$  and  $m' = m$ . Note, however, that (25) was introduced in the context of the mesh optimality criterion based on the equal distribution of the global error. The different forms presented here clarify the correct expressions of  $\beta_i$  to be used for each mesh optimality criterion chosen.

To our knowledge the criterion of equal distribution of the specific error was first introduced in the form given here by Bugeda<sup>13</sup> and it has been used in the context of optimum structural design problems by Bugeda and Oliver<sup>14,15</sup> and for the AMR analysis of structures by the author's group<sup>11,12,16,17</sup>. This criterion can also be shown to be equivalent to that of equal error in stresses mentioned earlier<sup>1</sup>.

## EXAMPLES

Four examples have been chosen to compare the different AMR strategies presented: (1) thick cylinder under internal pressure, (2) cylindrical shell roof under self weight, (3) perforated cylindrical shell under axial loading, (4) incompressible potential flow around an airfoil. In all the examples the following notation is used for the different AMR strategies.

*Strategy A.* This is based on the criterion of equal distribution of the global error between all elements and the value of the element size parameter  $\beta_i$  given by (25) with  $C = 1$  and  $m' = m$ .

*Strategy B.* It uses the same mesh optimality criterion as strategy A with the expression for the element size parameter as given by (24).

*Strategy C.* It is based on the criterion of equal distribution of the specific error with the element size parameter as given by (31).

### *Analysis of a thick circular cylinder under internal pressure*

The first example is the analysis of the thick circular cylinder under internal pressure shown in *Figure 1*. Due to the symmetry of the problem only a quadrant has been studied under plane strain conditions. Linear elastic behaviour has been assumed with  $E = 1.0 \times 10^5$  and  $\nu = 0.3$ . A value of the permissible global error of  $\eta = 5\%$  has been chosen. Standard 3 nodal linear triangular elements are used in the analysis. This example is typical of elliptic problems and it has many analogies in heat flow, ground water flow, etc. *Figure 2* shows the sequence of refined meshes obtained with the three AMR strategies previously mentioned. First column of *Figure 2* shows the results obtained with strategy A. Note the oscillations in the AMR process clearly shown by the alternative re- and de-refinement of some mesh zones.

Second column of *Figure 2* shows the results obtained with strategy B. Note that the refinement oscillations disappear and the AMR process converges in a consistent manner.

Third column shows the results for strategy C. It can be clearly seen that: (a) the AMR process converges without oscillations, and (b) this AMR strategy concentrates more and smaller elements in the vicinity of the internal edge (where the error is greater due to the higher stress gradients), whereas in the rest of the mesh bigger elements than in the previous case are allowed. The price to be paid is the increase in the total number of elements with respect to strategies A and B for the same global accuracy as shown in *Table 1*.



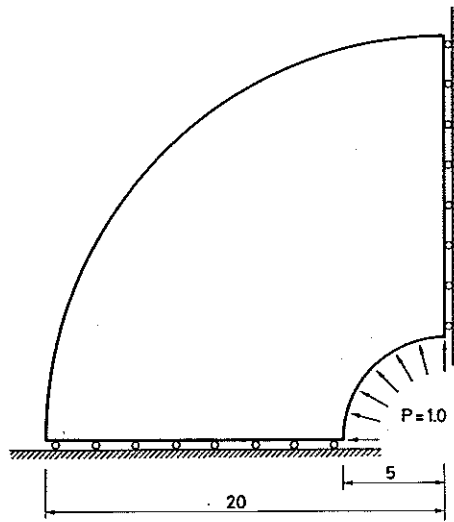


Figure 1 Thick circular cylinder under internal pressure—geometry and loads

Table 1 shows some characteristic results for each solution like the number of elements, the global error parameter  $\xi_g$ , the average value of the local error parameter  $(\xi_l^2)_a$  and its mean deviation  $(\xi_l^2)_\sigma$  over each mesh for the three AMR strategies used. From the numbers shown in this Table we deduce:

- all AMR strategies converge to the global permissible error chosen;
- the mean deviation of strategy A oscillates and does not converge to zero;
- strategies B and C converge to an 'optimal mesh' characterized by the appropriate values  $(\xi_l^2)_a = 1.0$  and  $(\xi_l^2)_\sigma = 0.0$ . However, the number of elements and its distribution in each mesh is very different for these two AMR strategies.

Reference 16 shows results for the analysis of the same problem under diametrical loads with identical conclusions to the case presented here.

#### Cylindrical shell roof under self weight

The second example is the classical Scordelis cylindrical roof shown in Figure 3 together with the initial mesh of 48 triangular facet shell elements. The element used termed TLQL is based on an assumed shear strain formulation with linear interpolation for the displacements, quadratic interpolation for the rotations and linear interpolation for the shear strains<sup>11</sup>. The error energy norm in this case is expressed in terms of membrane forces  $\mathbf{n}$ , bending moments  $\mathbf{m}$  and shear forces  $\mathbf{s}$  as:

$$\|\mathbf{e}\|^2 = \int_{\Omega} \{[\mathbf{n} - \hat{\mathbf{n}}]^T \mathbf{D}_m^{-1} [\mathbf{n} - \hat{\mathbf{n}}] + [\mathbf{m} - \hat{\mathbf{m}}]^T \mathbf{D}_b^{-1} [\mathbf{m} - \hat{\mathbf{m}}] + [\mathbf{s} - \hat{\mathbf{s}}]^T \mathbf{D}_s^{-1} [\mathbf{s} - \hat{\mathbf{s}}]\} d\Omega \quad (32)$$

where  $\mathbf{D}_m$ ,  $\mathbf{D}_b$  and  $\mathbf{D}_s$  are the standard membrane, bending and shear constitutive matrices<sup>11</sup>.

The strain energy of the exact solution is estimated as:

$$\|\mathbf{u}\|^2 \simeq \int_{\Omega} \{\mathbf{n}^{*T} \mathbf{D}_m^{-1} \mathbf{n}^* + \mathbf{m}^{*T} \mathbf{D}_b^{-1} \mathbf{m}^* + \mathbf{S}^{*T} \mathbf{D}_s^{-1} \mathbf{S}^*\} d\Omega + \|\mathbf{e}\|^2 \quad (33)$$

where  $(\cdot)^*$  denotes smoothed resultant stress values.

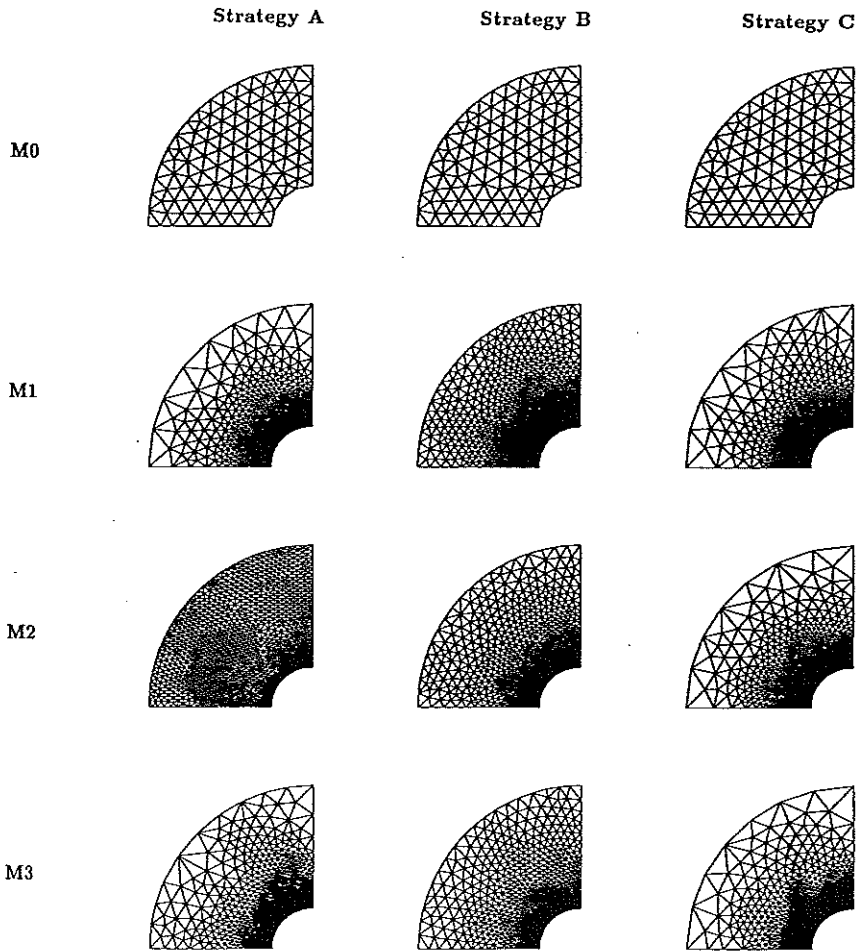
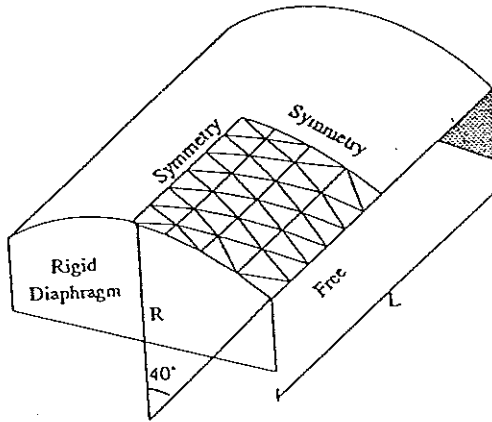


Figure 2 Thick circular cylinder under internal pressure—sequence of meshes obtained with AMR strategies A, B and C

Table 1 Thick circular cylinder under internal pressure. Some statistical results of the AMR processes based on the equal distribution of the global error (strategies A and B) and the specific error (strategy C)

	Strategy A				Strategy B				Strategy C			
	NE	$\xi_g$	$(\xi_I^2)_a$	$(\xi_I^2)_s$	NE	$\xi_g$	$(\xi_I^2)_a$	$(\xi_I^2)_s$	NE	$\xi_g$	$(\xi_I^2)_a$	$(\xi_I^2)_s$
M0	200	4.133	1.000	8.401	200	4.133	1.000	8.401	200	4.133	1.190	15.37
M1	2820	0.919	1.000	8.469	2180	0.839	1.000	0.604	3028	0.884	2.224	2.071
M2	2711	0.907	1.000	2.197	1838	0.909	1.000	0.189	6359	0.796	1.204	0.163
M3	2758	0.839	1.000	4.484	1797	0.925	1.000	0.193	6026	0.835	1.206	0.151



$$L = 600.0$$

$$R = 300.0$$

$$\text{thickness} = 3.0$$

$$E = 3.0 \times 10^6$$

$$\nu = 0.0$$

$$\text{B.C. : in diaphragm } u = 0, w = 0$$

$$\text{Load : Self weight, } q = 0.625$$

$$\text{Initial global error parameter } \xi_g = 6.2295$$

Figure 3 Cylindrical shell roof under self weight. Symmetric quadrant used in the analysis and initial mesh of 48 triangular assumed shear strain facet shell elements

A value of the global permissible error  $\eta = 10\%$  has been chosen in this case.

Figure 4 shows the sequence of refined meshes obtained with the three AMR strategies used together with the total number of elements and the global error obtained in each case.

First column shows the results obtained using strategy A. Note the oscillations in the AMR process clearly shown by the alternative re and de-refinements of some mesh zones.

Results labeled as strategy B in Figure 2 have been obtained using now the correct expression for  $\beta_i$  (strategy B). Note that the refinement oscillations disappear and the AMR process converges in a consistent manner.

Third column shows the results obtained using strategy C.

It can be clearly seen that: (a) the AMR process converges without oscillations, and (b) the AMR strategy C concentrates more and smaller elements in the vicinity of the free edge (where the error is greater due to the higher membrane stress gradients) whereas in the rest of the mesh bigger elements than in the previous case are allowed. The price to be paid is again the increase in the total number of elements with respect to strategies A and B for the same global accuracy.

Further details of this example and of the general AMR strategy for plates and shells can be found in References 11 and 12.

#### *Analysis of a cylindrical shell with a circular hole under axial loading*

Figure 5 shows the geometry, material properties of the shell and the initial mesh used. The analysis has been performed using the same facet shell element as in previous example. A value of the permissible global error  $\eta = 10\%$  has been taken.

Figure 5 also shows the sequence of refined meshes obtained with the criterion of equal-distribution of the global error and the correct value for the element size parameter  $\beta_i$  as given by (24) (strategy B), and also with the criterion of equal distribution of the specific error with  $\beta_i$  given by (31) (strategy C).

Table 2 shows some characteristic results for the two AMR strategies used. From the numbers shown in this Table we deduce: both AMR strategies converge to the global permissible error chosen; both AMR processes converge to an 'optimal mesh', characterized by the appropriate values  $(\xi_i^2)_a = 1.0$  and  $(\xi_i^2)_\sigma = 0.0$ . However, the number of elements and its distribution in each

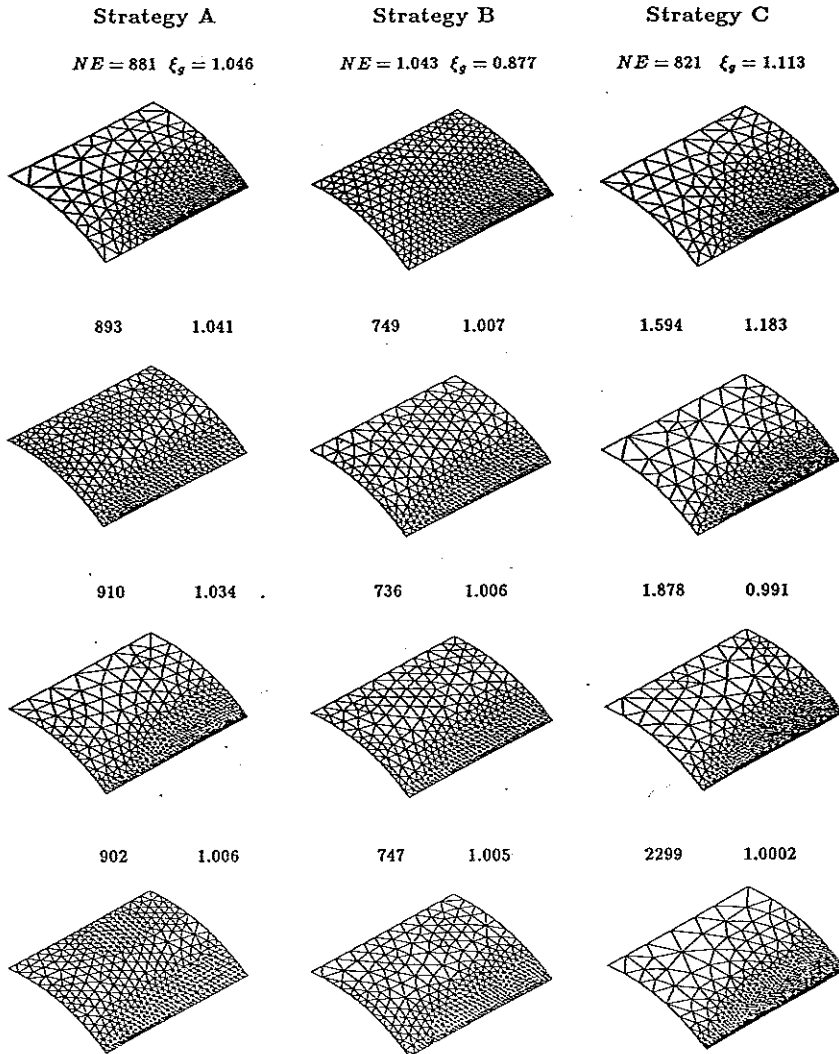
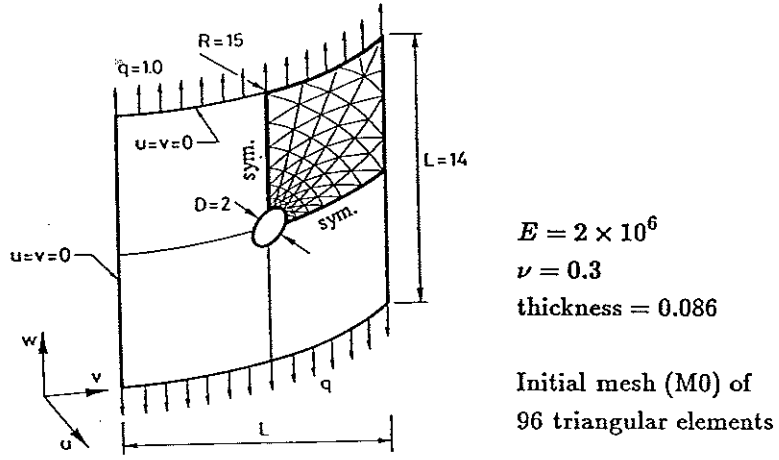


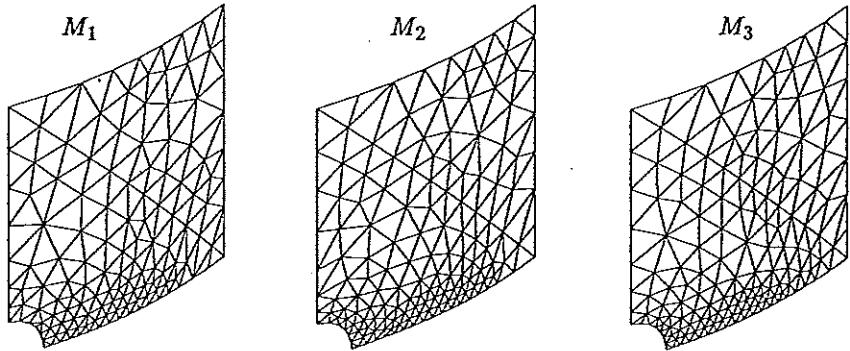
Figure 4 Symmetric quadrant of a cylindrical shell roof under self weight. Sequence of meshes obtained with AMR strategies A, B, and C. For each mesh is shown the number of elements (NE) and the value of the global error parameter  $\xi_g$

Table 2 Symmetric quadrant of a tractioned cylindrical shell. Some statistical results of the AMR processes based on the equal distribution of the global error (strategy B) and the specific error (strategy C)

	Strategy B				Strategy C			
	NE	$\xi_g$	$(\xi_i^2)_a$	$(\xi_i^2)_s$	NE	$\xi_g$	$(\xi_i^2)_a$	$(\xi_i^2)_s$
M0	96	1.776	1.000	1.413	96	1.776	1.728	8.668
M1	234	1.078	1.000	0.613	393	0.993	1.545	3.432
M2	262	0.959	1.000	0.518	593	0.991	1.166	0.684
M3	266	0.968	1.000	0.384	729	0.979	1.050	0.381



Strategy B



Strategy C

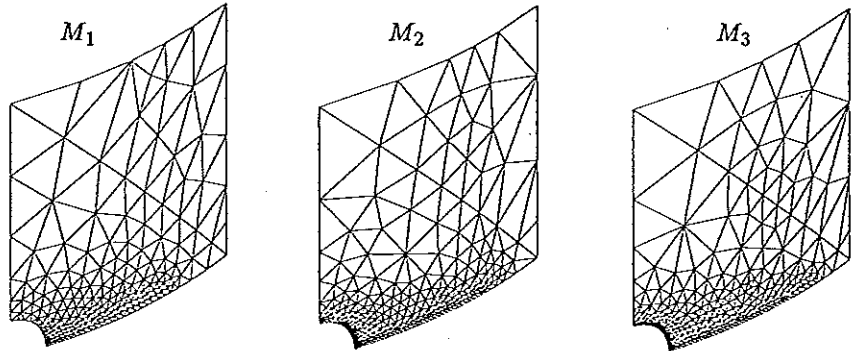


Figure 5 Analysis of a cylindrical shell under uniform traction. Sequence of meshes obtained with AMR strategies B and C

mesh is very different for the two AMR strategies and again that based on the equal distribution of specific error (strategy C) tends to concentrate more and similar elements in the vicinity of the central hole where stress gradients are higher (see *Figure 5*).

#### *Potential flow around an airfoil*

The applicability of the AMR presented to fluid flow problems is shown in the analysis of the 2D flow around a classical Korn airfoil. An incompressible potential flow model with lifting has been used for this analysis. Details of this model can be found in Reference 17. The angle of attack has been taken equal to zero, the analysis domain has been discretized using standard 6 noded triangular elements. The exterior boundary of the domain has been defined at a distance of 10 chords from the profile.

The error energy norm and the energy of the exact solutions have been estimated as:

$$\|e\|^2 \simeq \int_{\Omega} [v^* - \hat{v}]^T [v^* - \hat{v}] d\Omega \quad (34)$$

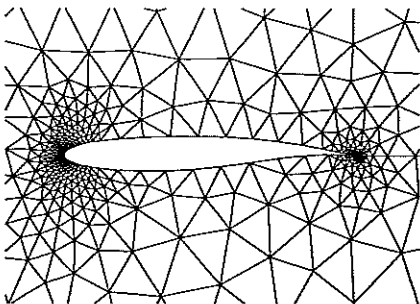
$$\|u\|^2 \simeq \int_{\Omega} v^{*T} v^* d\Omega + \int_{\Omega} [v^* - \hat{v}]^T [v^* - \hat{v}] d\Omega \quad (35)$$

In (34)  $v$  is the velocity vector obtained from the final continuous potential solution as  $v = \nabla\phi$ . Also, as usual,  $\hat{v}$  and  $v^*$  denote velocities obtained directly from the finite element solution and after a nodal smoothing technique, respectively.

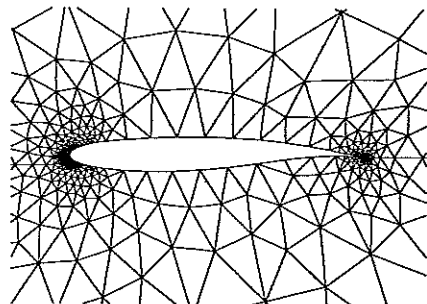
This case has been used to compare two different meshes with a similar number of degrees of freedom, but each one obtained using a different optimality criteria.

*Figure 6* shows the mesh obtained after two remeshings using strategy B and using a permissible global error of  $\eta = 0.02\%$ . This mesh has 2162 degrees of freedom and 1038 quadratic elements. In fact, the results from the first remeshing have a global error smaller than the permissible one but a new remeshing has been performed in order to get a better error distribution over the domain.

*Figure 7* shows the mesh obtained after two remeshings using strategy C and using a permissible global error of  $\eta = 0.2\%$ . This mesh has 2133 degrees of freedom and 1019 quadratic elements. Again, the results from the first remeshing have a global error smaller than the permissible one.



*Figure 6* Analysis of a Korn airfoil. Mesh obtained with AMR strategy B ( $\eta = 0.02\%$ ). 2162 quadratic triangles and 1038 DOF



*Figure 7* Analysis of a Korn airfoil. Mesh obtained with AMR strategy C ( $\eta = 0.2\%$ ). 2133 quadratic triangles and 1019 DOF

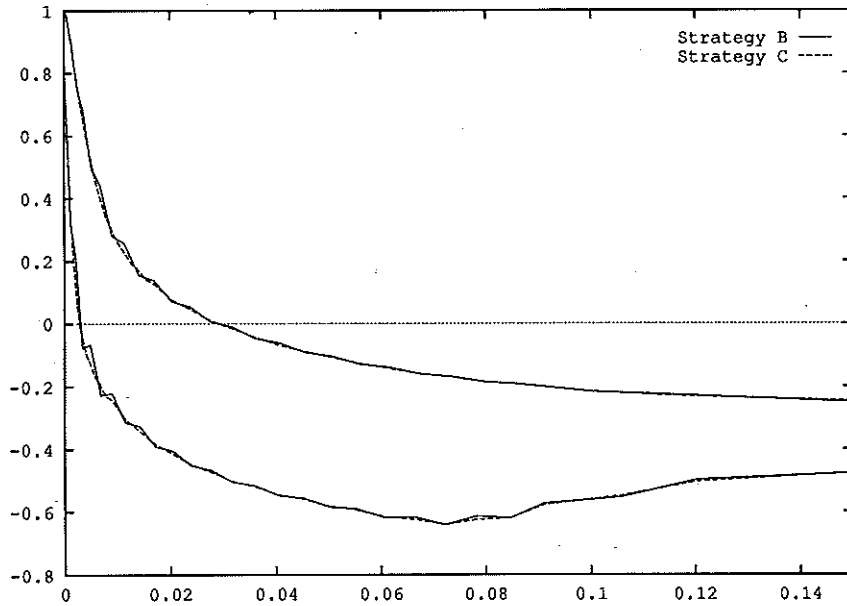


Figure 8 Analysis of a Korn airfoil.  $C_p$  distribution around the leading edge obtained using strategies B and C

The computational cost to solve the problem is very similar for both cases because the number of degrees of freedom for each one is practically identical. Looking at *Figures 6 and 7* it can be observed that strategy C concentrates more and smaller elements in the vicinity of the leading edge (where the gradients of the velocities are higher), whereas in the rest of the domain the elements are bigger.

*Figure 8* shows a plot of the  $C_p$  distribution obtained with both meshes around the leading edge. The mesh obtained with strategy B has a smaller global error norm (as prescribed), but this error is concentrated in the zone where the gradients of the velocities are higher, as it can be observed from the oscillations in *Figure 8*. It is remarkable that although the mesh obtained with strategy C has a higher global error norm, the  $C_p$  distribution around the leading edge is more accurate and no oscillations are observed.

From the last comments we conclude that for the same computational cost, strategy B leads to a smaller global error norm, but strategy C gives better results in the most interesting zones of the analysis domain.

### CONCLUDING REMARKS

The definition of the mesh optimality criterion has proved to be an essential point in the design of an effective AMR process.

The use of the criterion of equal distribution of error over the elements leads to a 'smooth' distribution of the element sizes. This can be useful to prevent the use of meshes with large number of elements. However, this criterion is unable to concentrate elements in zones of high gradients only. It has been also shown that oscillations in the refinement process can appear in this case unless the element size parameter is consistently computed.

The criterion of equal distribution of specific error concentrates more and smaller elements in zones where important changes in the solution occur. This provides a more accurate solution

in these regions which, in fact, are those of interest for the engineer. Therefore, this criterion seems to be the one to be recommended for practical purposes. The only drawback of this criterion is the large number of elements involved (this number tends to infinity if singularity points exist!). This can be overcome by appropriately prescribing the minimum element size or by introducing some limitations on the local error. These alternatives are currently explored by the authors.

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